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Particle Characterization

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Particle Classroom Series VI:

Method Development

November 12, 2019



Overview

- **Goal: Reproducible method that tracks product performance**
- Choose measurement approach (dry vs. suspension)
- Lock down RI
- Vary measurement settings that can influence result
 - Dry: measurement duration, concentration, air pressure
 - Wet: sampler selection, dispersion, duration, concentration, energy (mixing + ultrasound)
- Test method (reproducibility)
 - Meet ISO, USP or internal guidelines
 - Check COV at d10, d50, d90

Goals

- Reproducible method that tracks product performance
- You might have other goals
 - Accuracy: tricky subject, is it the “real” particle size?
 - Repeatability: liquid suspension re-circulating in sampler
 - Reproducibility: prepare, measure, empty, repeat
 - Resolution: optimize to find second populations
 - Match historic data (sieves), but quicker, easier technique
- Use structured approach for any decision/choice that may influence result
- Have data to support selections made
- Document process so colleagues understand your choices

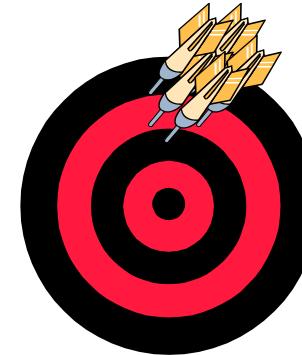
Accuracy vs. Precision



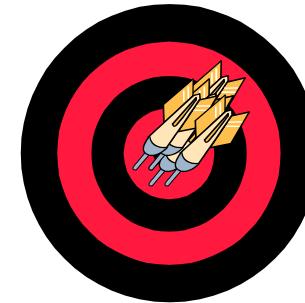
**LOW ACCURACY
LOW PRECISION**



**HIGH ACCURACY
LOW PRECISION**



**LOW ACCURACY
HIGH PRECISION**



**HIGH ACCURACY
HIGH PRECISION**

- (A) Low accuracy, low precision measurements form a diffuse, off-center cluster
- (B) Low accuracy, high precision measurements form a tight off-center cluster
- (C) High accuracy, low precision measurements form a cluster that is evenly distributed but distant from the center of the target
- (D) High Accuracy, high precision measurements are clustered in the center of the target.

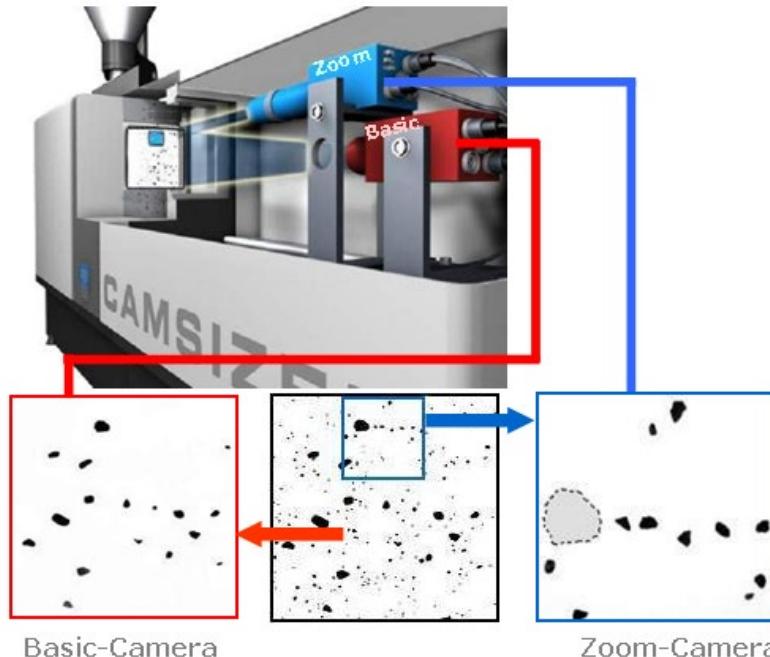
Accuracy

Is it the “real particle size”?

- Comparison to referee technique
- Microscope (image analysis) is referee technique for particle characterization
- Two kinds of image analysis:
 - Dynamic image analysis; particles flowing
 - Static image analysis; particles sit on slide on automated stage

Image Analysis

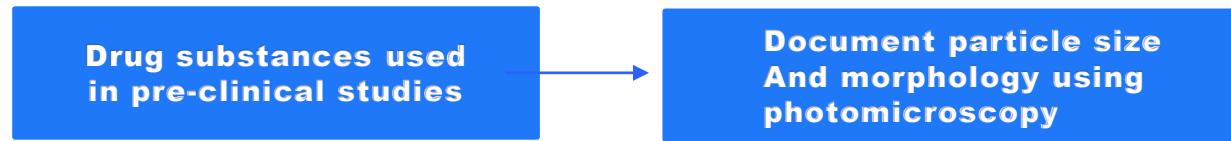
Dynamic:
particles flow past camera



Static:
particles fixed on slide,
stage moves slide

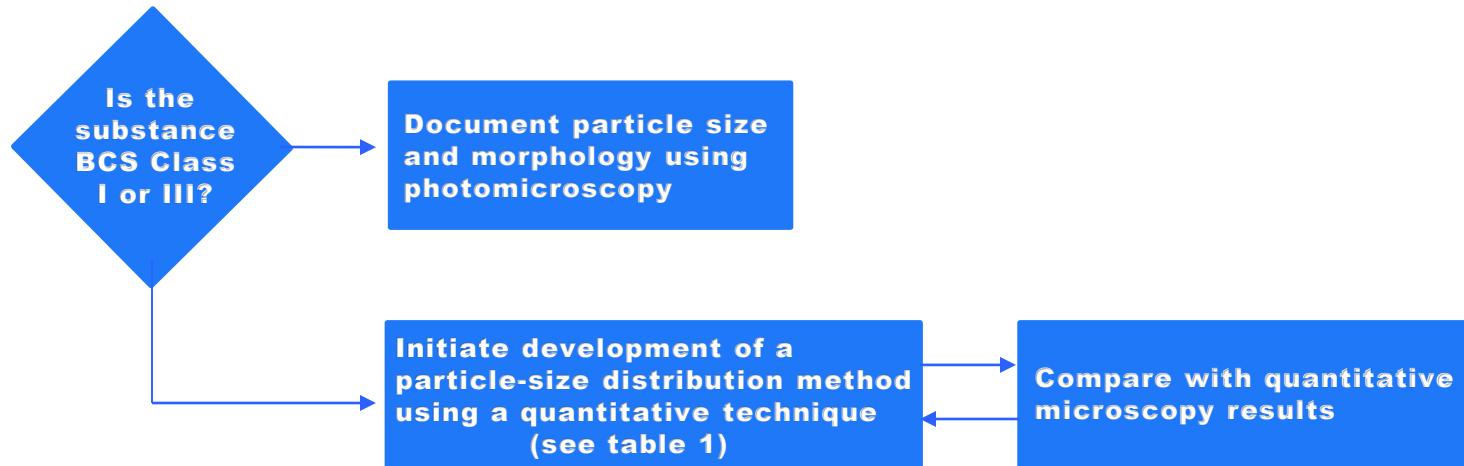


Guidelines



Development of instrumental methods may be initiated at this stage

Scheme for outlining particle evaluation for preclinical studies



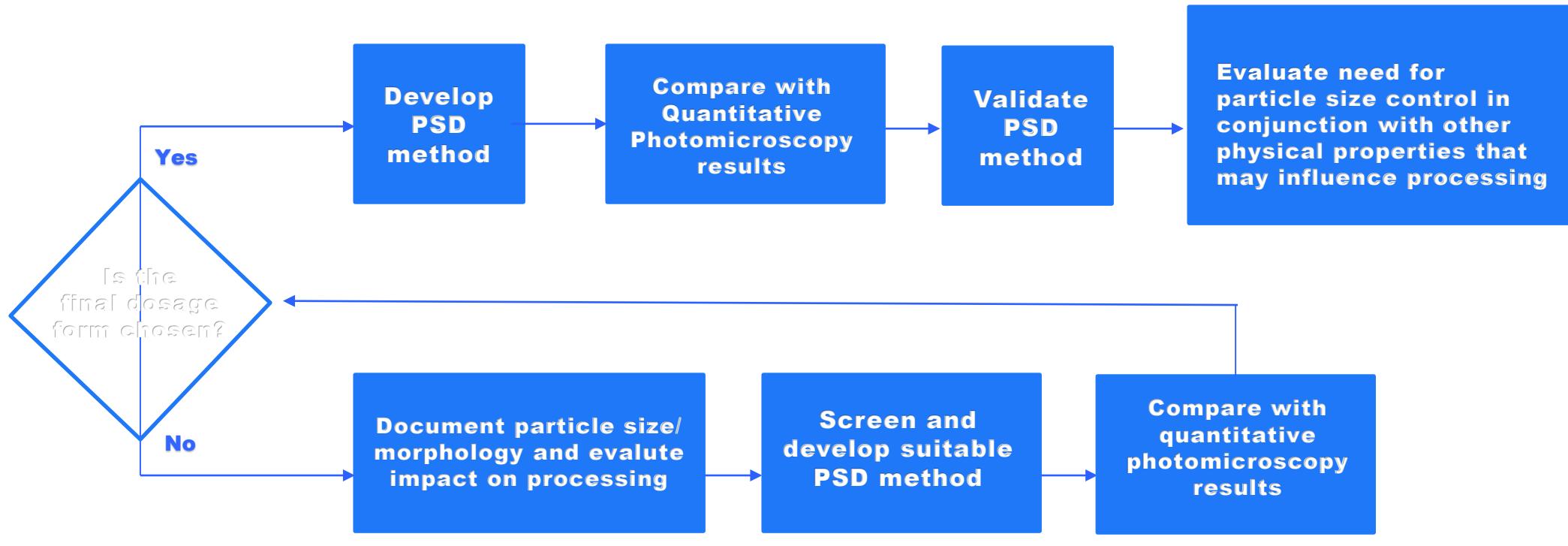
If the drug is poorly soluble, you need to pay attention to particle analysis.

