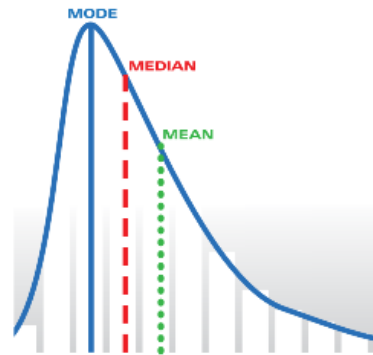




Interpreting Your PSA Results


Decoding the Acronyms and Finding Insights



Ian Treviranus

ian.treviranus@horiba.com

www.horiba.com/us/particle



Explore the future

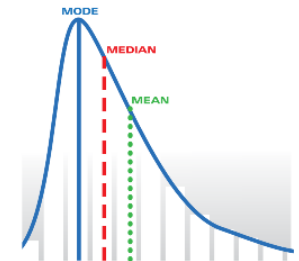
Automotive Test Systems | Process & Environmental | Medical | Semiconductor | Scientific

HORIBA

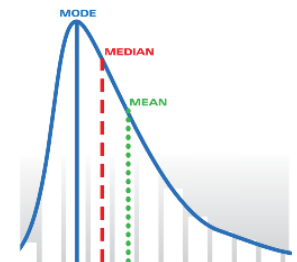
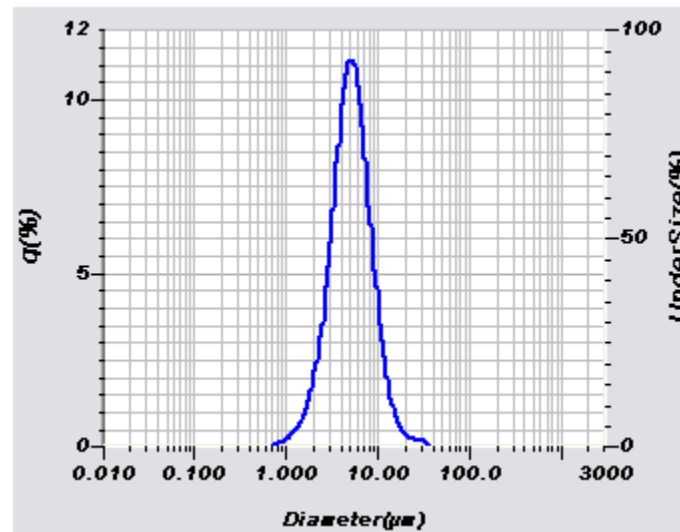
© 2010 HORIBA, Ltd. All rights reserved.

Outline

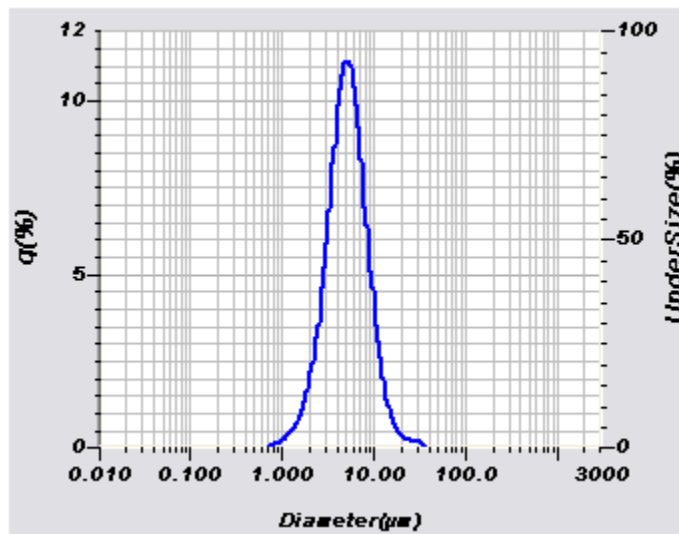
- **The Basics**
- **Define Parameters**
- **Choose Parameters**
- **Interpret Results**



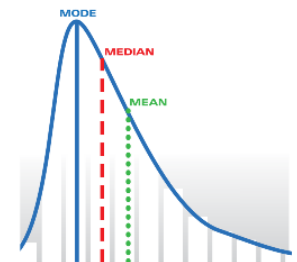
The Workflow



The Problem



=

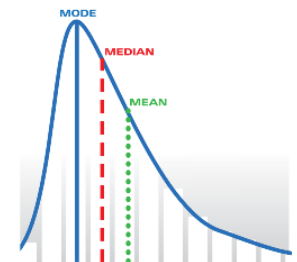
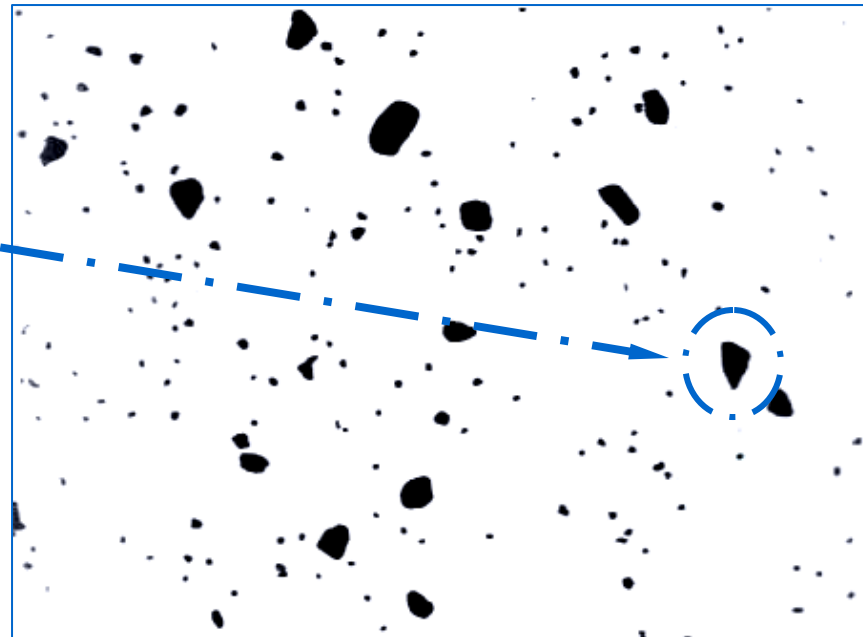


The Basics

Particle

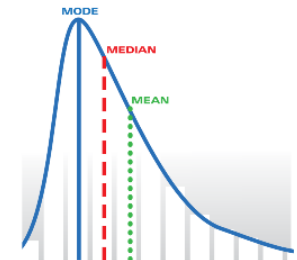
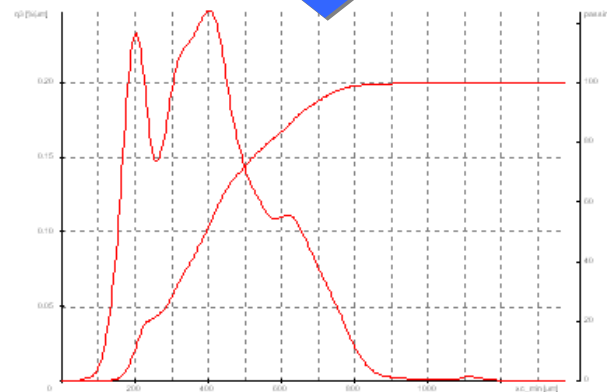
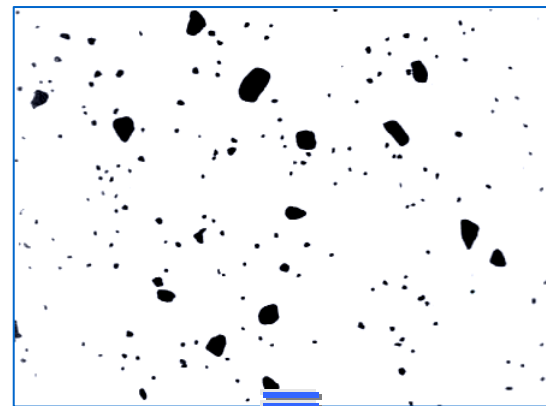
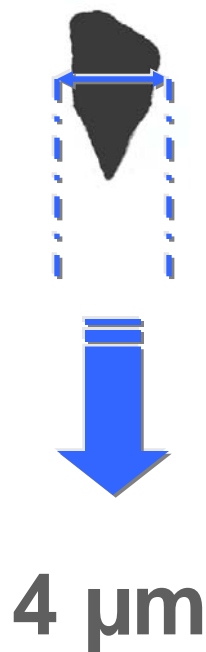


Particle Distribution



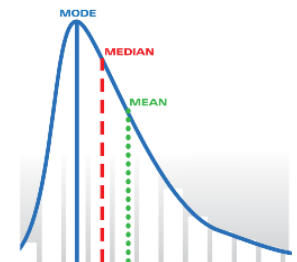
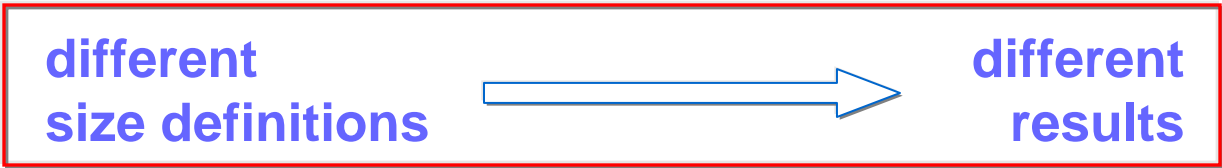
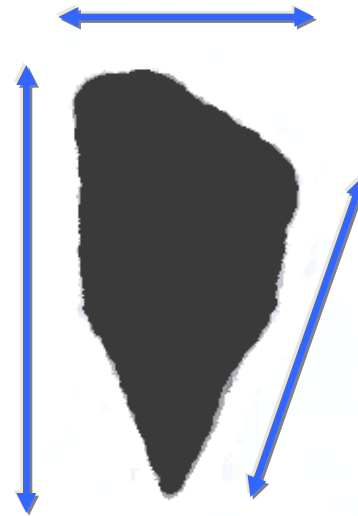
The Basics

Particle Size Particle Size Distribution



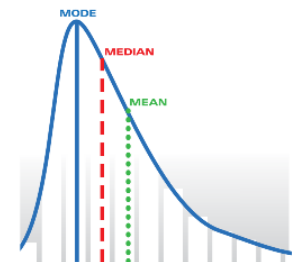
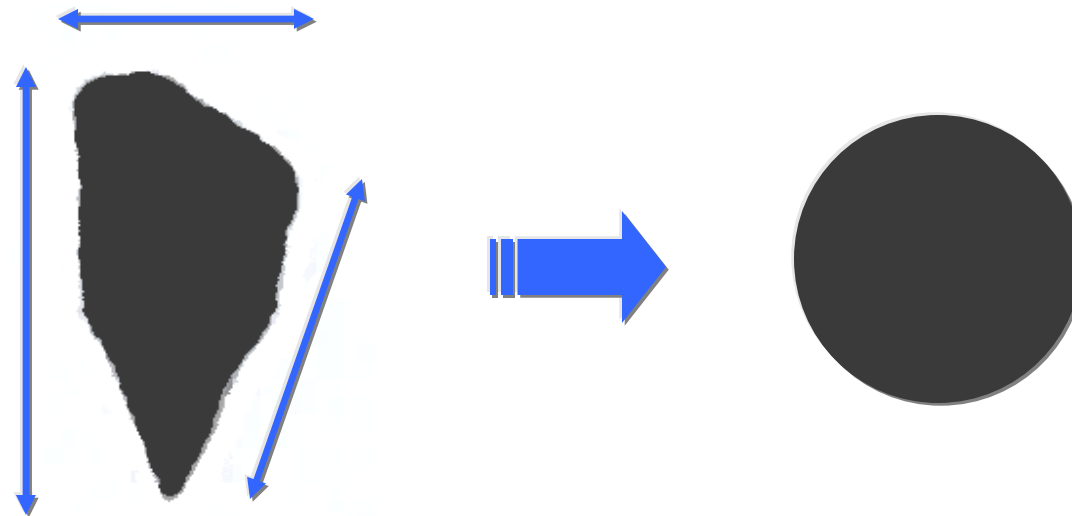
The Basics

Which is the most meaningful size?



The Basics

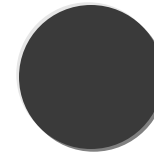
What sizes can be measured?



The Basics

Laser Diffraction

Equivalent Spherical Diameter



Dynamic Light Scattering

Hydrodynamic Radius

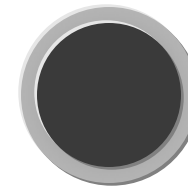


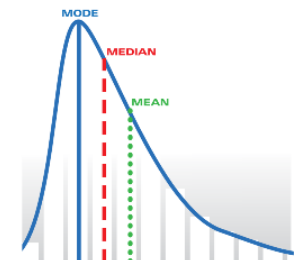
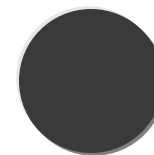
Image Analysis

Lengths, Widths, Equivalent Spherical



Acoustic Spectroscopy

Equivalent Spherical Diameter

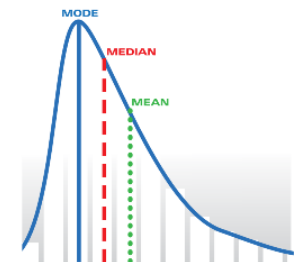
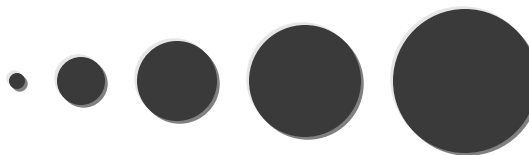
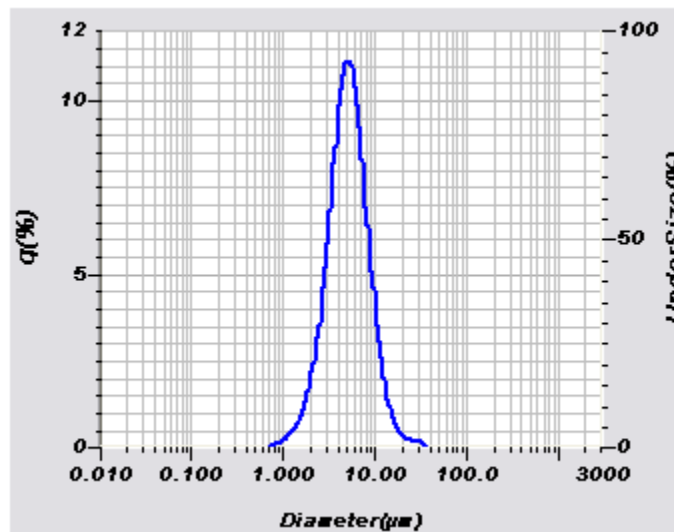


The Basics

Laser Diffraction

Assumes hard, spherical shape model

q% = amount of each size by volume

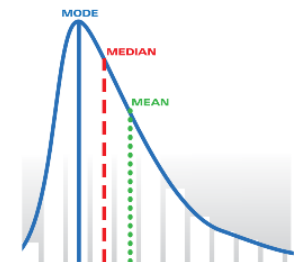
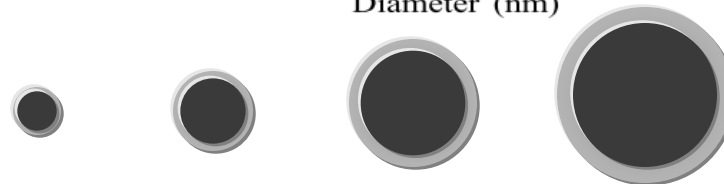
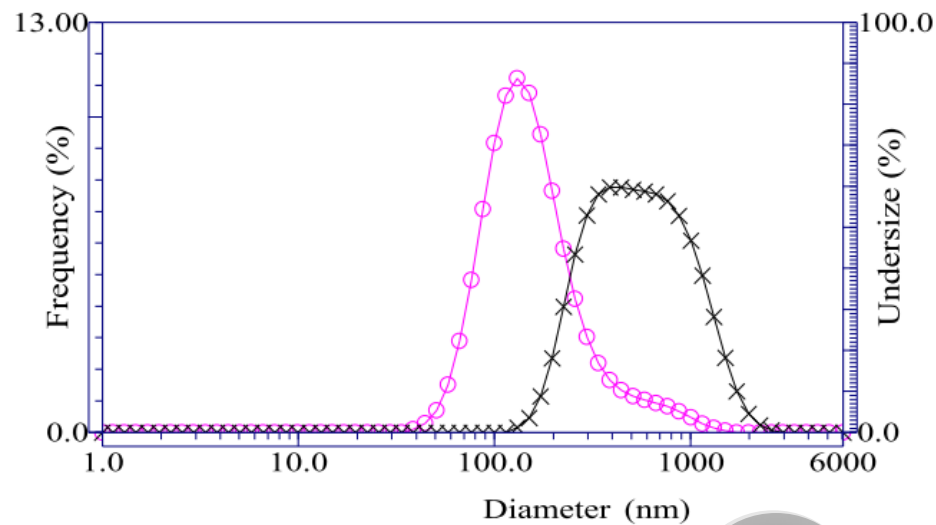


The Basics

Dynamic Light Scattering

Assumes hard, spherical shape model

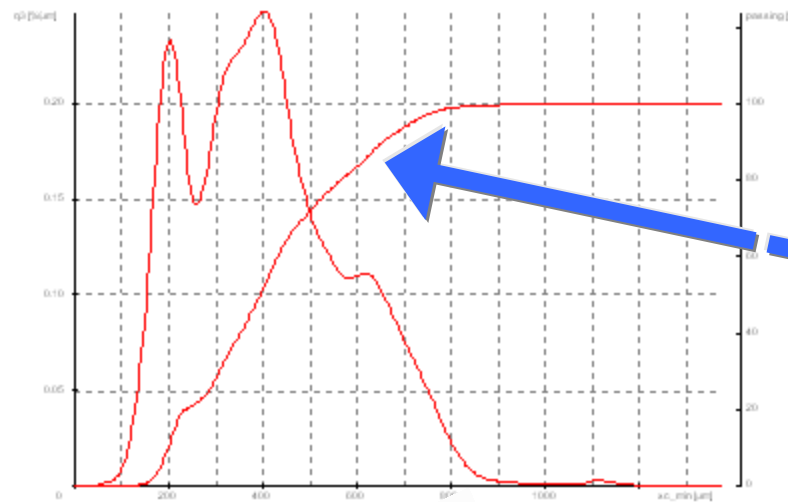
Frequency %
= amount
of each size
by volume



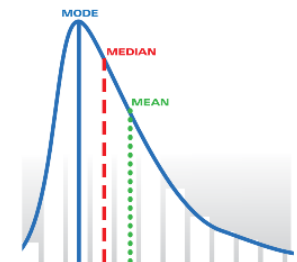
The Basics

Image Analysis

Measures particle projection → no shape assumption



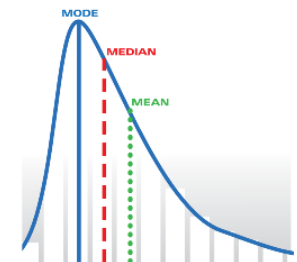
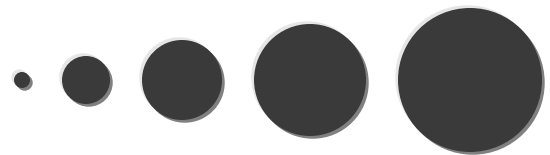
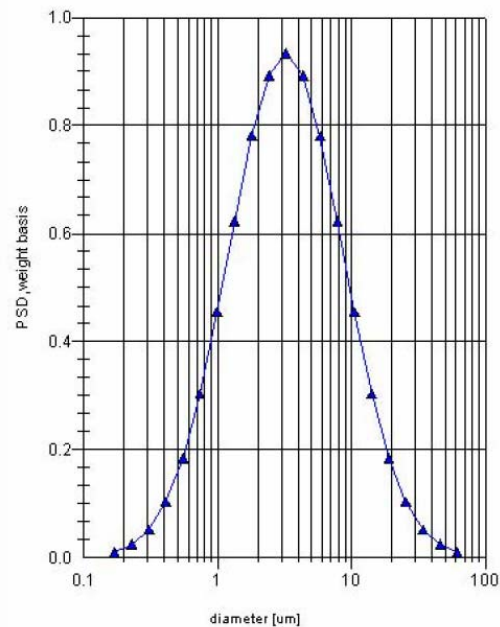
**Undersize
Passing
Q3**

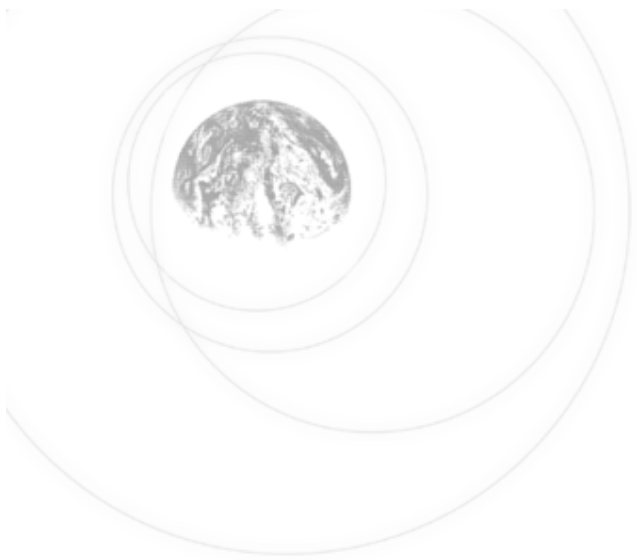


The Basics

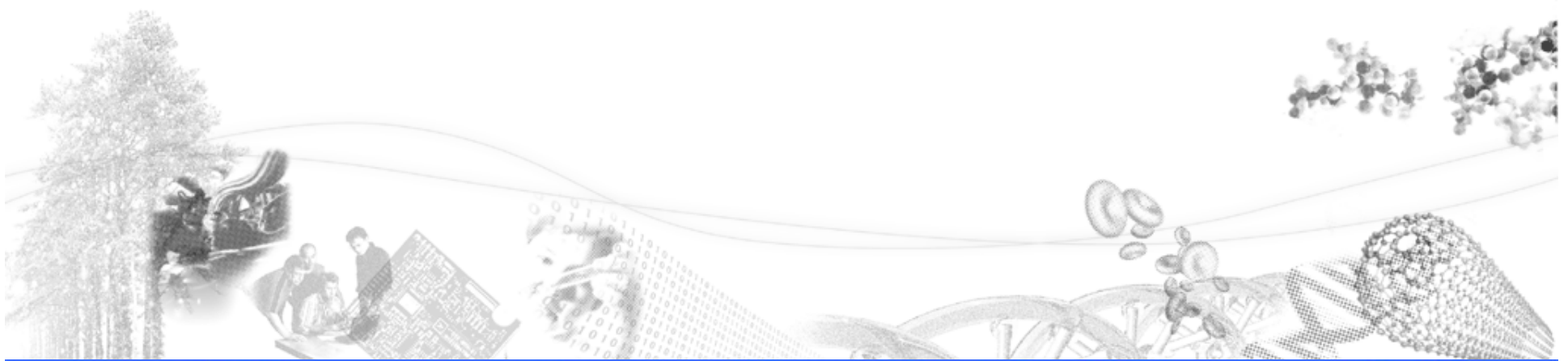
Acoustic Spectroscopy

Assumes hard, spherical shape model





Quiz!



Explore the future

Automotive Test Systems | Process & Environmental | Medical | Semiconductor | Scientific

HORIBA

© 2010 HORIBA, Ltd. All rights reserved.

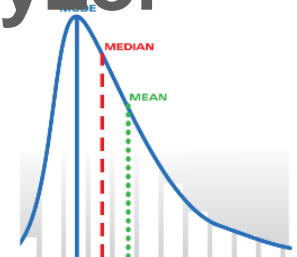
Conclusions

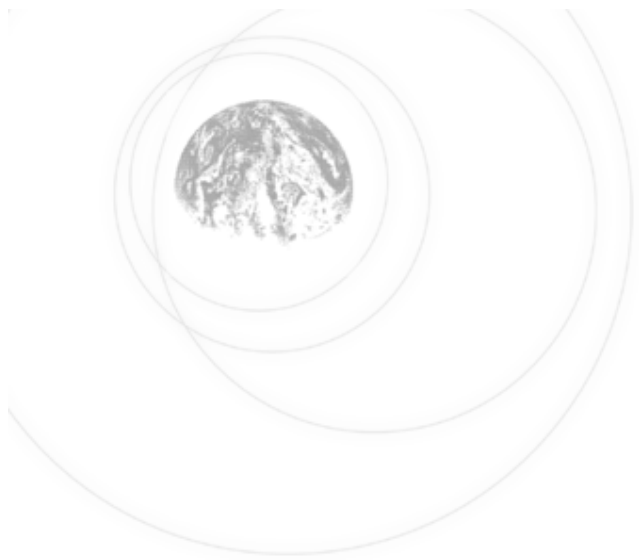
- **Understand the data**

A little goes a long way!

- **Know something about the particles in your sample**

- **Particles have multiple dimensions, know which dimension your analyzer measures!**





Defining Parameters



Explore the future

Automotive Test Systems | Process & Environmental | Medical | Semiconductor | Scientific

HORIBA

© 2010 HORIBA, Ltd. All rights reserved.

Terms, Terms, and more Terms

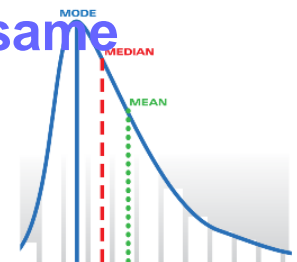
Particle Size

Monomodal: One Peak
Bimodal: Two Peaks
Multimodal: Multiple Peaks

Monodisperse: All particles have same size
Polydisperse: Particles have many sizes

Volume diameter: Diameter of a sphere having the same volume as the particle

Surface diameter: Diameter of a sphere having the same surface as the particle



Terms, Terms, and more Terms

Particle Size

Frequency% / q% /
p3 / Retained / Sph Vol%

Amount of each size by volume

Volume-based diameter

Calculated from vol. distribution
emphasizes coarse particles
(larger volume)

Number-based diameter

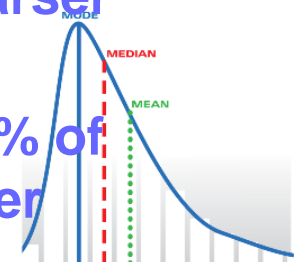
Calculated from number dist.
(individual particles)
emphasizes fine particles

Cumulative% on diameter

% of distribution finer/coarser
than specified size

Diameter on cumulative%

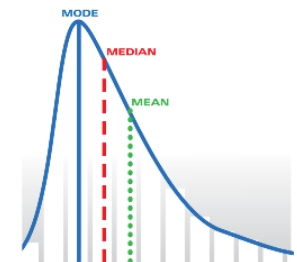
Size at which a specified % of
distribution is finer/coarser

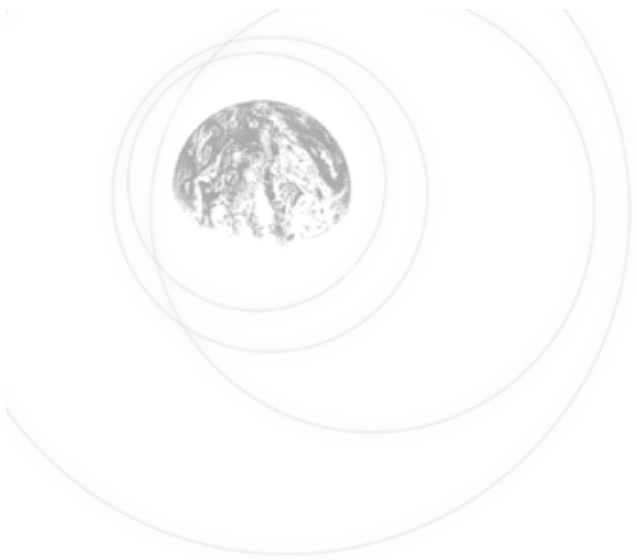


Terms, Terms, and more Terms

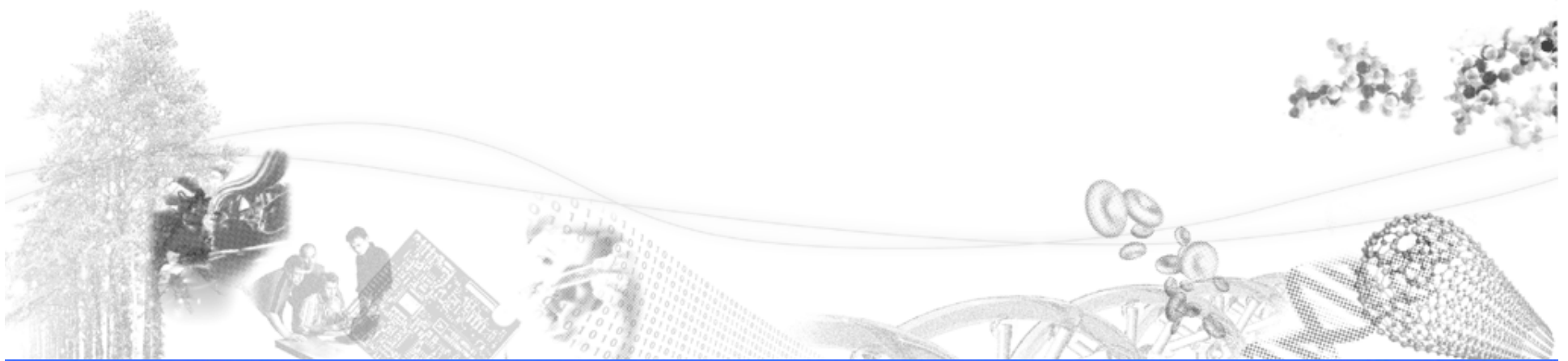
Particle Shape

Acicular:	Needle-shaped, rigid
Angular:	Edgy, hard angles
Fibrous:	Thread-like, non-rigid
Granular/Blocky:	Irregular-shaped, low aspect-ratio
Spherical:	Regular-shaped, unity aspect ratio
Aspect ratio:	Breadth / length OR Length / breadth
Sphericity:	How spherical is the particle?
Roundness:	How round is the particle?