Compare with quantitative microscopy.

Evaluate the effect of any change in drug substance with respect to morphology or particle size from that initially studied.

Decision tree outlining particle evaluation for Phase I clinical studies

Guidelines Phase III



Evaluate the effect of any change in drug substance with respect to morphology or particle size from that initially studied.

Compare Validate Document

Types of Precision

Repeatability

Prepare sample, add to wet sampler, re-circulate, measure same multiple times (suspensions only)

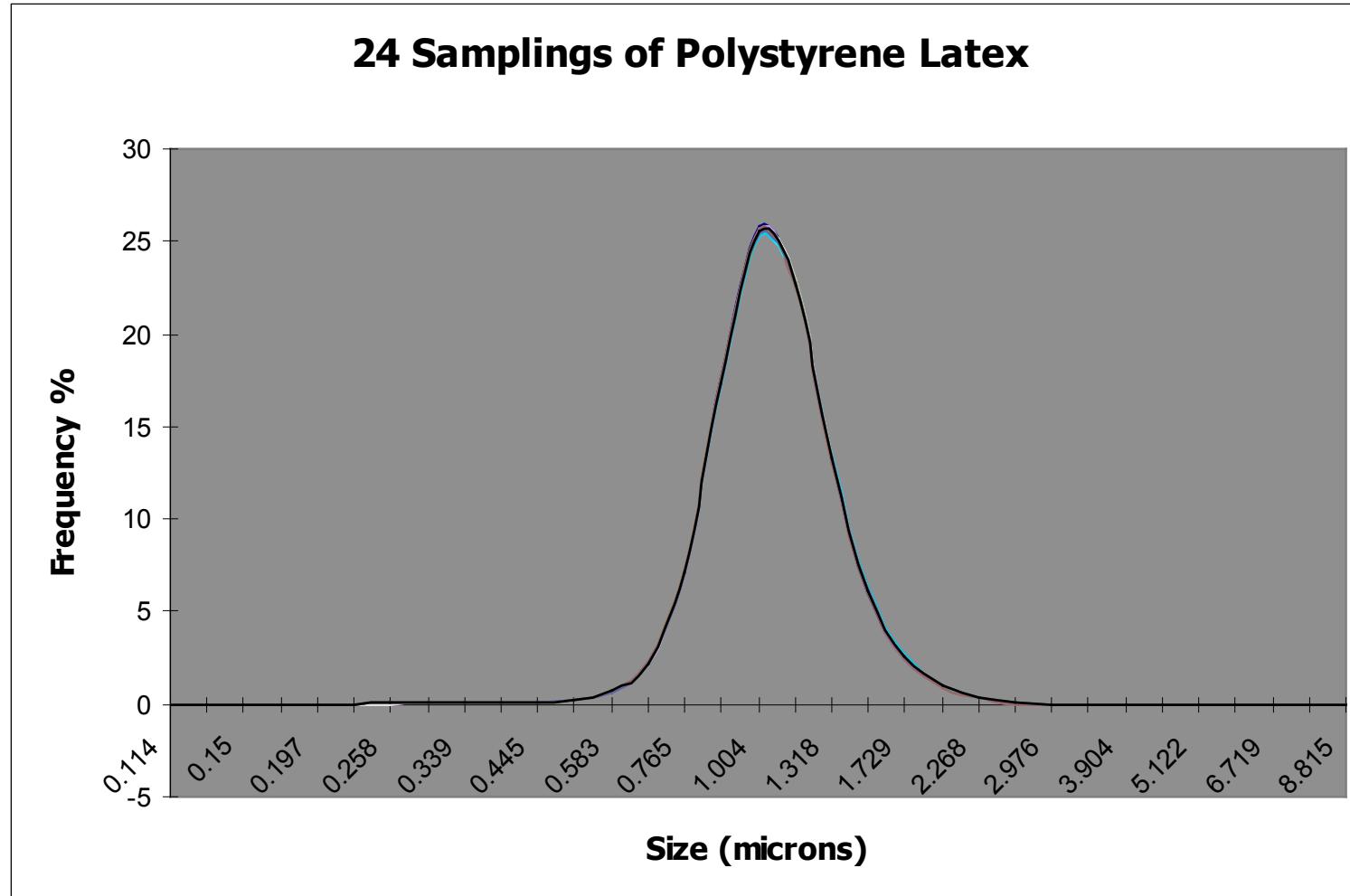
Provides limited information (mostly a test of analyzer performance)

Reproducibility

Prepare sample, measure, drain, repeat (suspensions + dry)

Distinguishes great methods

Reproducibility



**Yes, we
emptied
instrument
between each
analysis.**

Reproducibility

Reproducibility: prepare, measure, empty, repeat

What would be good reproducibility?