Quiz!



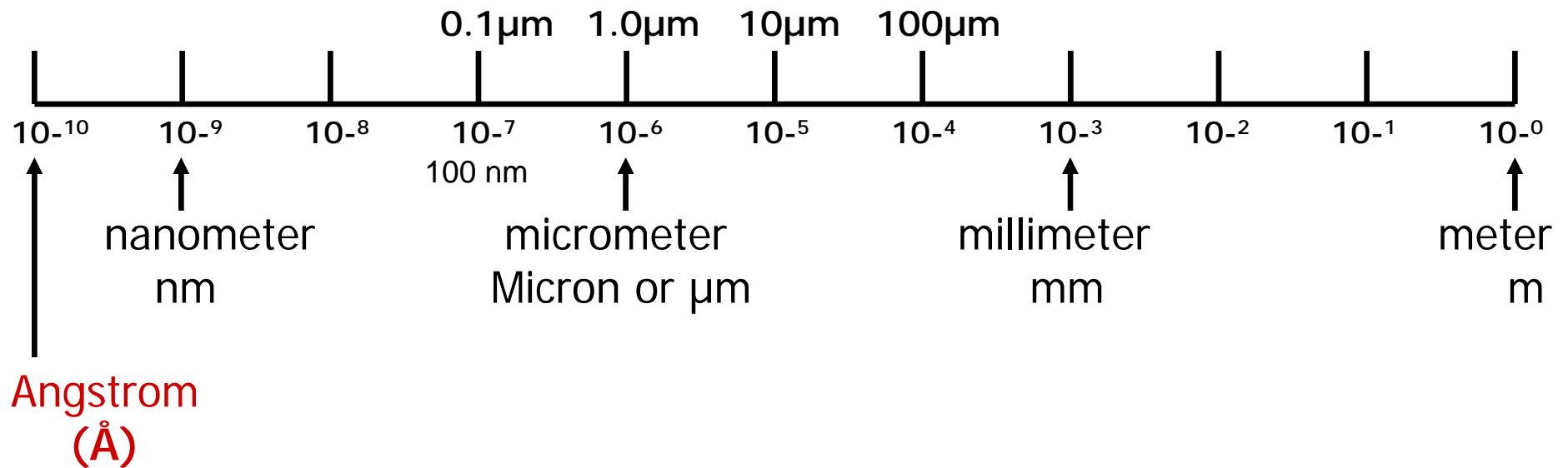
Explore the future

Automotive Test Systems | Process & Environmental | Medical | Semiconductor | Scientific

HORIBA

© 2010 HORIBA, Ltd. All rights reserved.

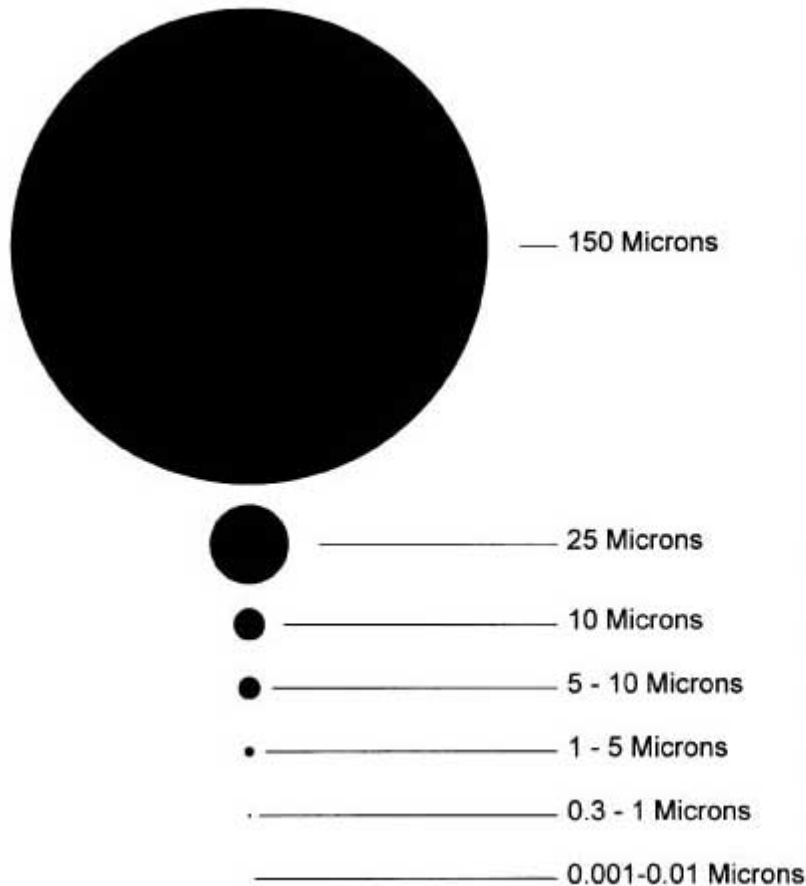
Size Terminology



The most common designation is **micrometers** or **microns**. When very small, in colloid region, measured in **nanometers**, with electron microscopes or by dynamic light scattering.



Relative Size

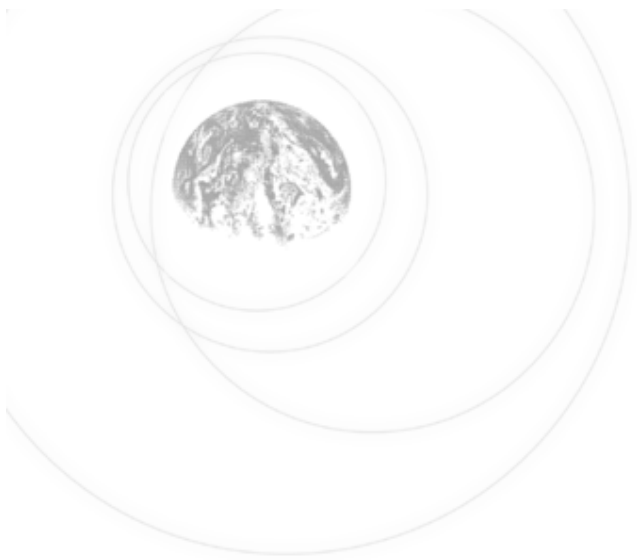


- Human Hair

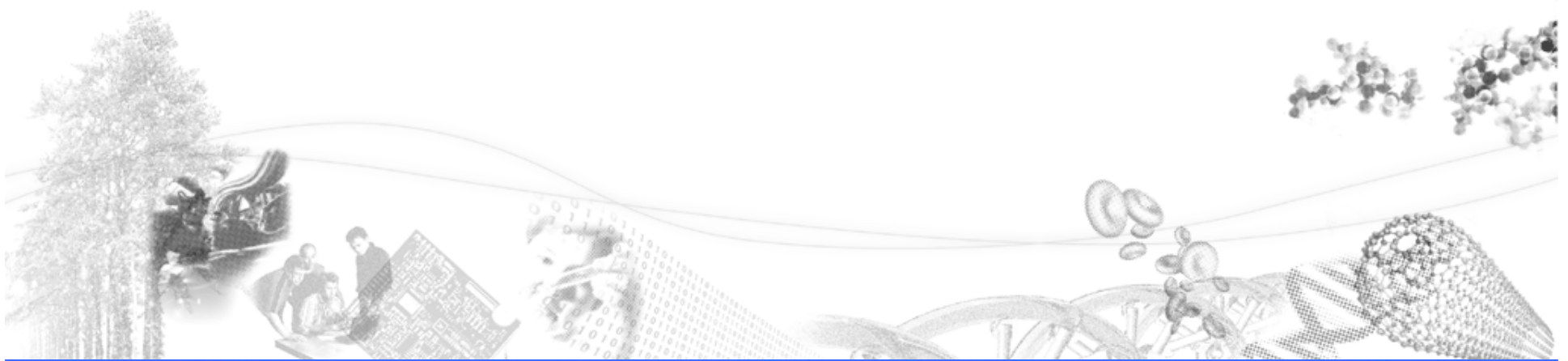
Visible Particles: Lint, Dust, width of the **ridges of fingertips**.

- Dust and free flowing powders
- Suspensions and fine powders
- Suspensions and fine powders
- Emulsions and Colloids
- Proteins, Viruses, and Macro-Molecules





Quiz!



Explore the future

Automotive Test Systems | Process & Environmental | Medical | Semiconductor | Scientific

HORIBA

© 2010 HORIBA, Ltd. All rights reserved.

Central Values

Mean

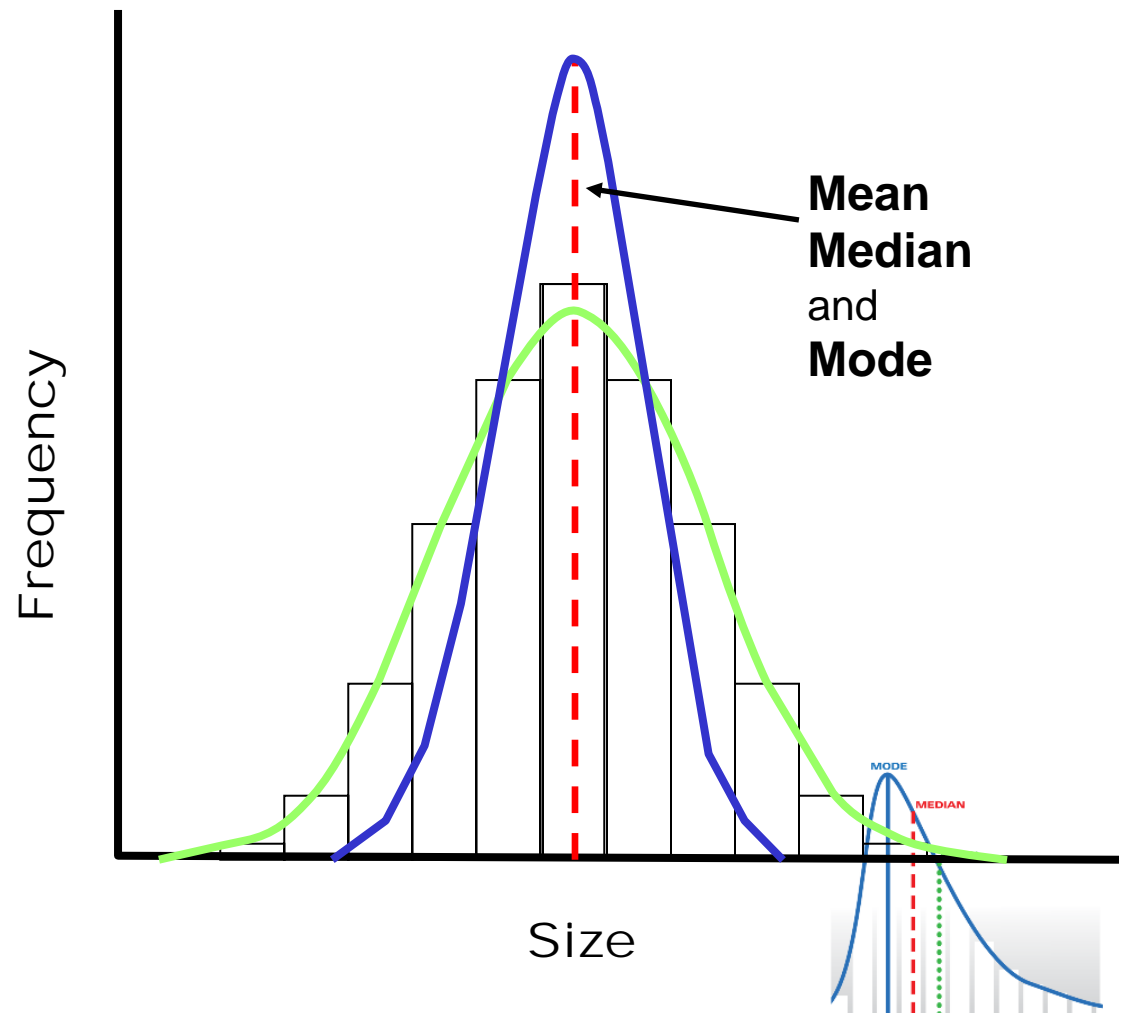
Weighted Average
Center of Gravity

Median

50% Point

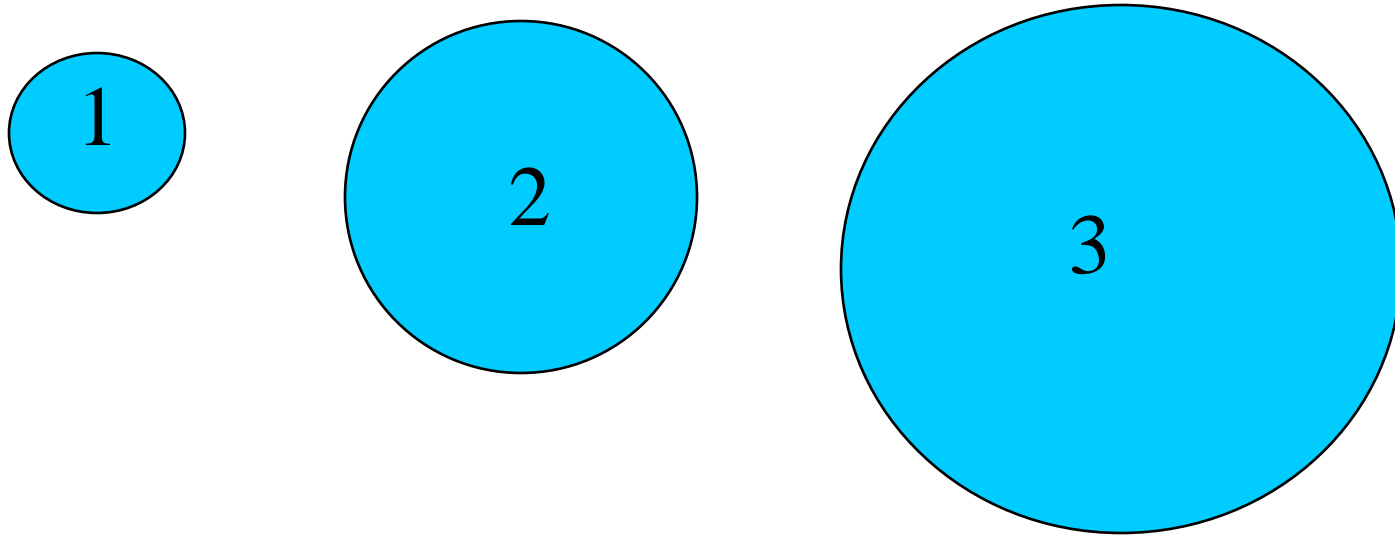
Mode

Peak of the distribution
Most common value



What does “Mean” mean?

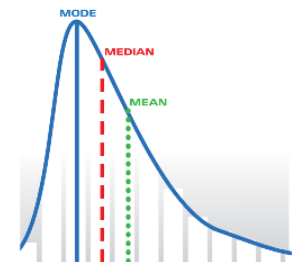
Three spheres of diameters 1,2,3 units



What is the average size of these spheres?

$$\text{Average size} = (1+2+3) \div 3 = 2.00$$

This is called the D[1,0] - the number mean



Many possible Mean values

$$X_{nl} = D[1,0] = \frac{1+2+3}{3} = 2.00$$

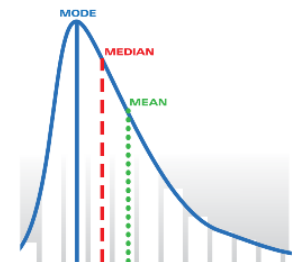
$$X_{ns} = D[2,0] = \sqrt{\frac{1+4+9}{3}} = 2.16$$

$$X_{nv} = D[3,0] = \sqrt[3]{\frac{1+8+27}{3}} = 2.29$$

$$X_{sv} = D[3,2] = \frac{1+8+27}{1+2+3} = 2.57$$

$$X_{vm} = D[4,3] = \frac{1+16+81}{1+8+27} = 2.72$$

None of the answers are wrong they have just been calculated using different techniques



Volume-based Mean diameter

D[4,3] which is often referred to as the Volume Mean Diameter [VMD]

$$D [4,3] = \frac{\sum D_i^4 n_i}{\sum D_i^3 n_i}$$

Monitoring the D[4,3] value in your specification will emphasize the detection of large particles

Mean Size

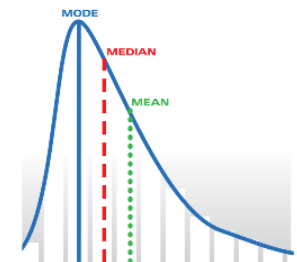
The frequency distribution is found using the arithmetical mean diameter, as shown in the formula below.

$$\text{Mean Diameter} = \frac{\sum \{q(J) \times X(J)\}}{\sum \{q(J)\}}$$

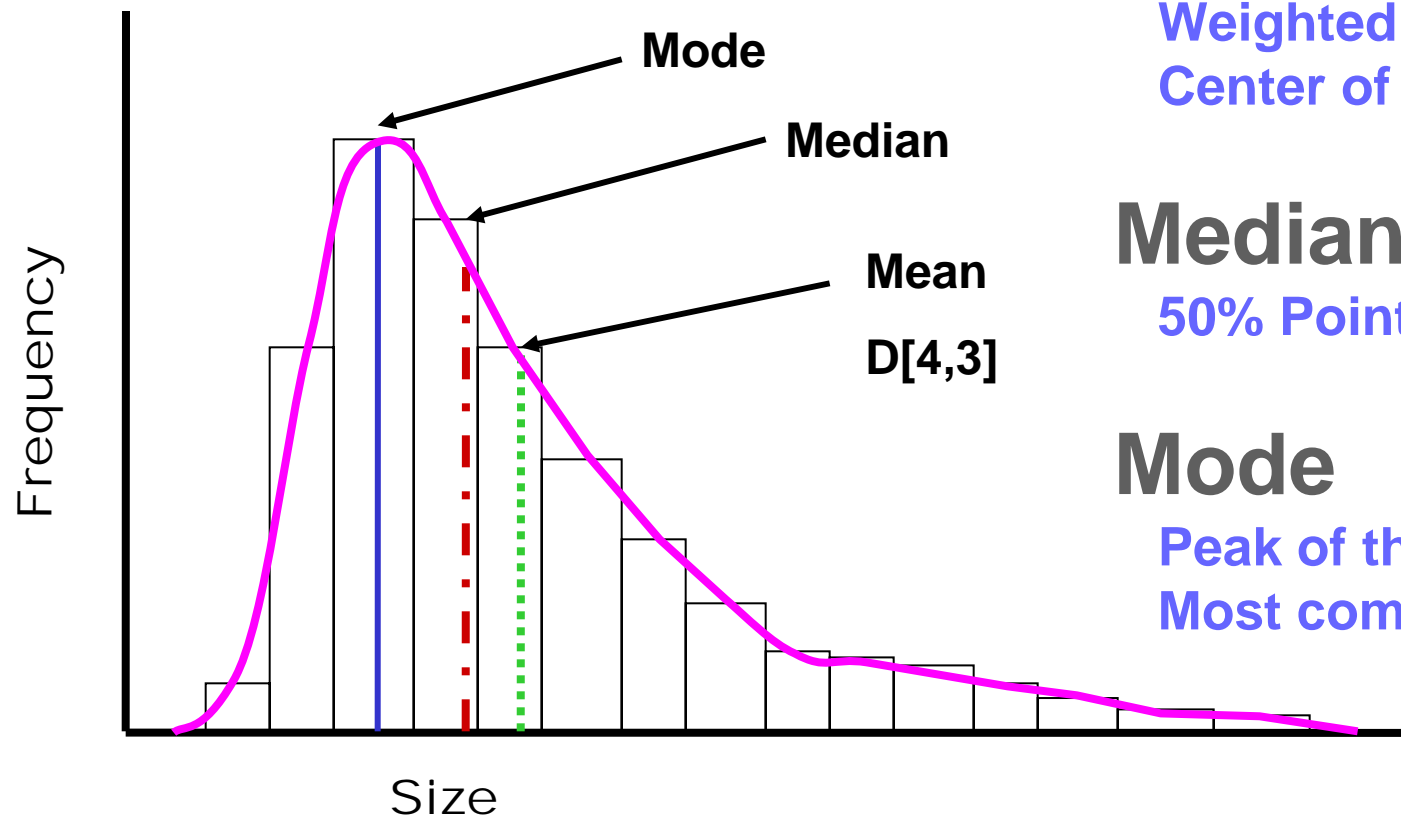
J : Particle Diameter Division Number

q(J) : Frequency Distribution Value (%)

X(J) : Jth Particle Diameter Range's Representative Diameter (μm).



Central Values revisited



Mean

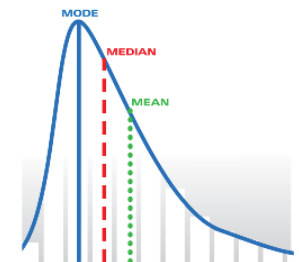
Weighted Average
Center of Gravity

Median

50% Point

Mode

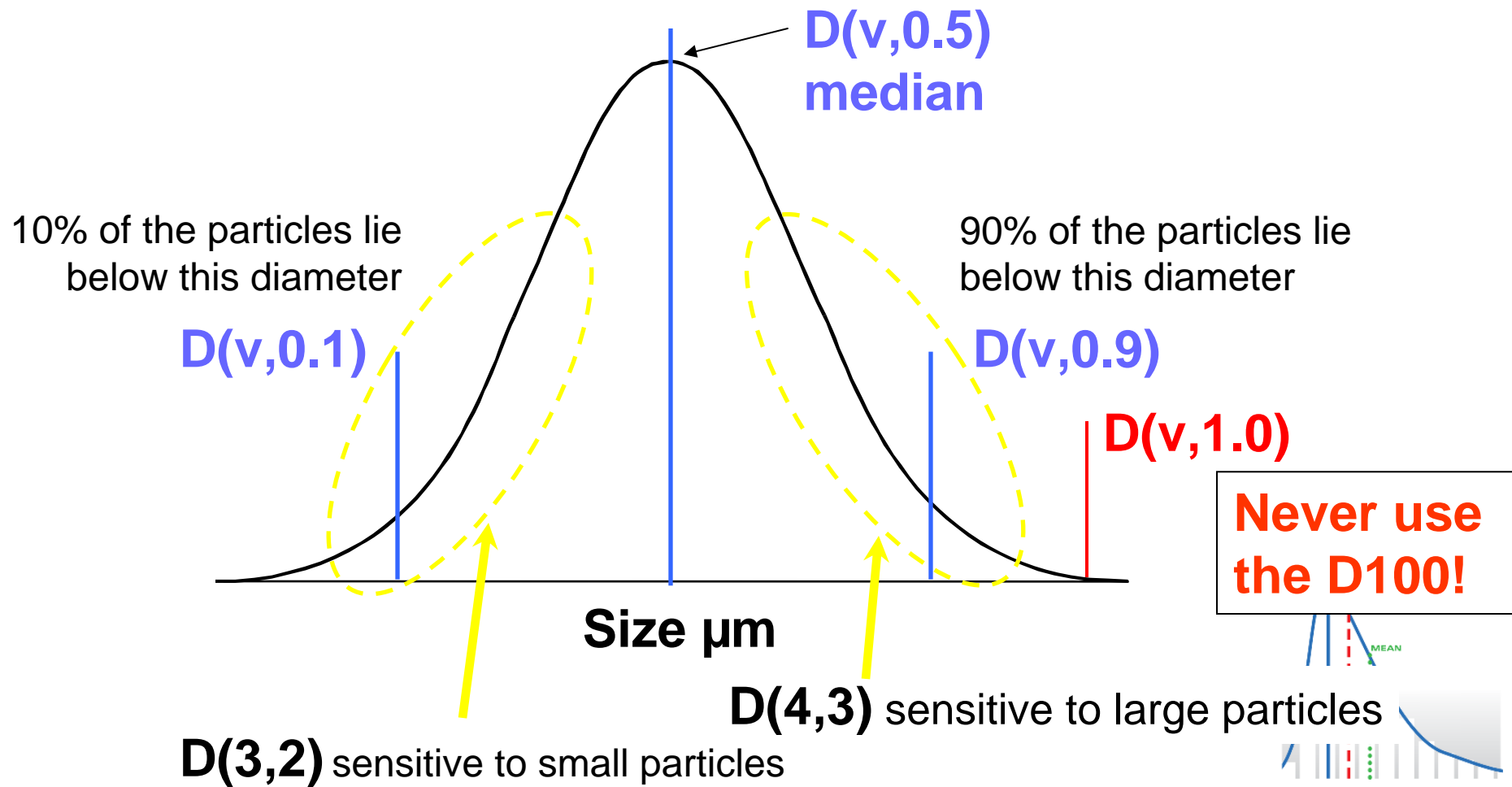
Peak of the distribution
Most common value



Remember: D[4,3] is sensitive to large particles

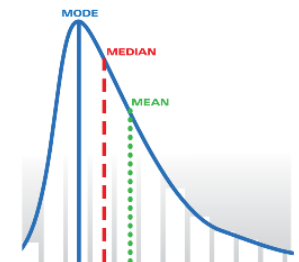
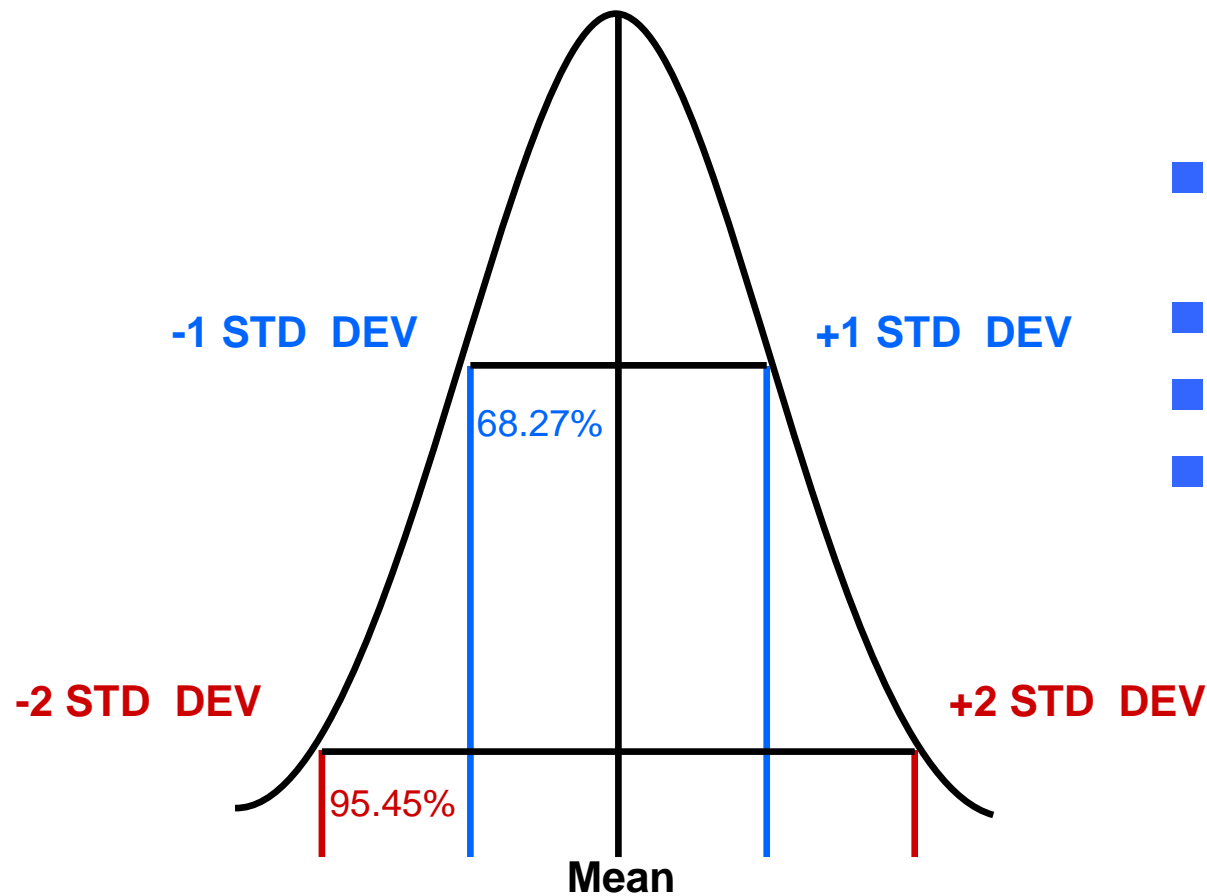
Most Common Statistics