Look at accepted standards

Measure 3 times, calculated COV at d_{10} , d_{50} , d_{90}

$$\text{COV (RSD)} = \text{st dev/mean} * 100$$

ISO13320

COV < 3% at median d_{50}

COV < 5% at d_{10} & d_{90}

USP<429>

COV < 10% at median d_{50}

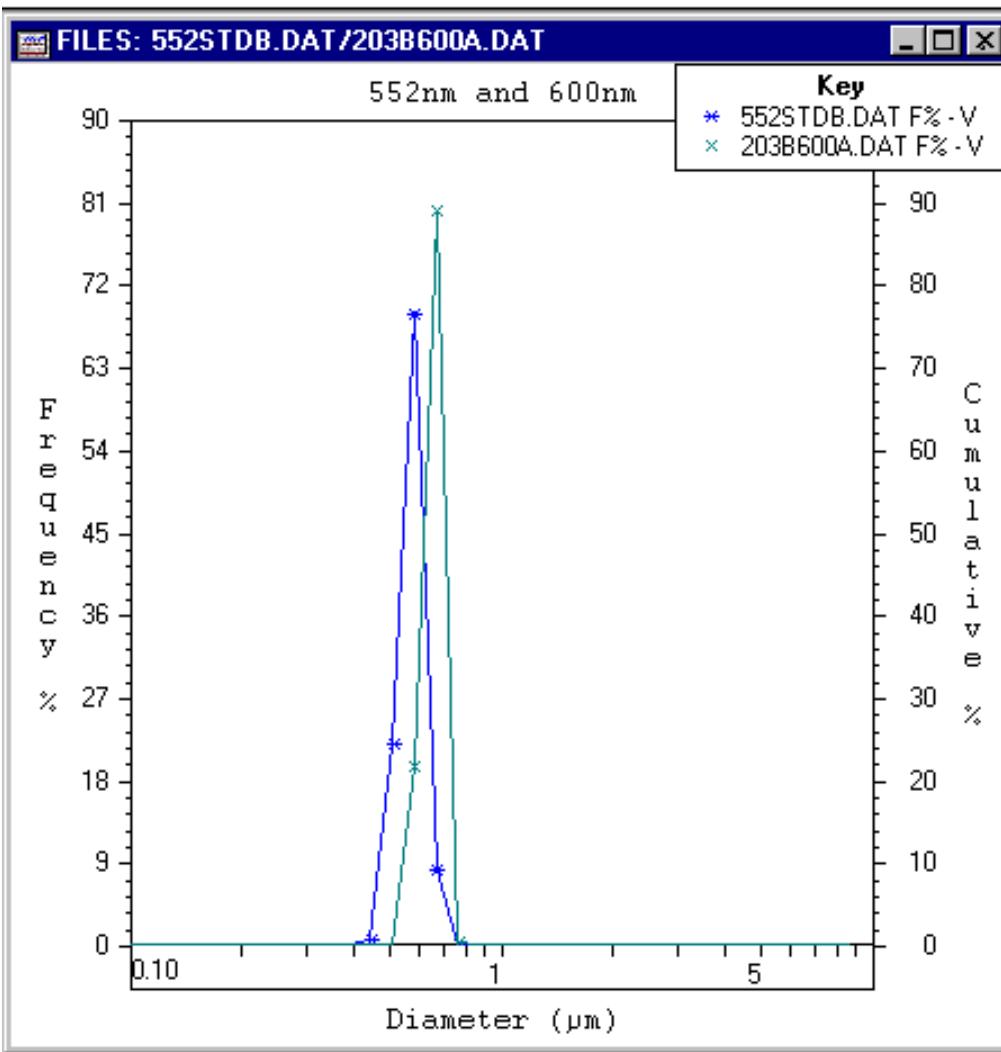
COV < 15% at d_{10} & d_{90}

Note: double all limits
when d_{10} , d_{50} , or d_{90}
< 10 microns

Resolution

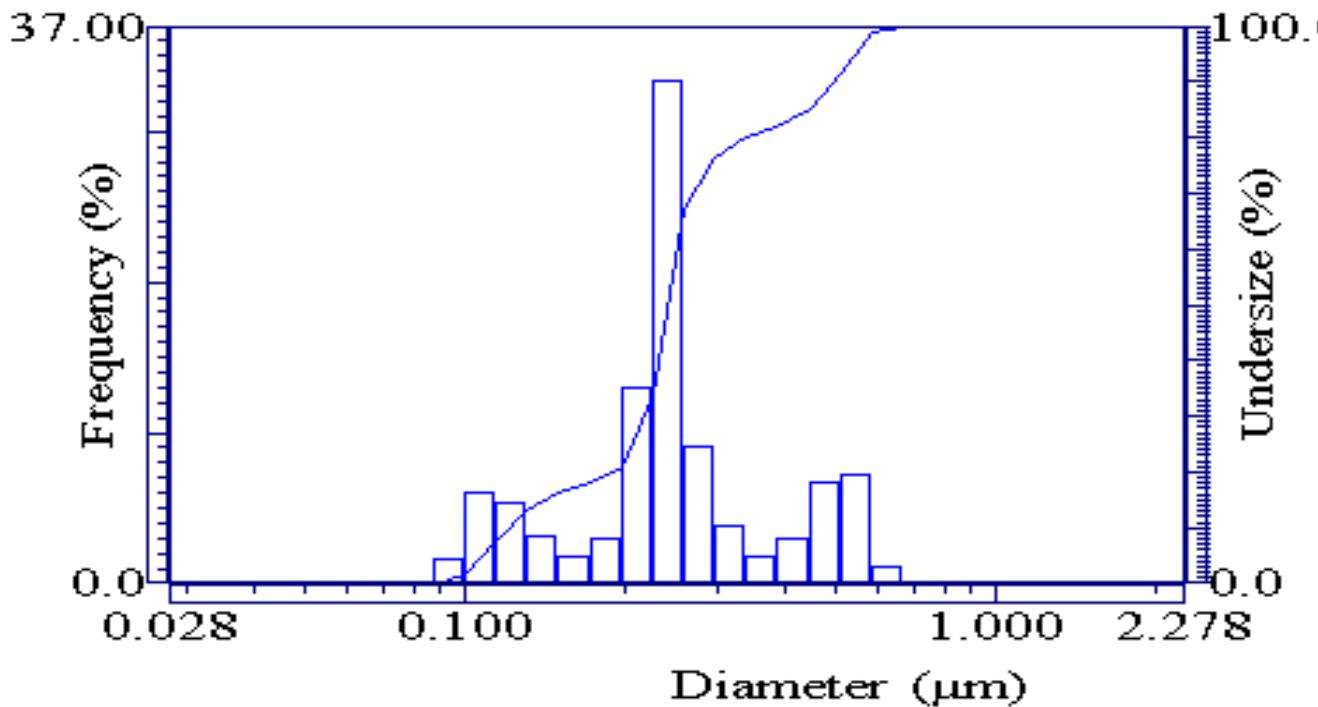
- Ability to measure small differences in particle size.
- Small differences between successive samples (different production lots) are most important. This is tied to reproducibility.
- Detection limit of small amount of material outside of main size distribution.
- Best defined by user's real-world requirements.

High Resolution?

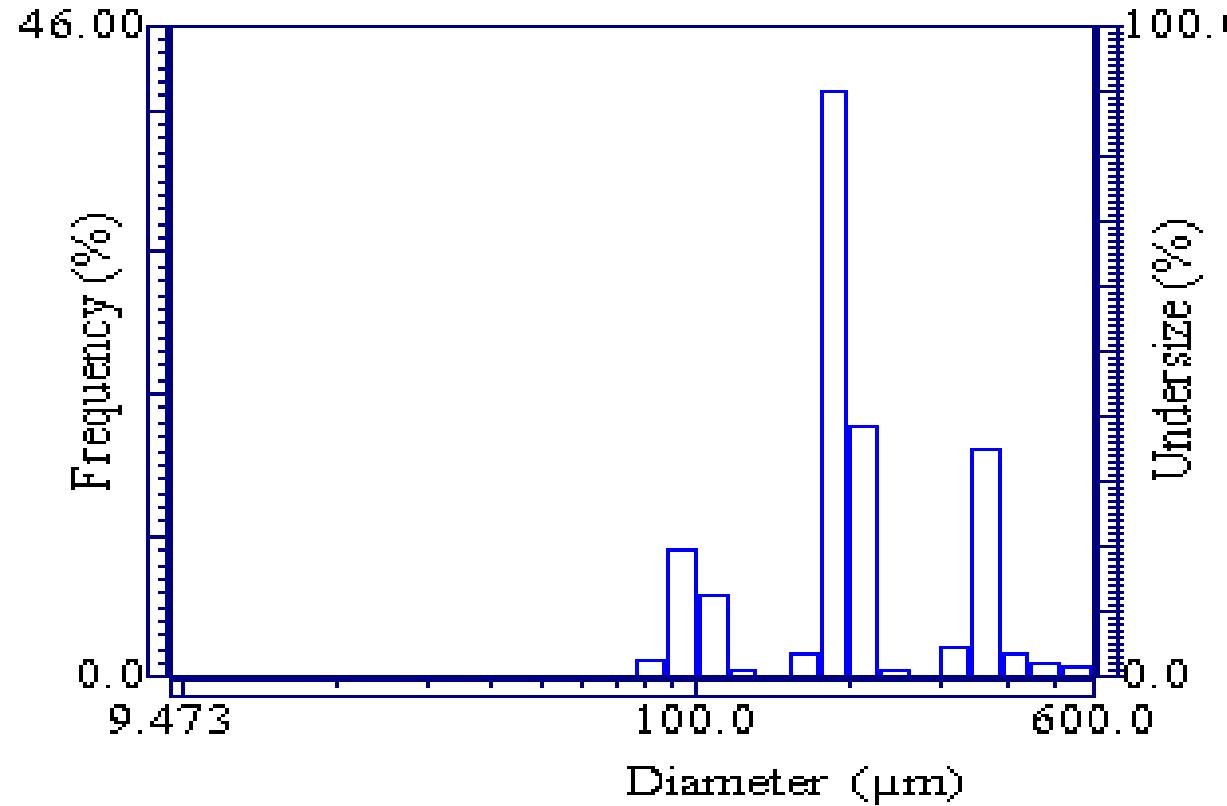


- Resolve size difference between two materials of similar size
- 552nm and 600nm PSL
- Measured separately: high resolution
- Measure mixed together: peaks would blend and you cannot discern two populations.

Separate peaks



Separate Peaks

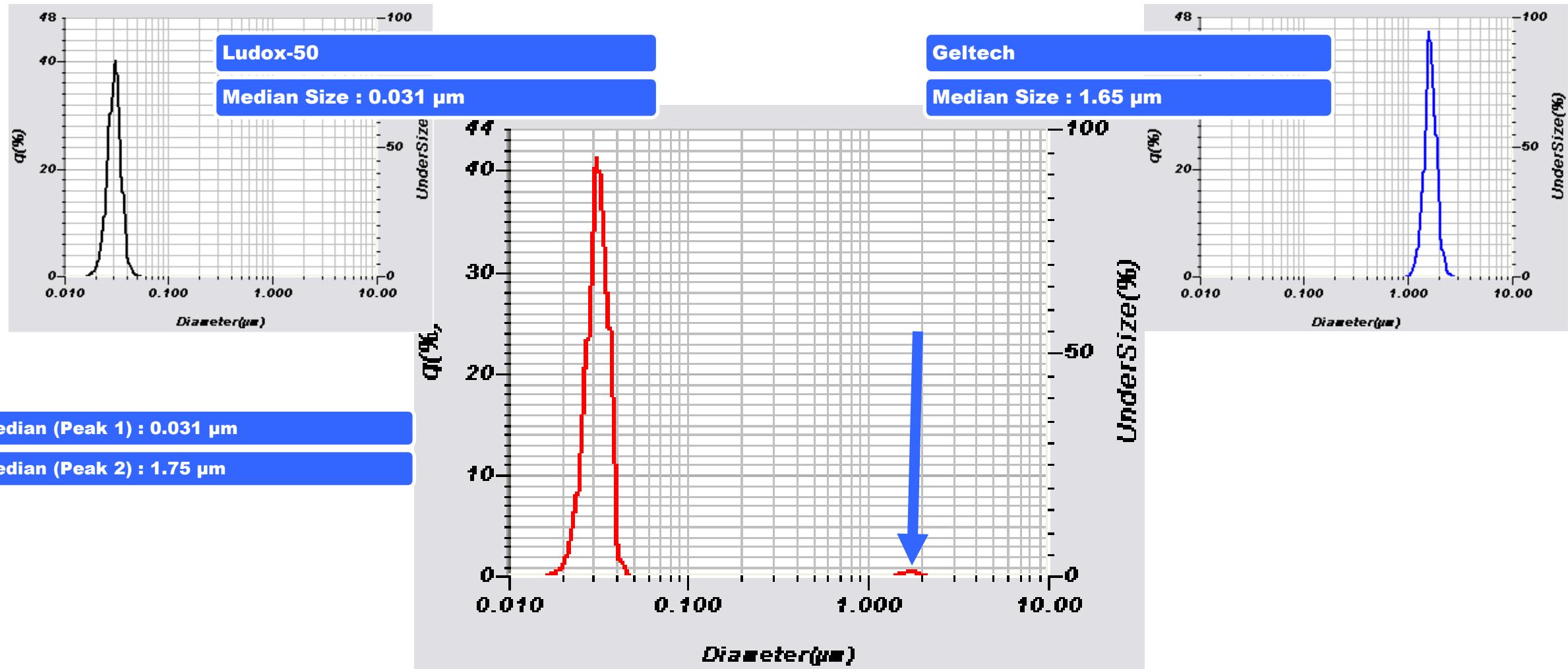


**100 μm ,
200 μm ,
400 μm
glass beads**

Next peak 2x of previous size

Resolution is independent of where you are on size scale

Identifying Trace Impurities



Wet Method Development



Workflow

First determine RI

Choose solvent (water, surfactants, hexane, etc.)

Sampler selection: sample volume

Pump & stirrer settings

Concentration

Measurement duration

Does the sample need ultrasound?