half are smaller than this diameter half are larger than this diameter



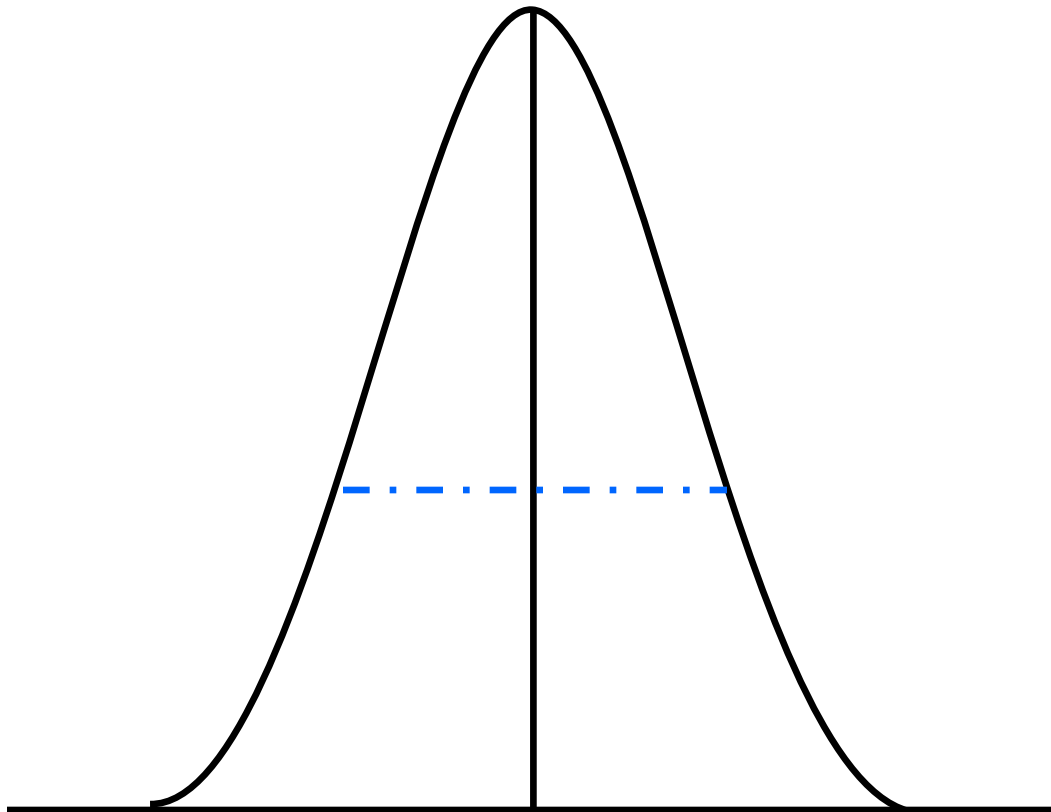
Standard Deviation

- Normal (Gaussian) Distribution Curve
- μ = distribution mean
- σ = standard deviation
- Exp = base of natural logarithms

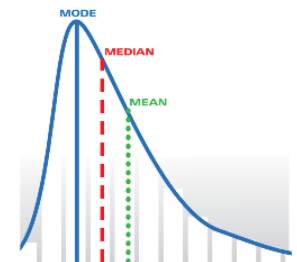


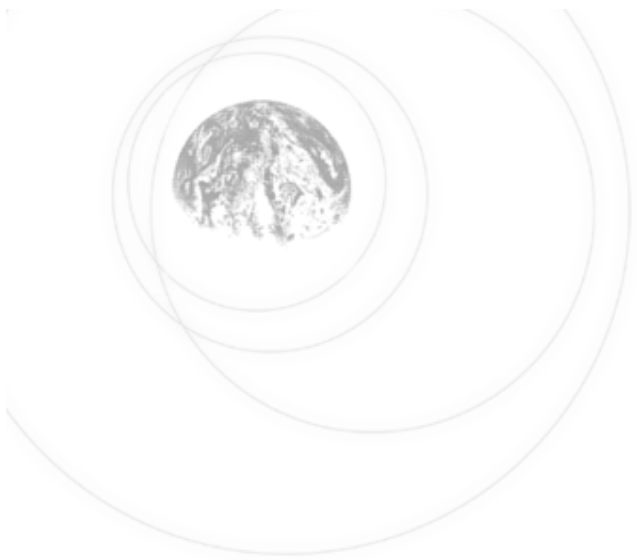
$$Y = \frac{1}{\sigma \sqrt{2\pi}} \text{Exp} \left[\frac{-(x - \mu)^2}{2\sigma^2} \right]$$

Distribution Width



- Polydispersity Index (PI, PDI)
- Span
- Geometric Std. Dev.
- Variance
- Etc...





Quiz!



Explore the future

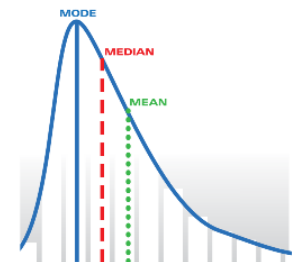
Automotive Test Systems | Process & Environmental | Medical | Semiconductor | Scientific

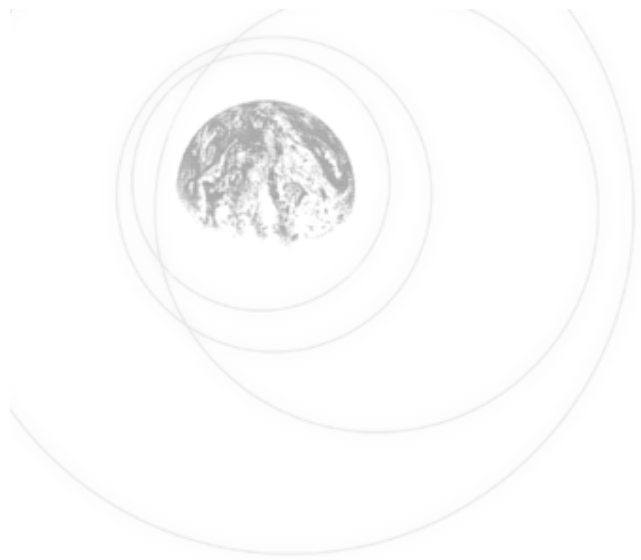
HORIBA

© 2010 HORIBA, Ltd. All rights reserved.

Conclusions

- Be familiar with the nomenclature
- Many parameters can describe distribution
D50, D10, D90 commonly used
- Which Mean do you mean?





Choosing Parameters



Explore the future

Automotive Test Systems | Process & Environmental | Medical | Semiconductor | Scientific

HORIBA

© 2010 HORIBA, Ltd. All rights reserved.

Choosing good statistics

Statistics describing the distribution must...

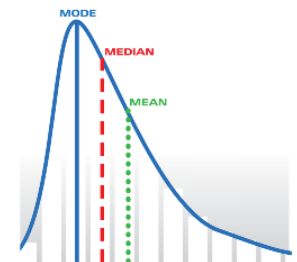
Tell us about our process

Must be relevant

Must be controlled well

Be reproducible!

Poor precision is the result of either a poor method or poor statistical choices



The Basis for Reliable Data

Reproducibility!

Prepare, measure, empty, repeat

What would be good reproducibility?

Look at the accepted standards

ISO 13320

COV < 3% at Median (D50)

COV < 5% at D10 and D90

USP <429>

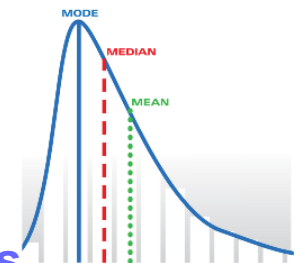
COV < 10% at Median (D50)

COV < 15% at D10 and D90

$$\text{COV} = 100 * (\text{StDev} / \text{Mean})$$

Note: All limits double when D50 < 10 μm

Note: Must acquire at least 3 measurements from unique samplings



Calculation Automation

Select Summary Items

Item List: Test or Assay, Number, Remarks 1-10

Summary Items: Sample Name, Material, Source, Lot Number, D(v,0.1), D(v,0.5), D(v,0.9)

Buttons: Add >>, Delete, Clear, Up, Down, Font, Open, Save As, Cancel, OK

Font: MS Sans Serif

Orientation: Portrait Landscape

Show Summary Averages Show Summary Std. Dev.
 Show Coefficient of variation(Relative Std. Dev.)

Validation: Specification: **USP 429**

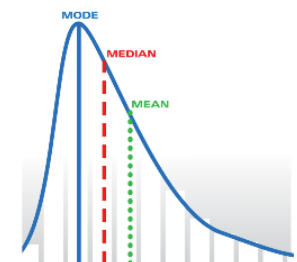
D(v,0.1) Range (± %): 15, 10, 15
 D(v,0.5) >= 10µm: 30, 20, 30

Summary Report

Export Summary | Print Summary | Edit Layout | Best Fit Columns | Hide Selected | Exit

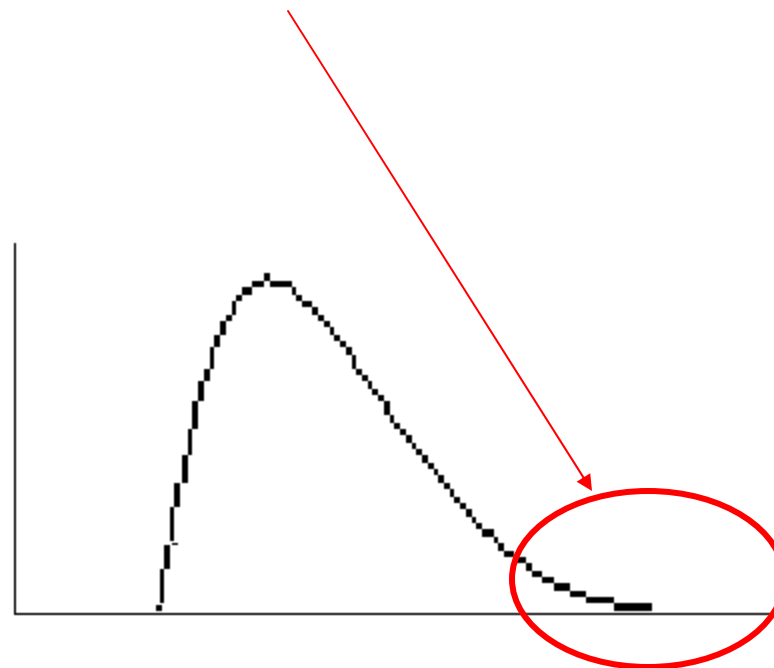
Sample Name	Material	Source	Lot	D(v,0.1)	D(v,0.5)	D(v,0.9)
Sample 4	PinnoThin TG Powde Herbalife			0.052	0.052	0.052
Sample 4	PinnoThin TG Powde Herbalife			0.052	0.052	0.052
Sample 4	PinnoThin TG Powde Herbalife			0.052	0.052	0.052
Sample 4	PinnoThin TG Powde Herbalife			0.045	0.045	0.045
Sample 4	PinnoThin TG Powde Herbalife			0.045	0.045	0.045
Sample 4	PinnoThin TG Powde Herbalife			0.045	0.045	0.045
Sample 4	PinnoThin TG Powde Herbalife			0.040	0.040	0.040
Sample 4	PinnoThin TG Powde Herbalife			0.039	0.039	0.039
Sample 4	PinnoThin TG Powde Herbalife			0.040	0.040	0.040
Sample 4	PinnoThin TG Powde Herbalife			0.048	0.048	0.048
Sample 4	PinnoThin TG Powde Herbalife			0.048	0.048	0.048
Sample 4	PinnoThin TG Powde Herbalife			0.048	0.048	0.048
Sample 4	PinnoThin TG Powde Herbalife			0.048	0.048	0.048
Sample 4	PinnoThin TG Powde Herbalife			0.045	0.045	0.045
Average				0.046	0.046	0.046
Std. Dev.				0.005	0.005	0.005
CV (%)				9.805	9.805	9.805
USP 429 (30.0, 20.0, 30.0)				PASSED	PASSED	PASSED

Unique, automatic feature in LA-950 software



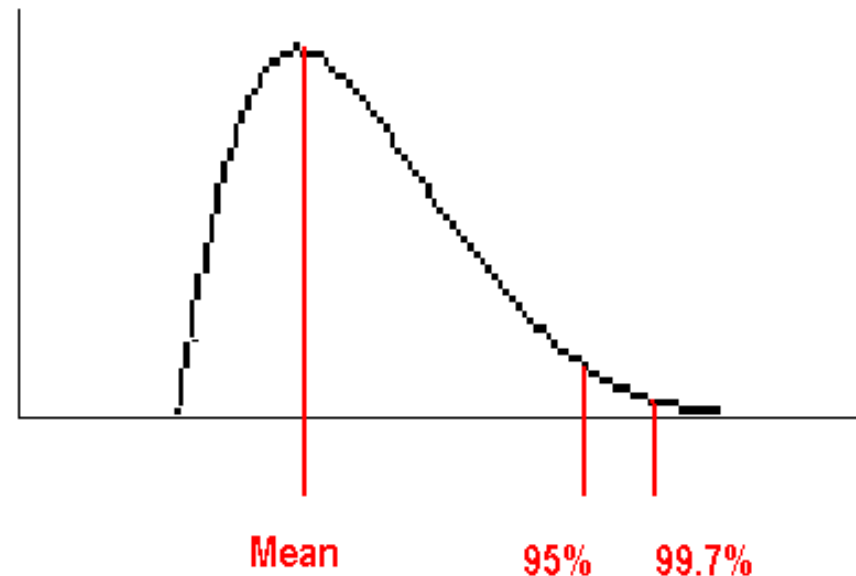
Distribution Extremes

- At a distance of a few standard deviations, non-instrumental errors can dominate