Document size-time plot

Disperse sample, but don't break particles

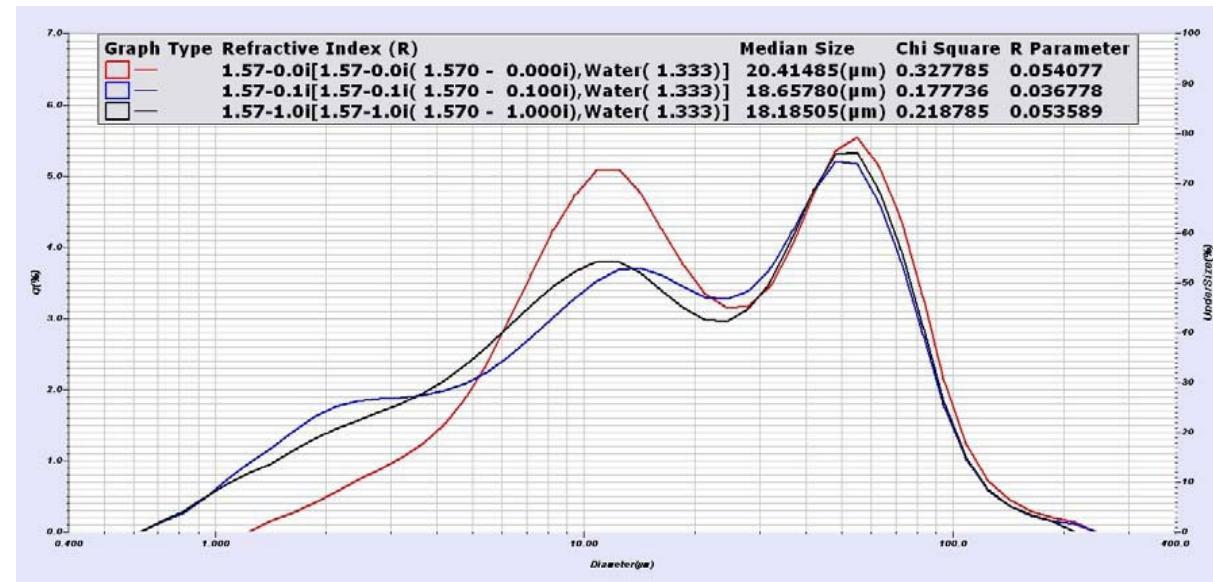
Check for reproducibility

Refractive Index

Real component via literature or web search, Becke line, etc.

Measure sample, vary imaginary component to see if/how results change

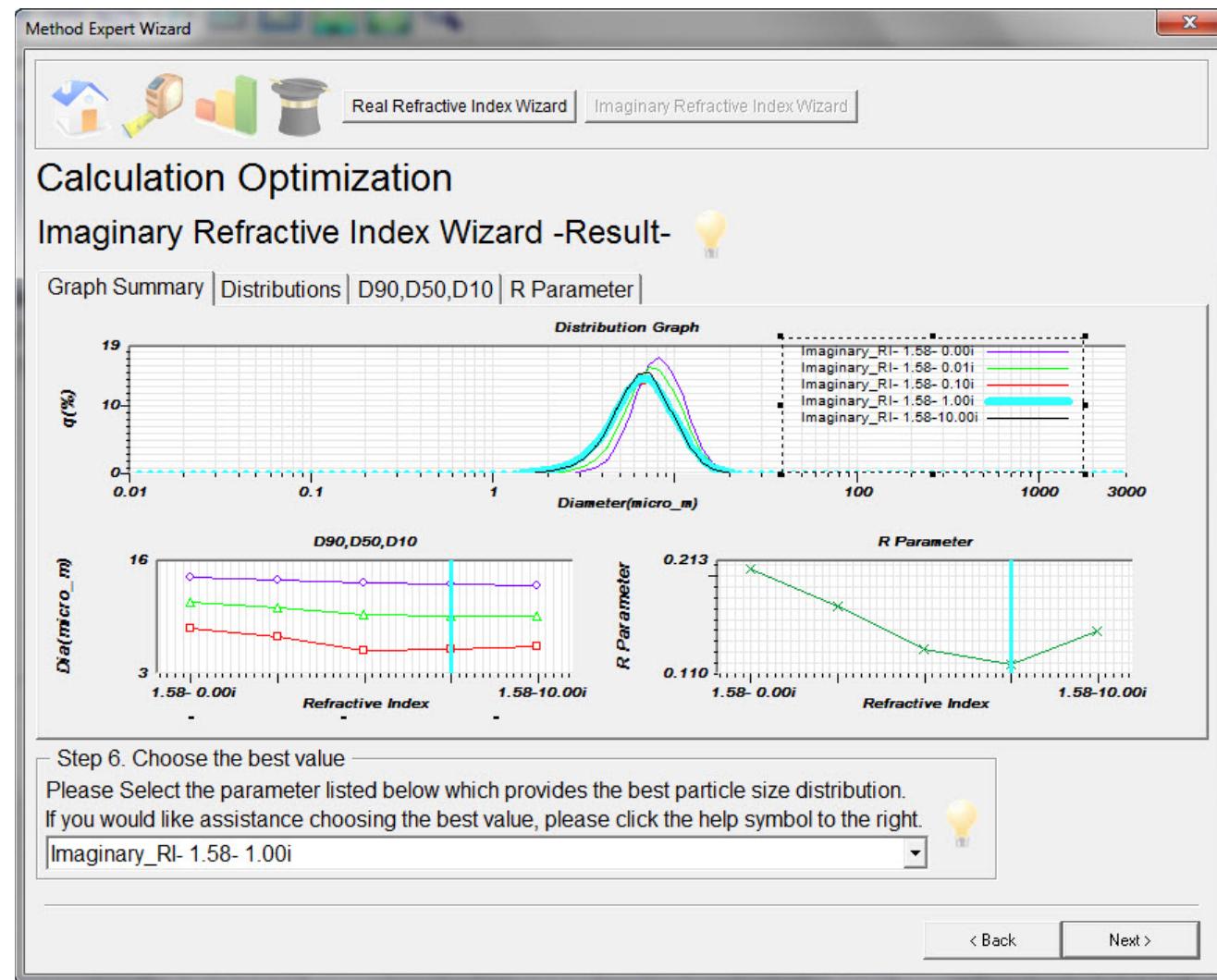
Recalculate using different imaginary components, choose value that minimizes R parameter error calculation



More in the refractive index webinar at :

https://www.horiba.com/en_en/products/by-segment/scientific/particle-characterization/particle-analysis-webinar-series/

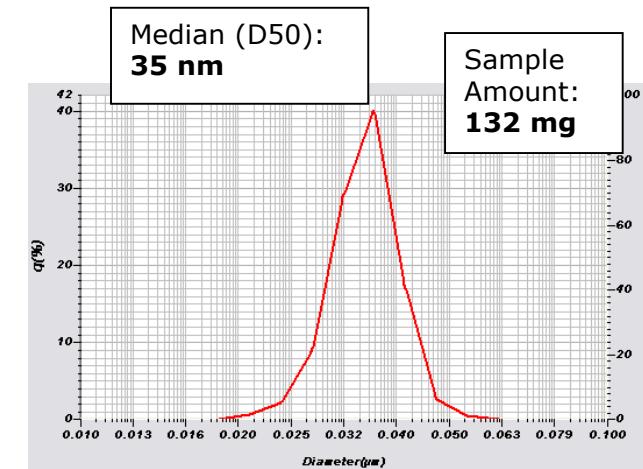
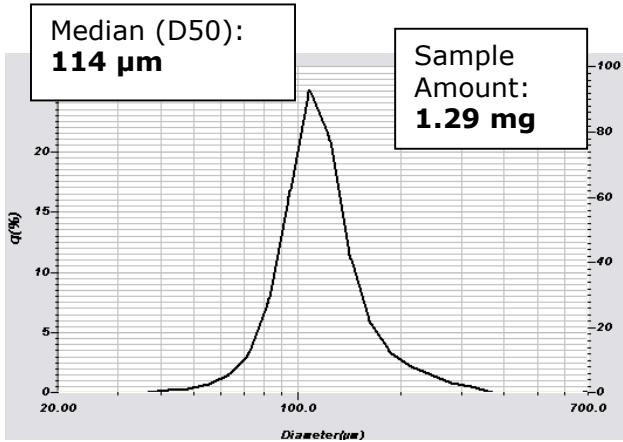
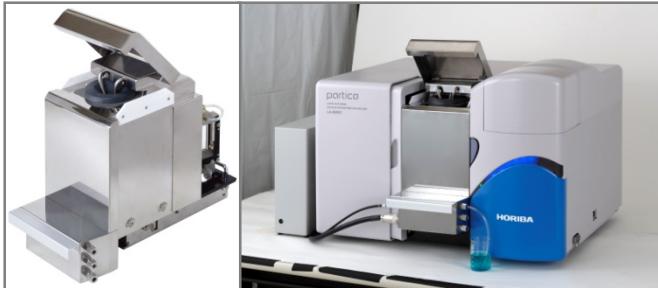
LA-960 Method Expert



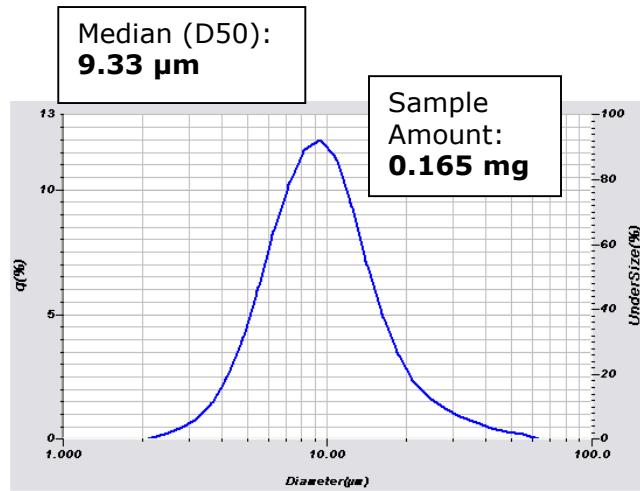
Sample Handling

Larger, broad distributions require larger sample volume

Lower volume samplers for precious materials or solvents



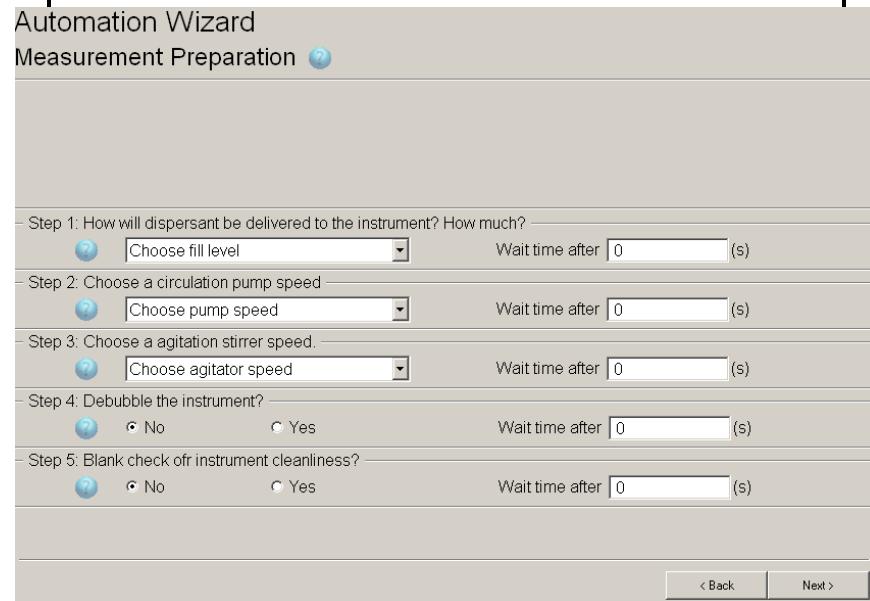
LA-960 Sample Handlers	Dispersing Volume (mL)
Aqua/SolvoFlow	180 - 330
MiniFlow	35 - 50
Fraction Cell	15
Small Volume Fraction Cell	10



Pump and Stir

- Must be high enough to suspend & circulate heavy particles-if too low speed, larger particles won't be measured and apparent size decreases with time.
- Not so high that bubbles are introduced
- Adding energy – can disperse loose agglomerates
- Measure at several settings & select optimum (repeatability)
- Can be automated in software

Exp #	Agitation	Circulation	D _{mean} (nm)	D ₁₀ (nm)	D ₉₀ (nm)
1	1	1	187.03	137.5	245.7
2	1	3	184.23	135.9	242.1
3	3	1	187.28	137.8	245.8
4	3	3	184.61	136.1	242.5
5	1	1	185.32	136.3	243.7
6	1	3	184.04	135.8	241.8
7	3	1	184.13	135.8	241.9
8	3	3	184.98	136.4	242.9



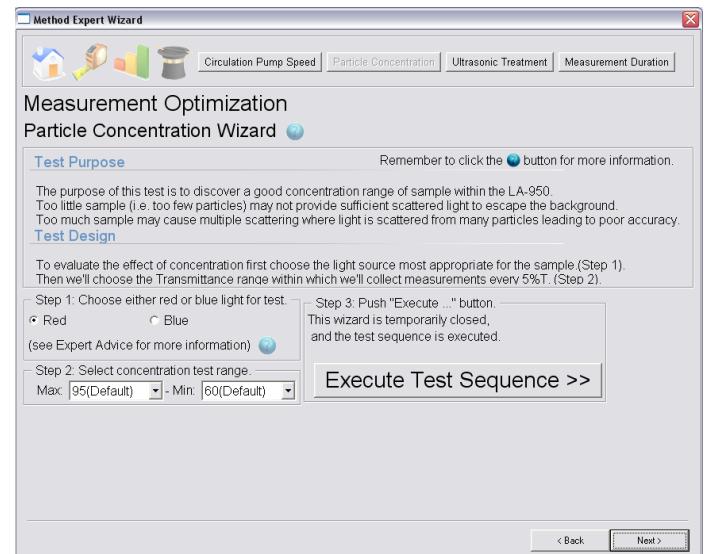
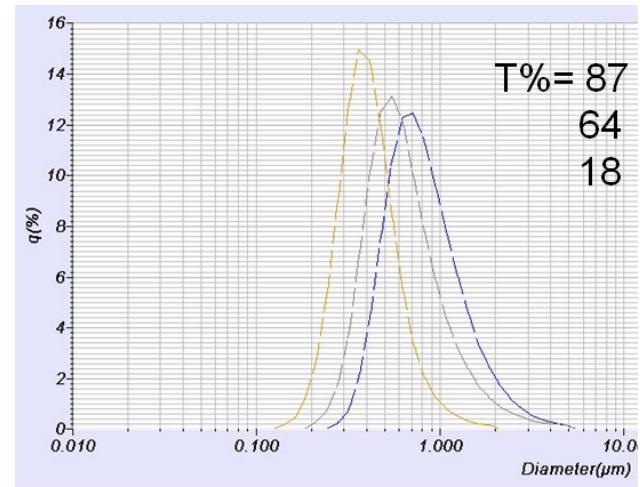
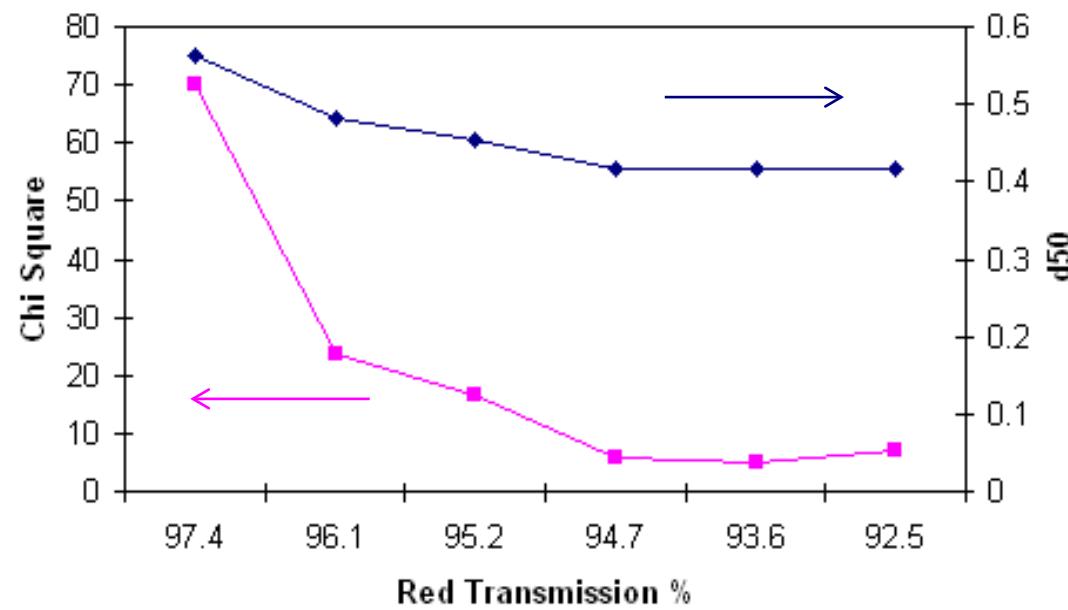
Concentration

High enough for good S/N ratio

Low enough to avoid multiple scattering

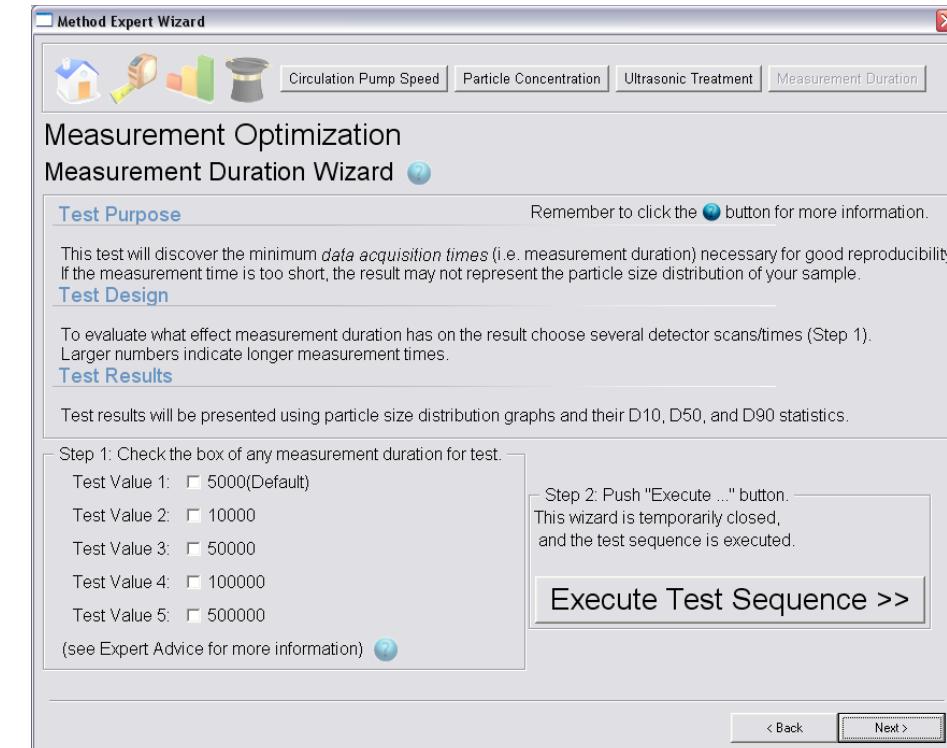
Typically 95 – 80 %T

Measure at different T%, look at Chi Square calculation



Duration

- Long enough for reproducibility
- Typically 5 sec, up to several minutes
- Longer time for large, broad distributions
- Can be automated in software
- Could be used for robustness testing during method validation