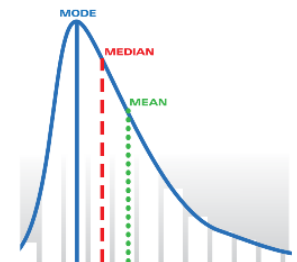
2 and 3 Standard Deviations

- 95% of the distribution is within 2 standard deviations from the Mean
- 99.7% of the distribution is within 3 standard deviations from the mean



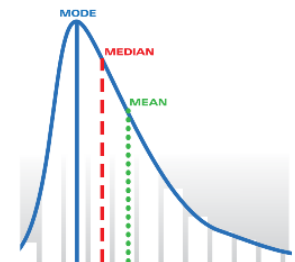
Reproducibility at the Extremes

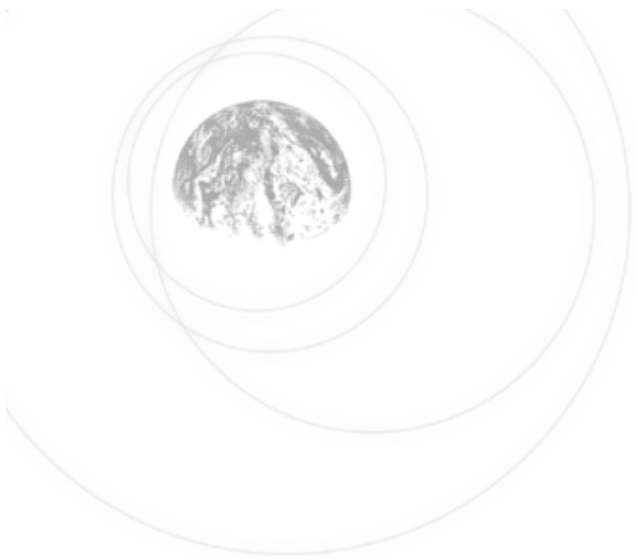
- If we want the same level of reproducibility at the D99 value as the D50, we need to analyze similar amounts of material in the D99 histogram band



Better Method to Monitor Extremes

- Instead of specifying the D95, D99, D99.99, D100, DMax
- Specify the % of material greater than a certain size





Quiz!



Explore the future

Automotive Test Systems | Process & Environmental | Medical | Semiconductor | Scientific

HORIBA

© 2010 HORIBA, Ltd. All rights reserved.

Conclusions

- **Parameters should reflect product performance**

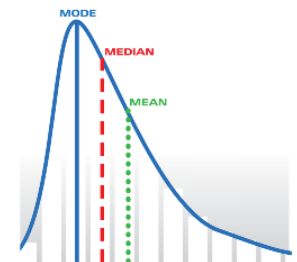
But don't make your life more difficult than it needs to be!

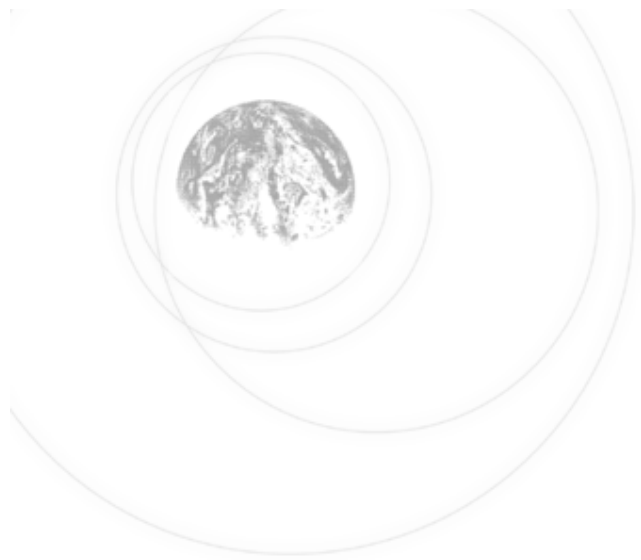
- **Look to appropriate standards**

ISO 13320, USP <429> can provide guidance

- **Avoid monitoring the extremes whenever possible**

Better to use D(4,3) when you want spec. to be sensitive to presence of large particles





Advanced Result Interpretation



Explore the future

Automotive Test Systems | Process & Environmental | Medical | Semiconductor | Scientific

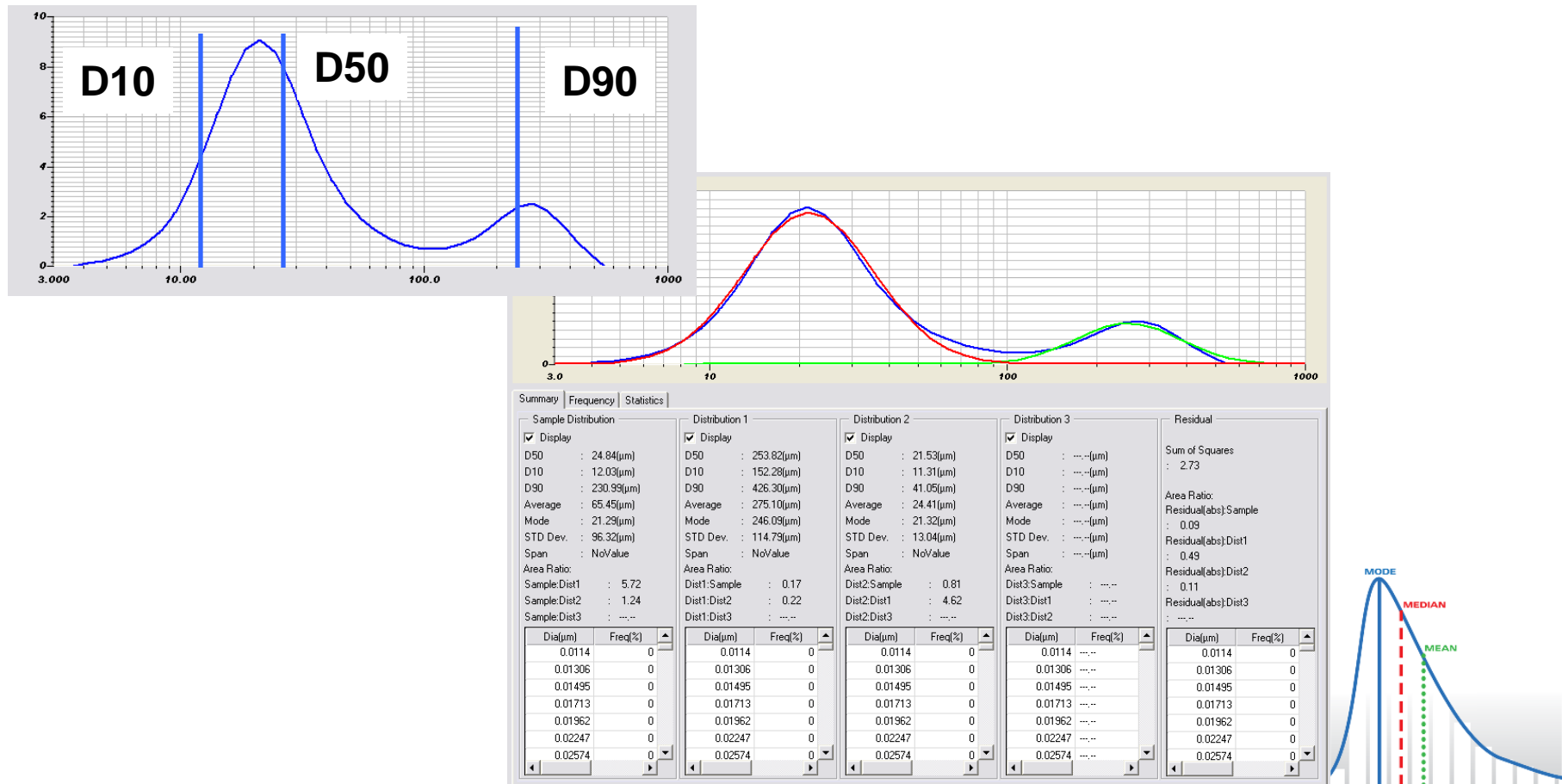
HORIBA

© 2010 HORIBA, Ltd. All rights reserved.

General

Multiple Modes

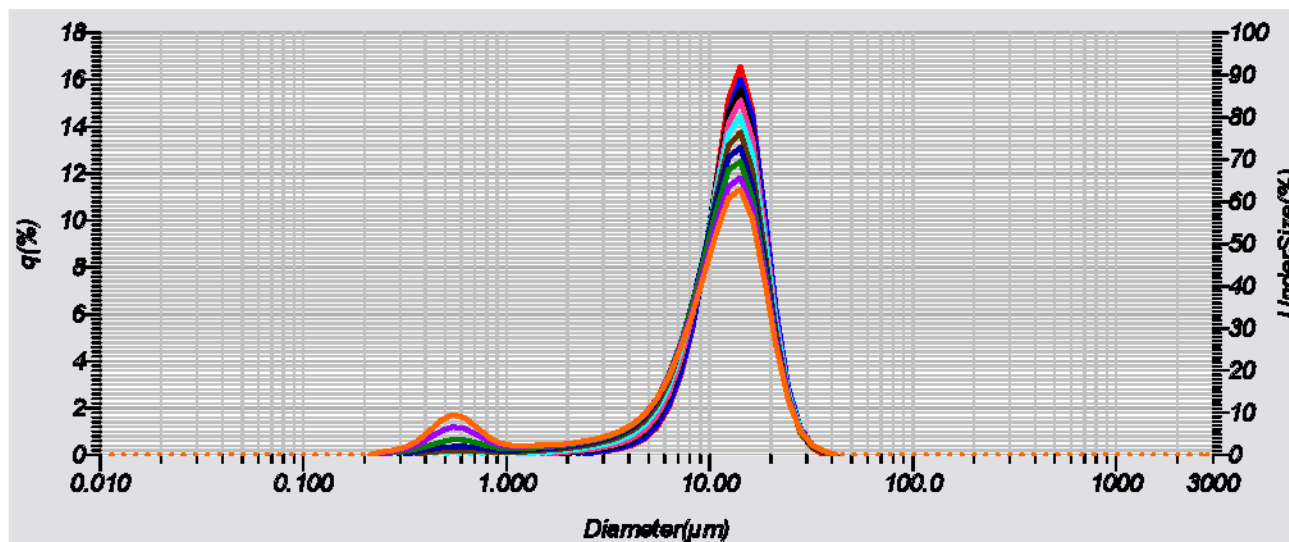
Multiple peaks can be better described individually



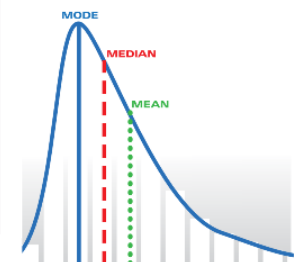
Laser Diffraction

Multiple Scattering

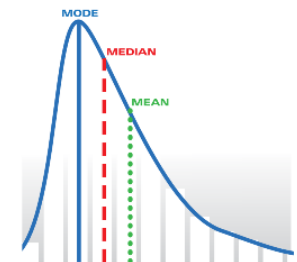
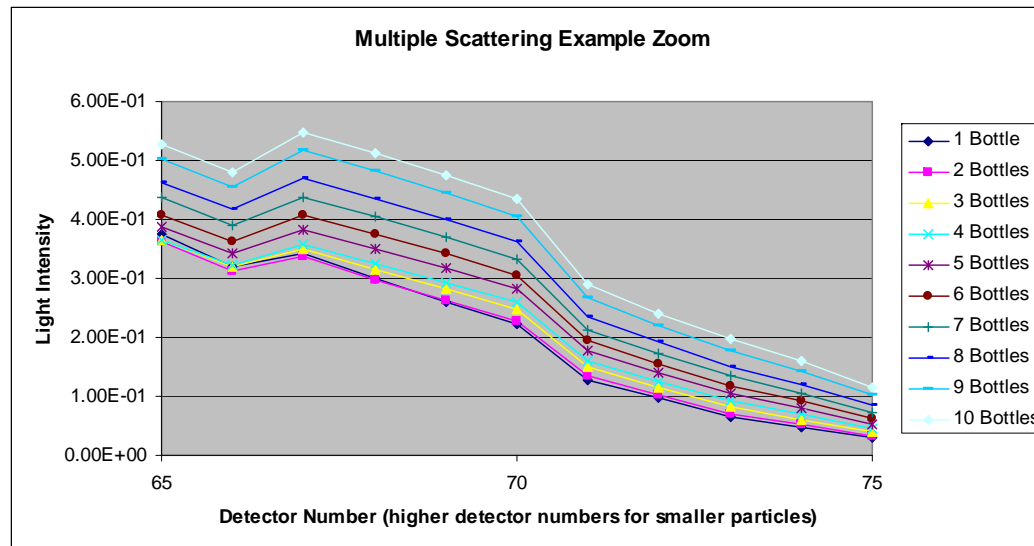
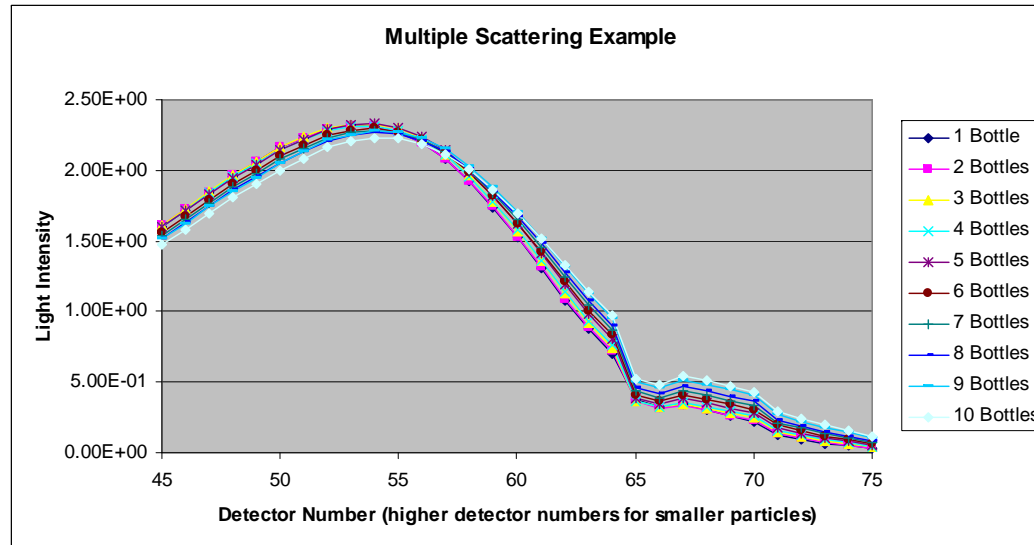
Watch for finer “particles” appearing with increasing concentration