Ultrasonic Dispersion

Adding energy to break up agglomerates – disperse to primary particles, without breaking particles

Similar to changing air pressure on dry powder feeder

Typically set to 100% energy, vary time (sec) on

Investigate tails of distribution

- High end to see if agglomerates removed

- Small end to see if new, smaller particles appear (breakage)

Test reproducibility, consider robustness

Note:

- Do not use on emulsions

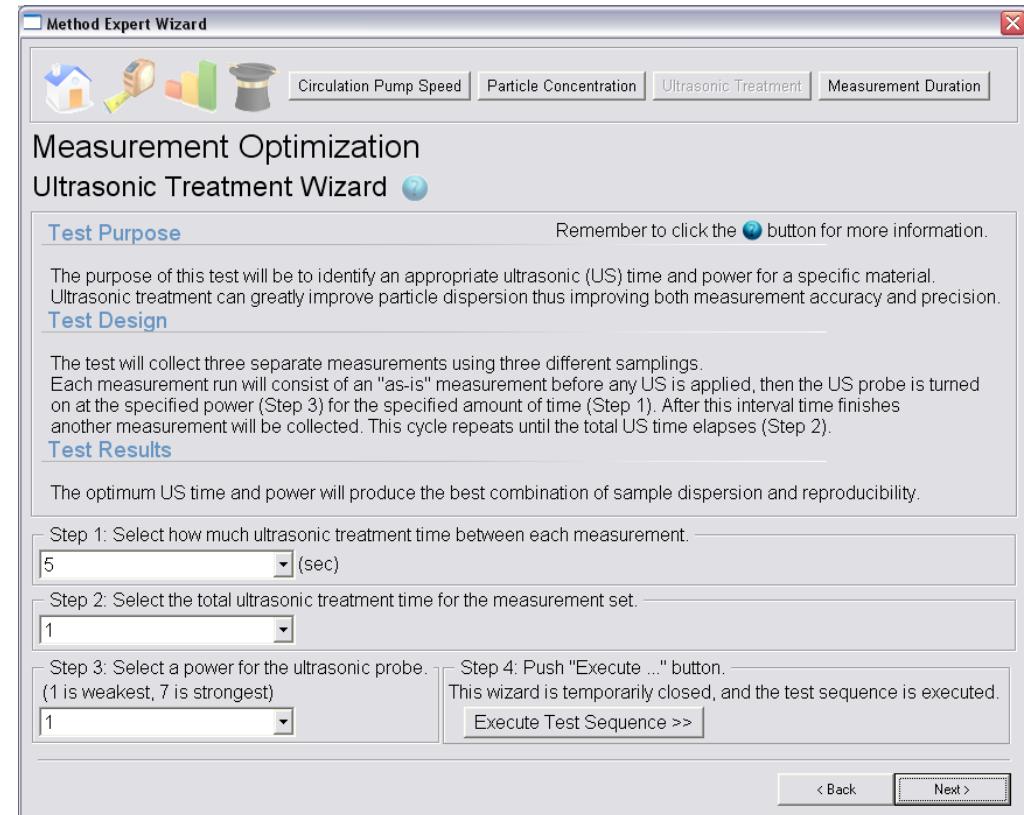
- Can cause thermal mixing trouble w/solvents - wait

- Use external probe if $t > 2-5$ minutes

[More in the sampling and dispersion webinar at :](#)

https://www.horiba.com/en_en/products/by-segment/scientific/particle-characterization/particle-analysis-webinar-series/

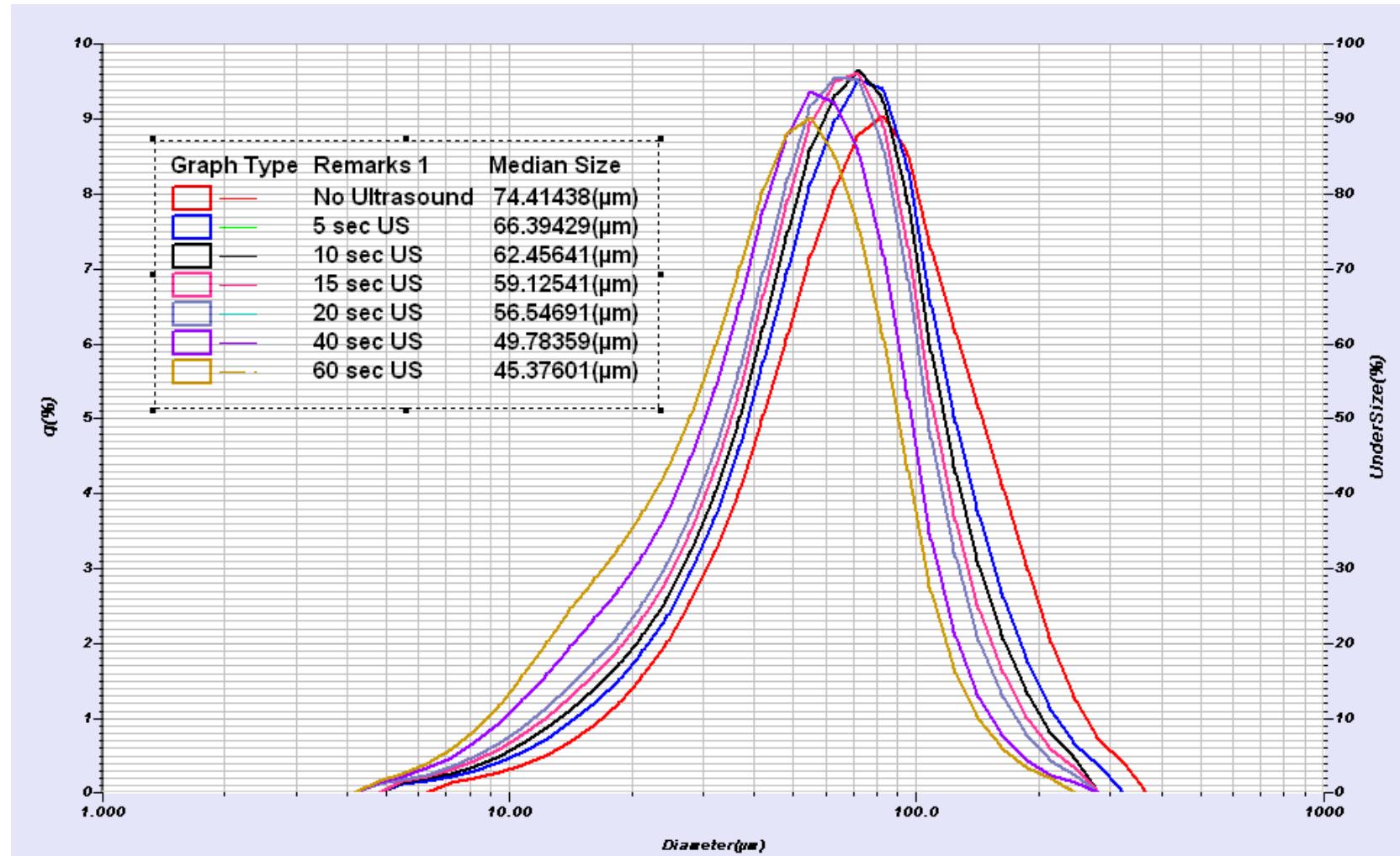
LA-960 Method Expert will systematically vary:
Level (power)
Time on Iterations
Delay
Generate result graphs