Graph Type	Test or Assay. Number	Transmittance(R)	Transmittance(B)	Verification
1	1 bottle	85.4(%)	85.2(%)	1.OK 2.OK 3.OK
2	2 bottles	70.7(%)	71.8(%)	1.OK 2.OK 3.OK
3	3 bottles	58.1(%)	60.2(%)	1.NG 2.OK 3.OK
4	4 bottles	48.1(%)	51.9(%)	1.NG 2.OK 3.OK
5	5 bottles	39.4(%)	44.7(%)	1.NG 2.OK 3.OK
6	6 bottles	32.4(%)	38.6(%)	1.NG 2.OK 3.OK
7	7 bottles	27.7(%)	34.1(%)	1.NG 2.NG 3.OK
8	8 bottles	23.4(%)	29.9(%)	1.NG 2.NG 3.OK
9	9 bottles	18.9(%)	25.9(%)	1.NG 2.NG 3.OK
10	10 bottles	15.9(%)	22.7(%)	1.NG 2.NG 3.OK



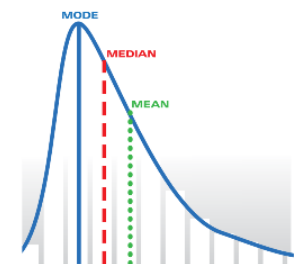
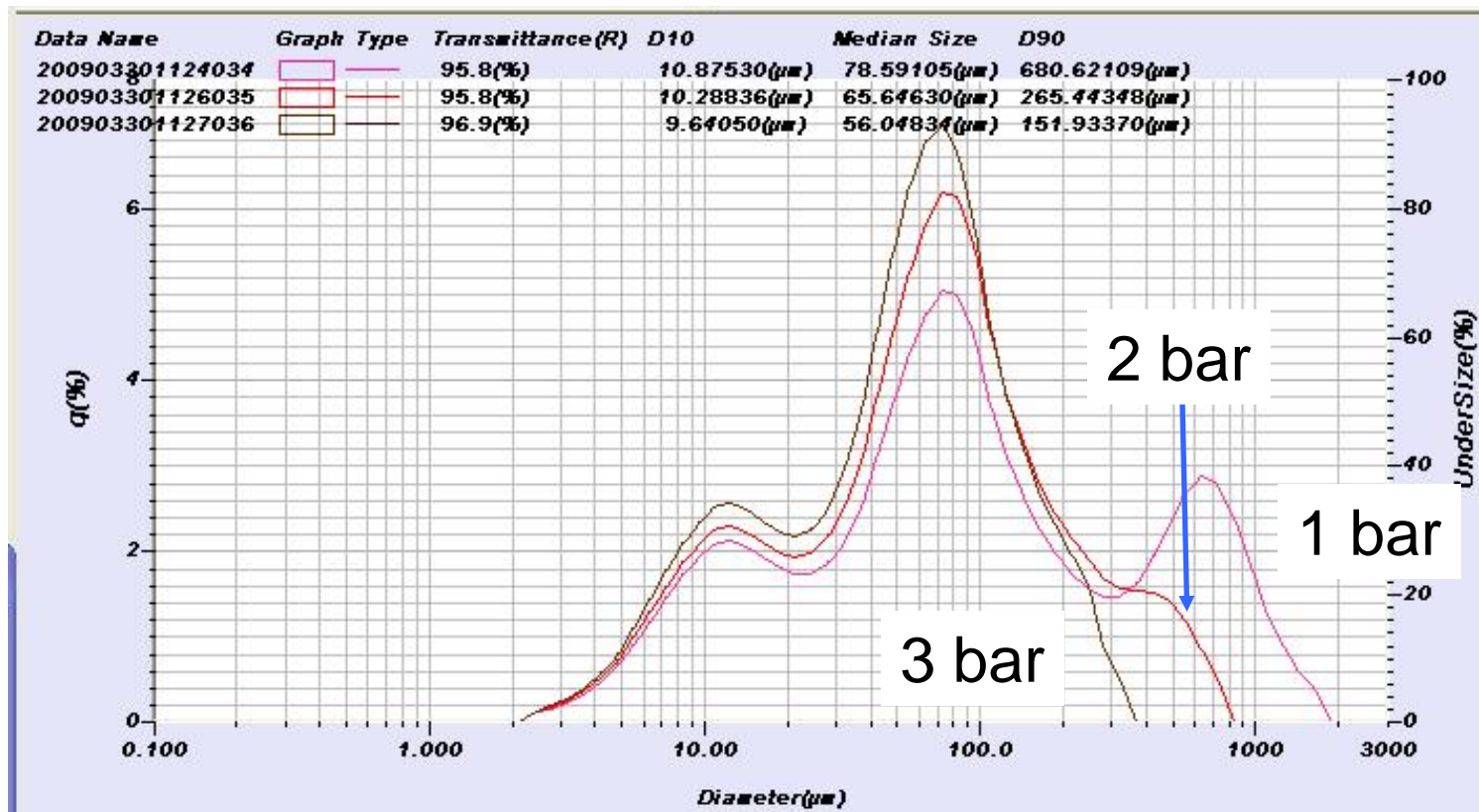
Multiple Scattering



Laser Diffraction

Dispersing Agglomerates

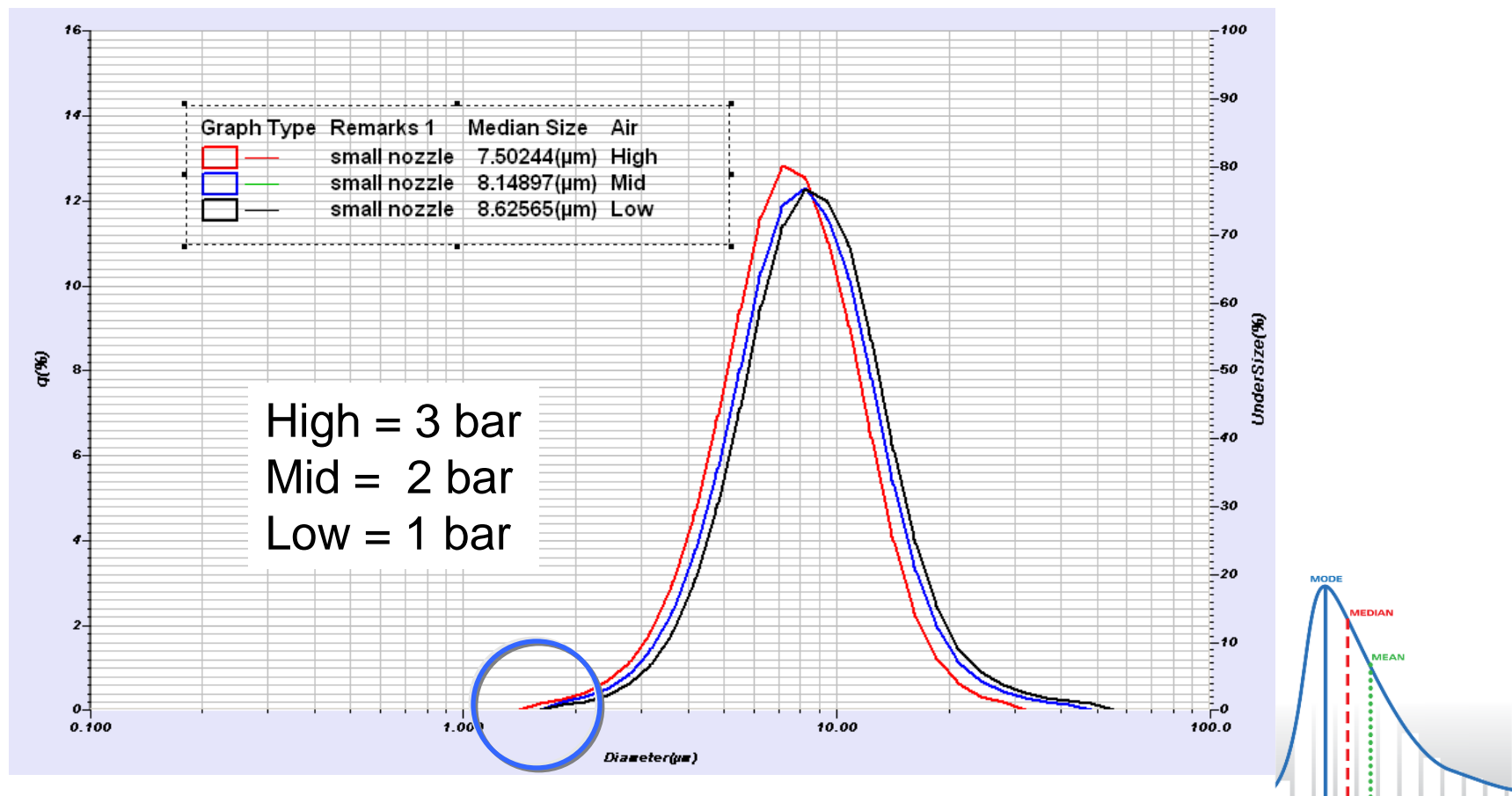
Watch for no change in coarsest particles with changing energy



Laser Diffraction

Breaking Particles

Watch for finer particles being created with increasing energy



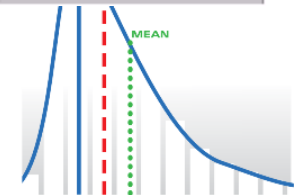
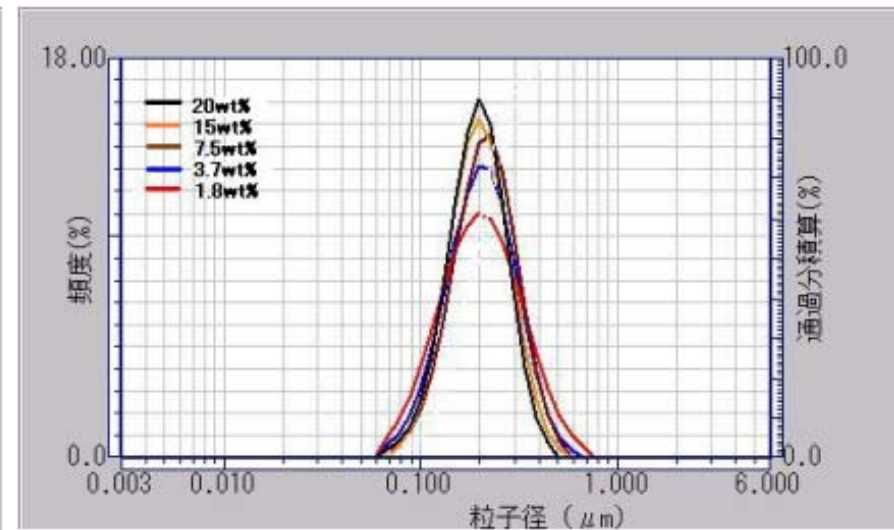
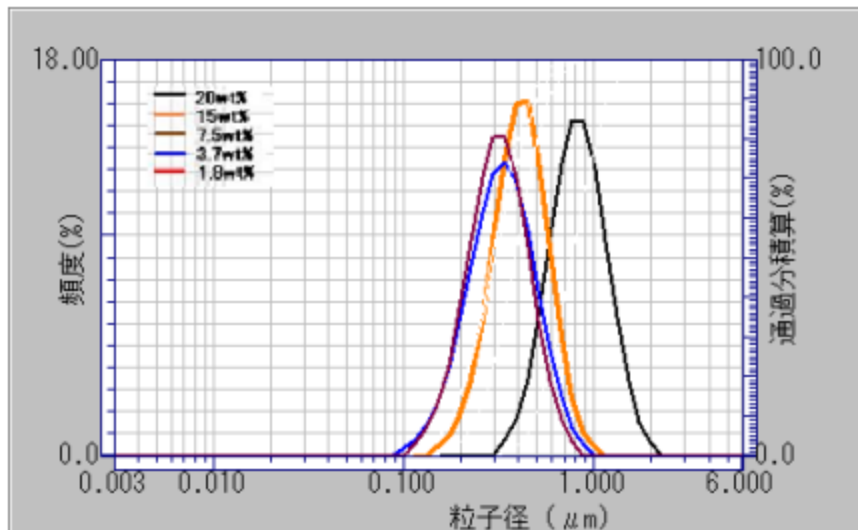
Dynamic Light Scattering

Restricted Diffusion

Use *bulk viscosity* for concentrated sample

Apparent size shifts larger with increasing concentration

Polydispersity (distribution width) remains a constant



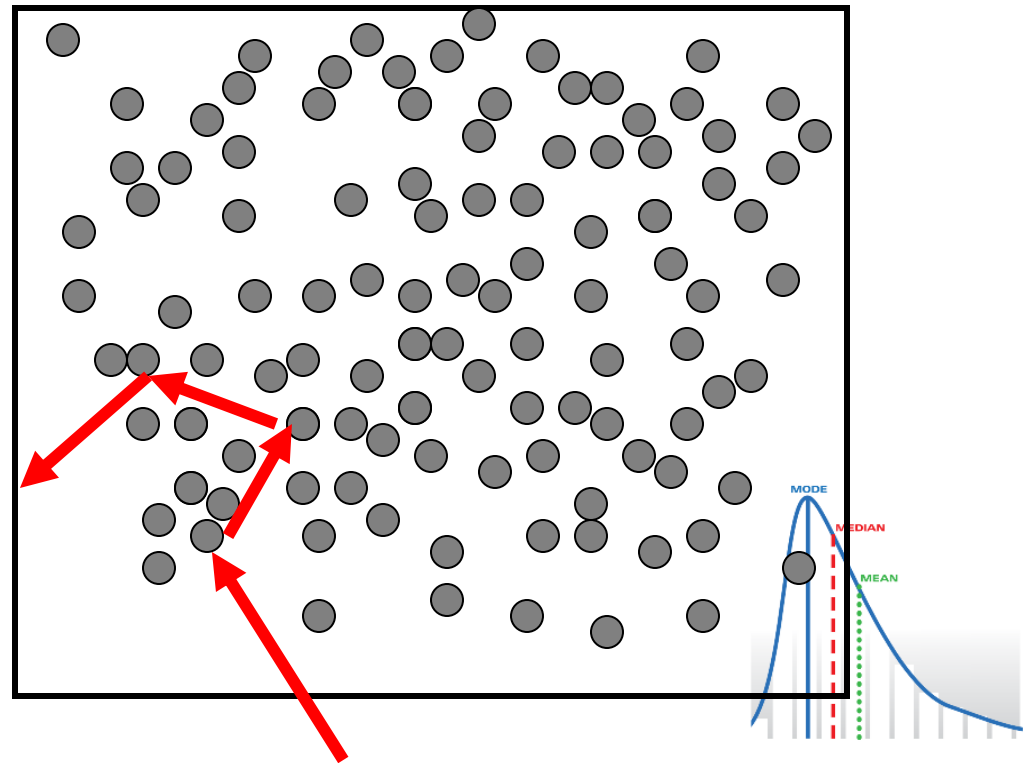
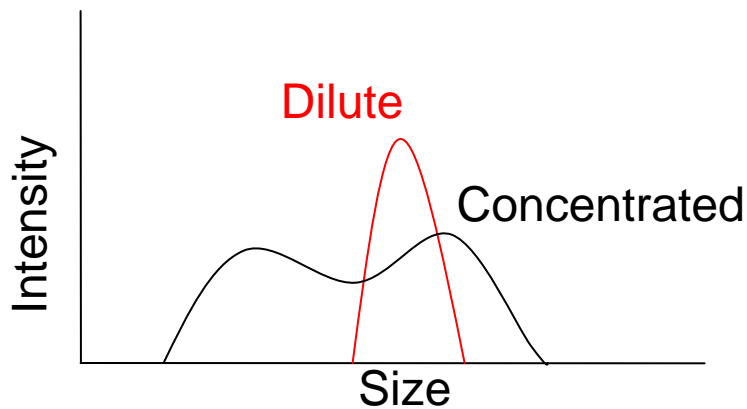
Dynamic Light Scattering