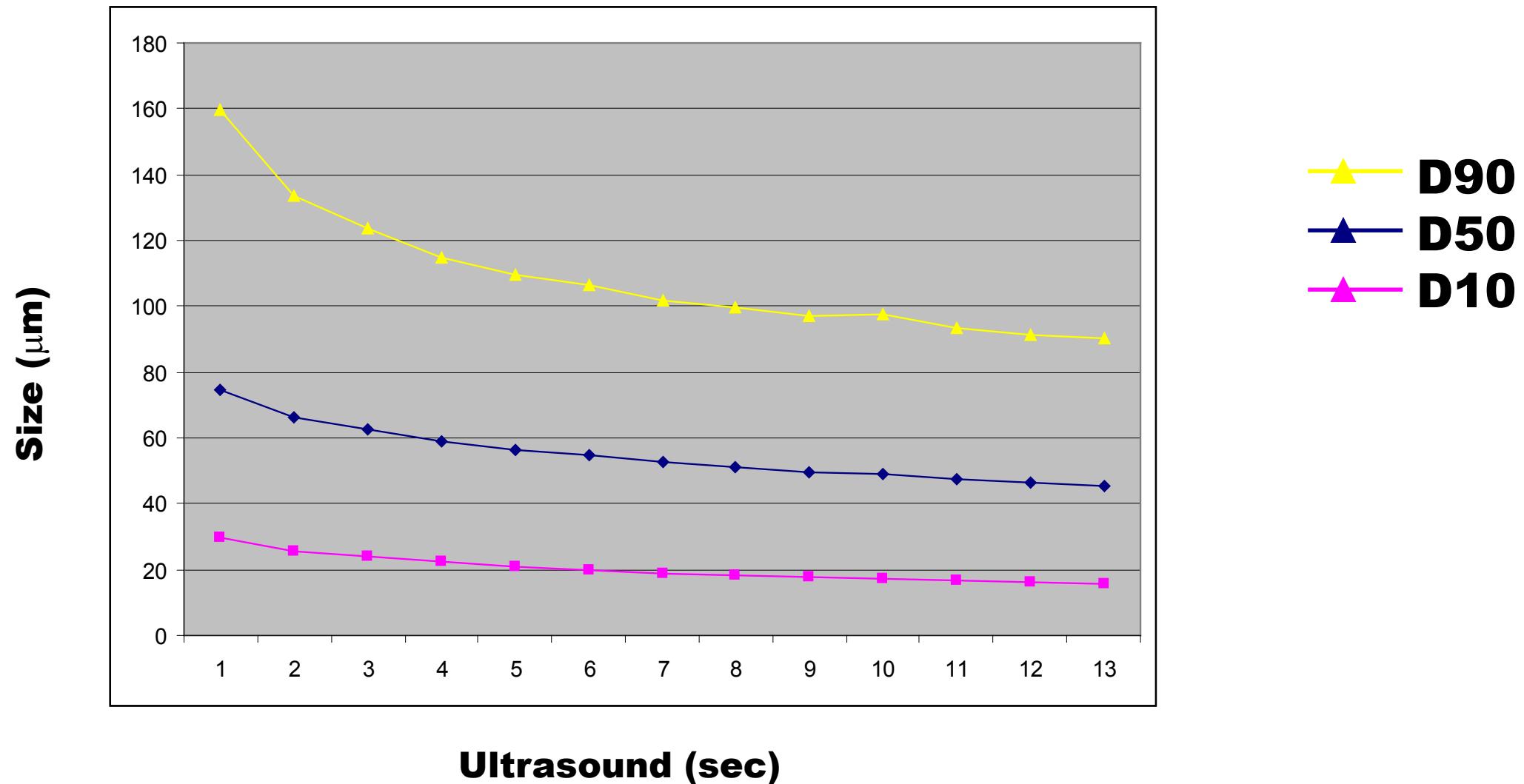
Examples



Ultrasound



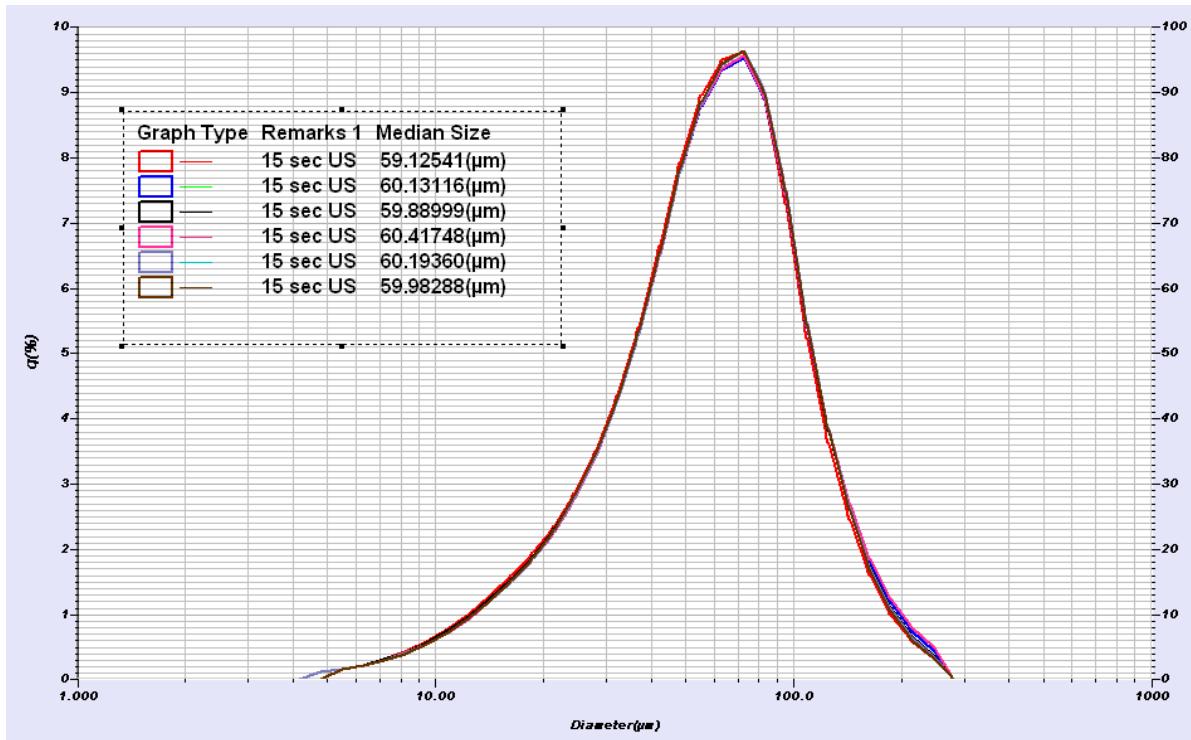
Plot summary data



Reproducibility

– ISO13320

- COV < 3% at median d_{50}
- COV < 5% at d_{10} & d_{90}



– USP<429>

- COV < 10% at median d_{50}
- COV < 15% at d_{10} & d_{90}

Data Name	Sample Name	D50	D10	D90	Comments
200707161438232.NGB	Avicel PH-101	59.125	22.221	114.93	15 sec US
200707161500243.NGB	Avicel PH-101	60.131	22.662	119.116	15 sec US
200707161507246.NGB	Avicel PH-101	59.89	22.392	117.452	15 sec US
200707161516249.NGB	Avicel PH-101	60.417	22.955	119.974	15 sec US
200707161523252.NGB	Avicel PH-101	60.194	22.765	117.289	15 sec US
200707161531255.NGB	Avicel PH-101	59.983	22.829	116.586	15 sec US
Mean		59.95667	22.63733	117.5578	
Standard Deviation		0.446191	0.278596	1.799955	
COV (st dev/mean)*100		0.74419	1.230691	1.531123	