Multiple Scattering

Incident light scatters off of multiple particles

Particles appear smaller, distribution shifts finer

Distribution broadens in comparison to dilute analysis



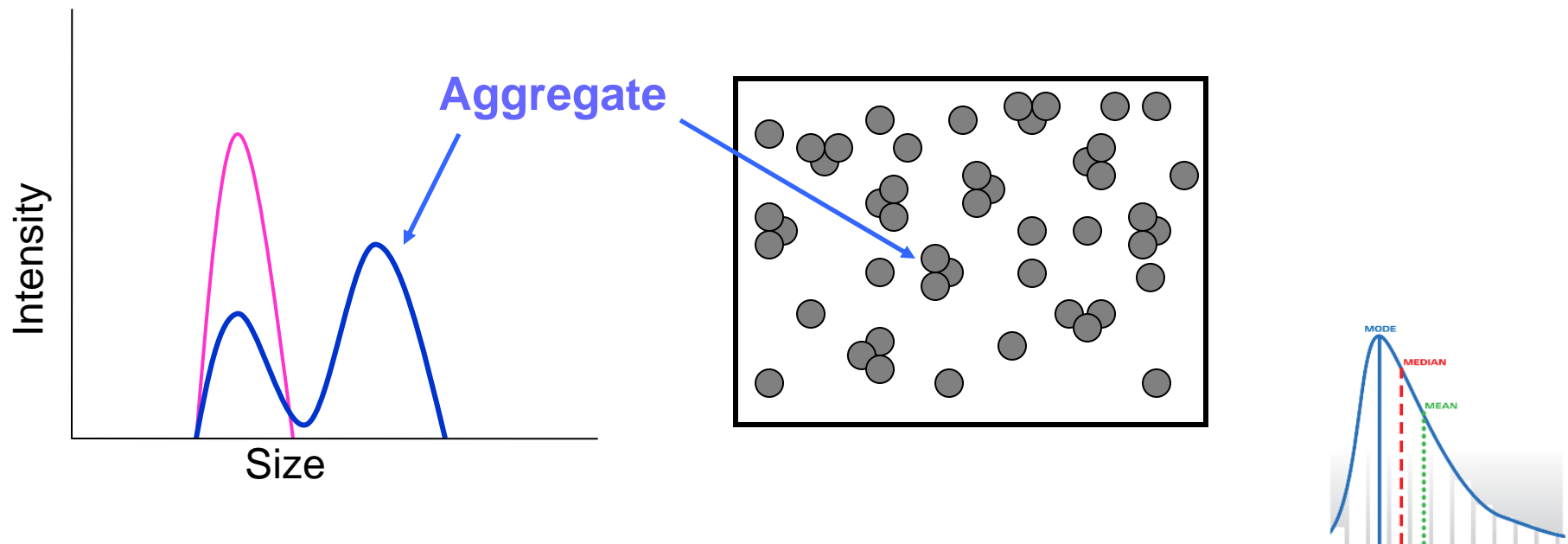
Dynamic Light Scattering

Aggregation Equilibrium

Cannot remove aggregates when created by equilibrium

Filtration will only remove already-formed aggregates

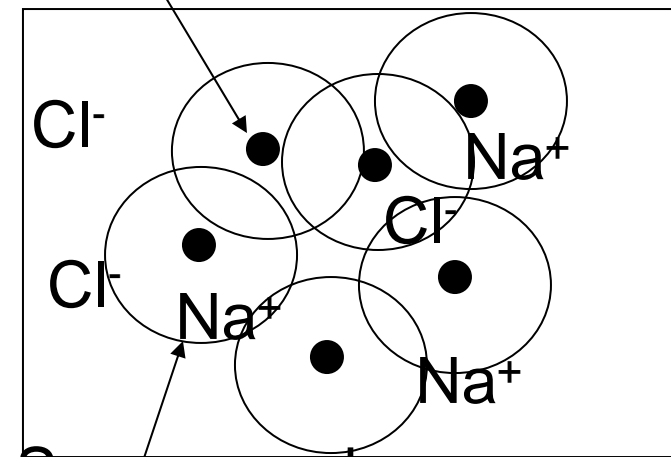
Important test for understanding formulation stability



Electrostatic Effects

- The **electrostatic interaction** of particles
- Overlapping **double layers** give larger size values
- Salt will suppress the double layer

Particles



Suppressed Double Layer

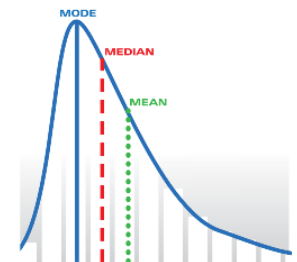


Image Analysis

Overlapping Particles

Check images of coarsest particles to verify

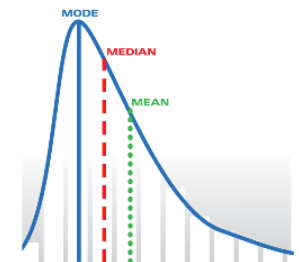
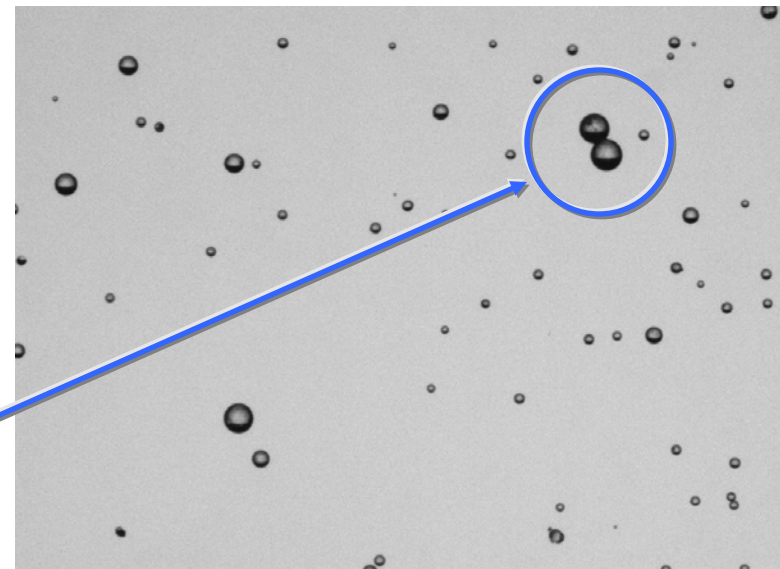
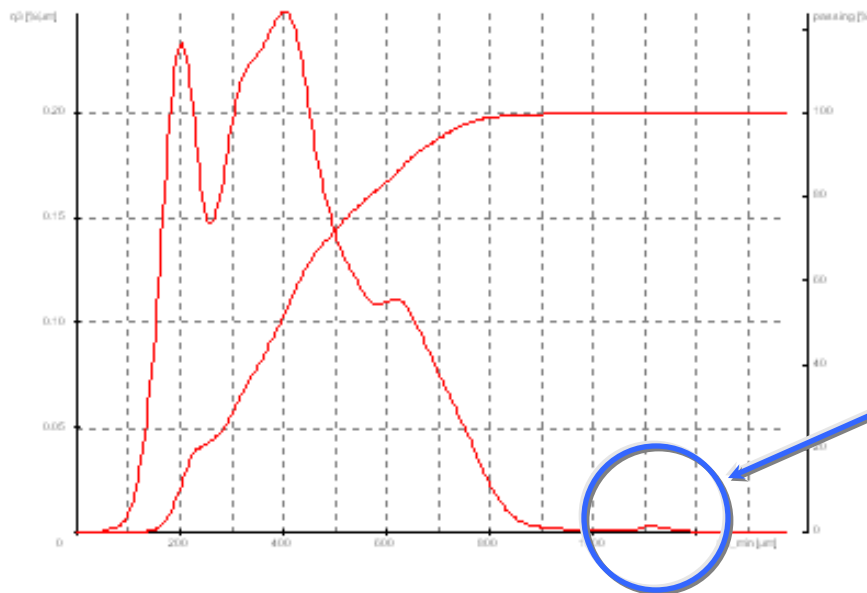


Image Analysis

Noise Detection as Particles

Check images of finest particles to verify

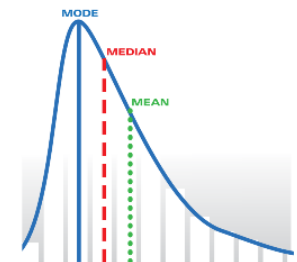
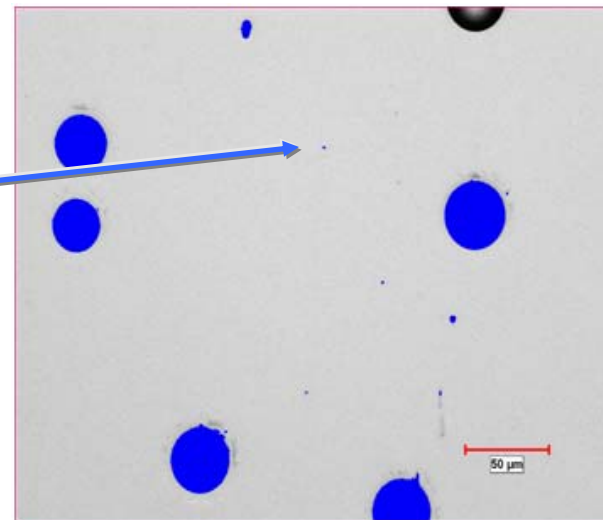
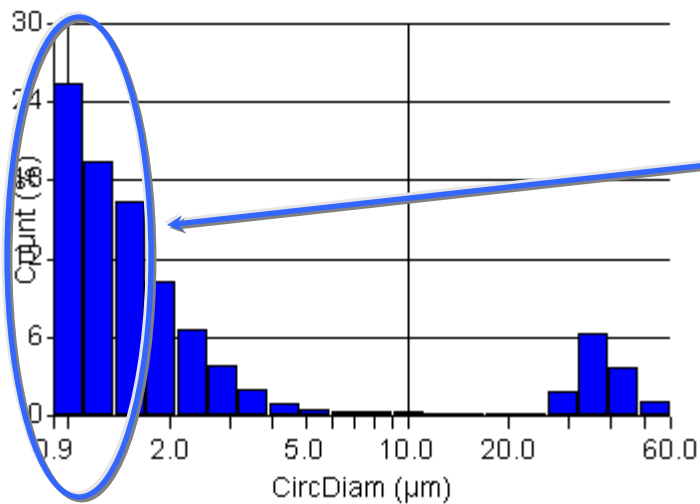
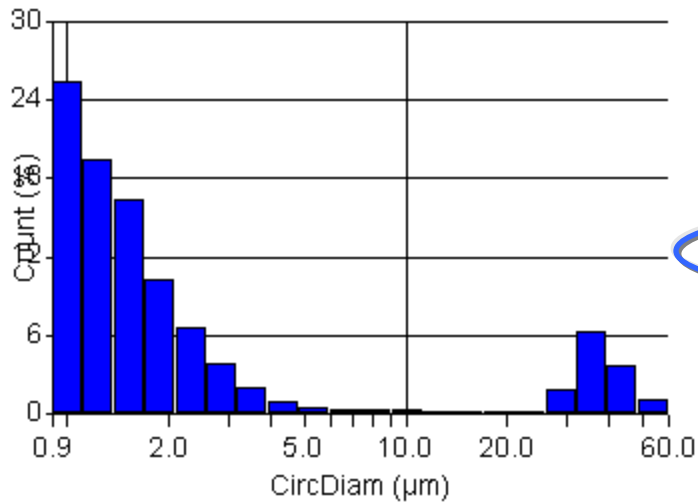


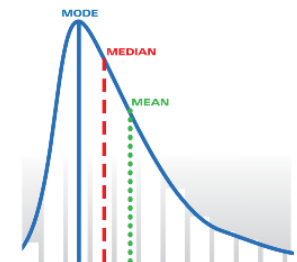
Image Analysis

Insufficient Particle Count

Vary number of particles detected/analyzed



Statistics		
Minimum:	0.9	µm
Maximum:	56.8	µm
Mean:	68	µm
Std Dev.:	12.8	µm
Sum:	1.8E+05	µm
Count:	26765	
Over:	0	
Accepted:	100	%
Field Count:	2400	
Field Area:	93197.5	µm ²
Total Area:	2E+08	µm ²
D10:	1.0	µm
D50:	1.4	µm
D90:	33.4	µm



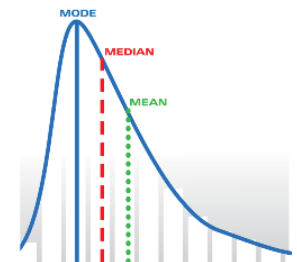
Conclusions

■ Look at the distribution graph

See the forest **AND** the trees

■ Precision \neq Accuracy

Vary measurement and calculation parameters



For More Details

Visit www.horiba.com/us/particle

Contact us directly at labinfo@horiba.com

Visit the [Download Center](#) to find this recorded presentation and many more on other topics

Thank-you