Reproducibility

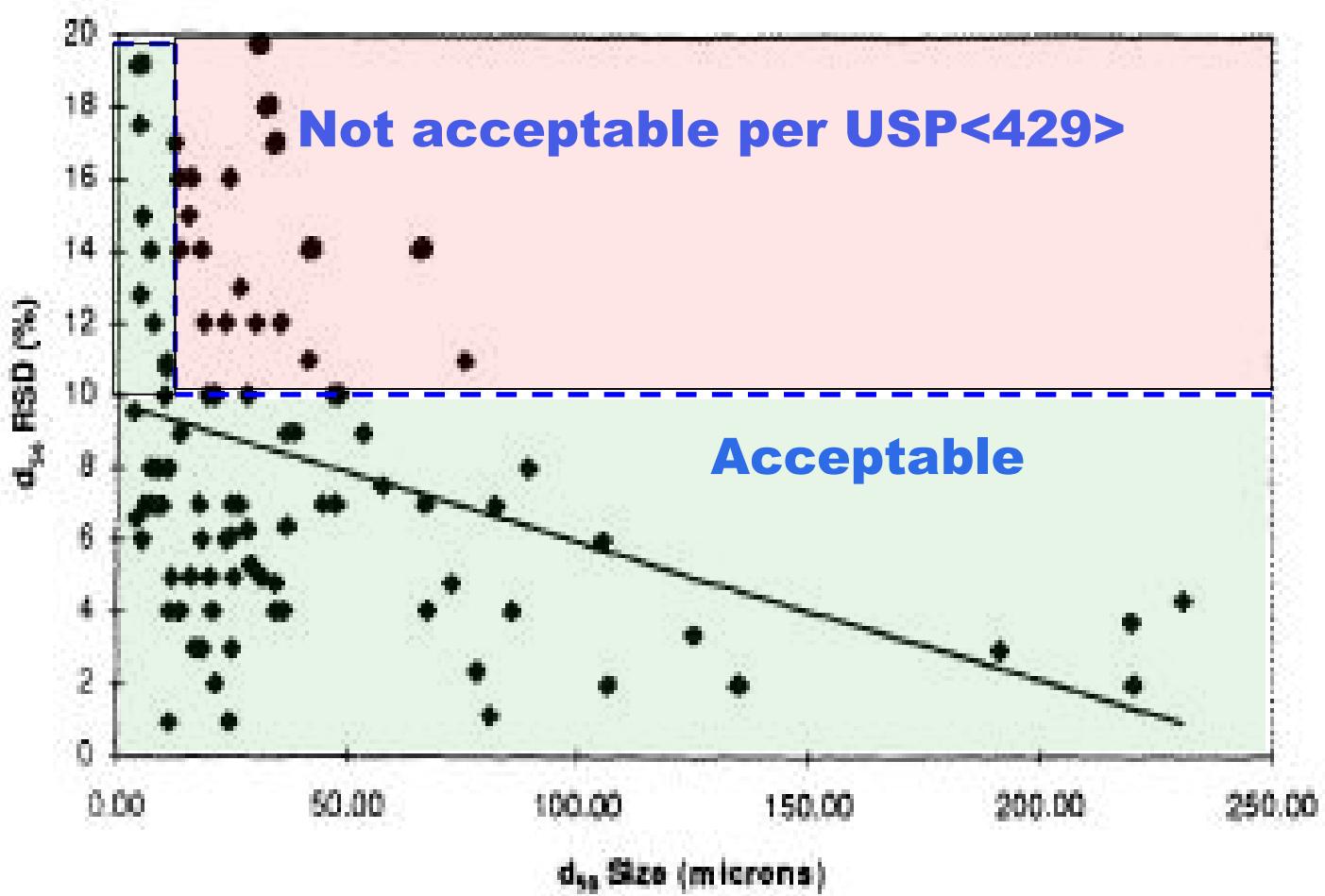
58 methods

Image analysis for morphology

Laser diffraction for PSD

If RSD for d_{50} < 20%, then acceptable for QC environment

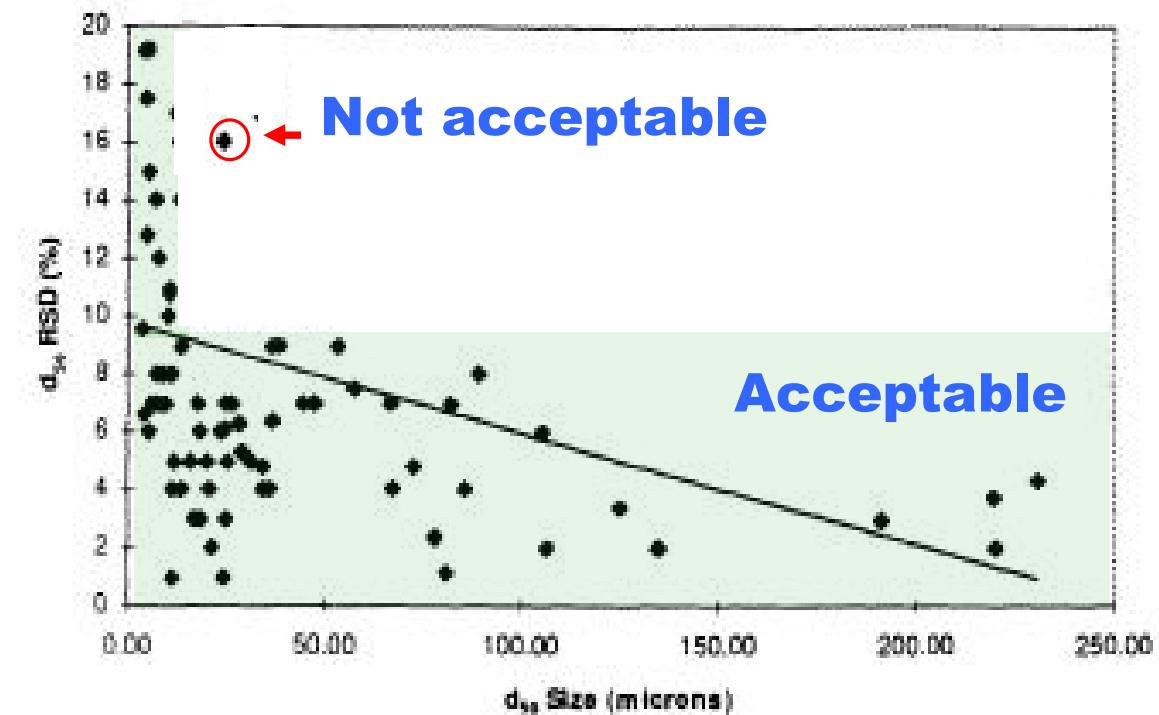
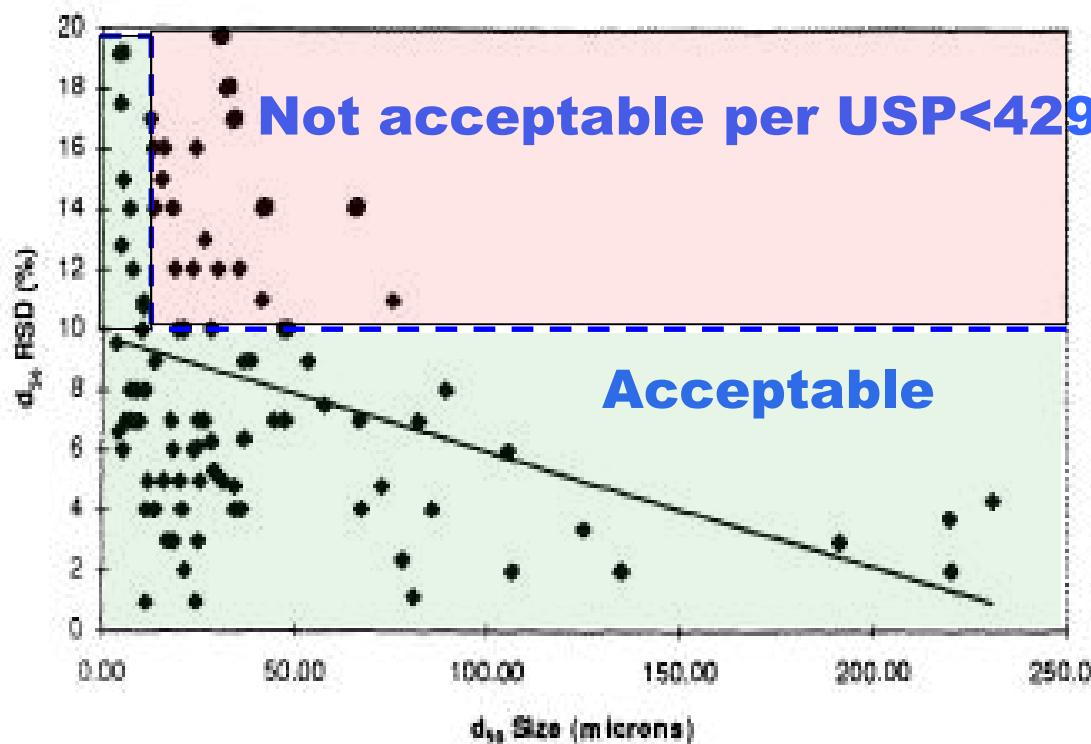
Note: RSD increases with decreasing size



*Barber, Keuter, and Kravig, A Logical Stepwise Approach to Laser Diffraction Particle Size Distribution Analysis Methods Development and Validation Pharmaceutical Development and Technology, 3(2), 153-161 (1998)

Sampler Selection

Remove points from not acceptable region using Fraction Cell



Systematic analysis gives good hint about fraction cell use and importance of pumping for larger particles.

Dry Method Development



Workflow

First get sampling right & determine RI

Measure at 3 different pressures (low, medium, high)

Determine optimum pressure based on good dispersion while not breaking particles

Can also compare dry vs. wet measurements

Adjust other settings to optimize sample concentration & duration

Ideally measure all of powder placed into the sampler

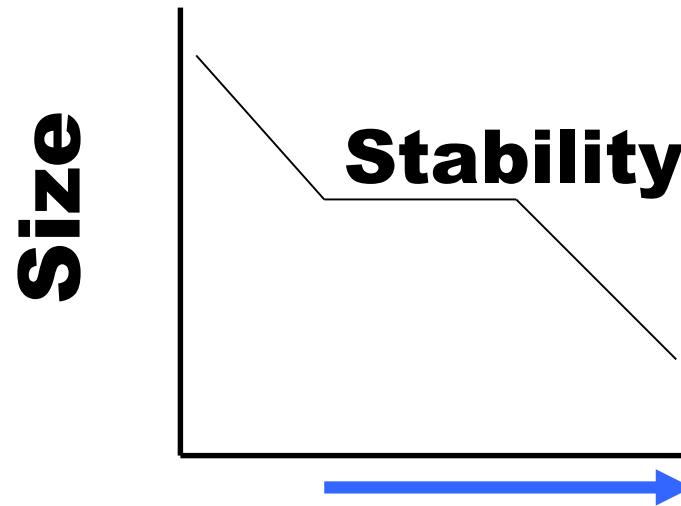
Segregation can occur on vibrating tray

Constant mass flow rate important for stable concentration during measurement

Once settings chosen, test reproducibility

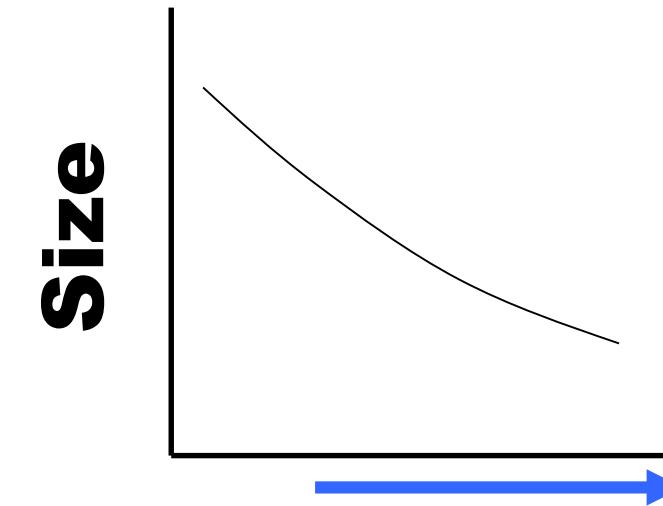
Dispersion vs Breakage

Theoretical



Increasing energy

Actual



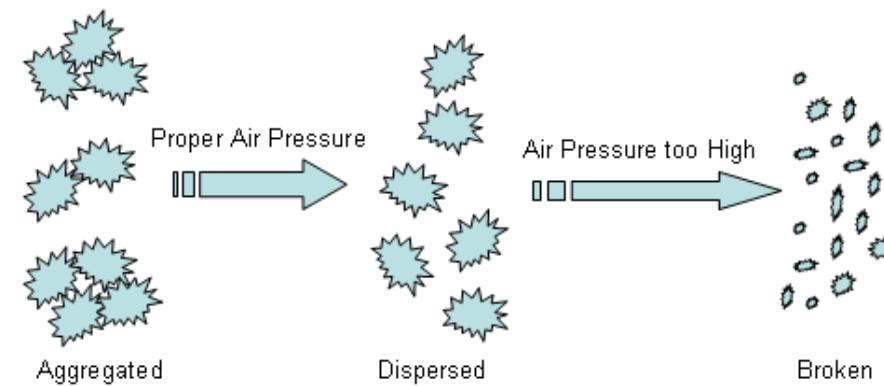
Increasing energy

Higher air pressure or longer ultrasound duration

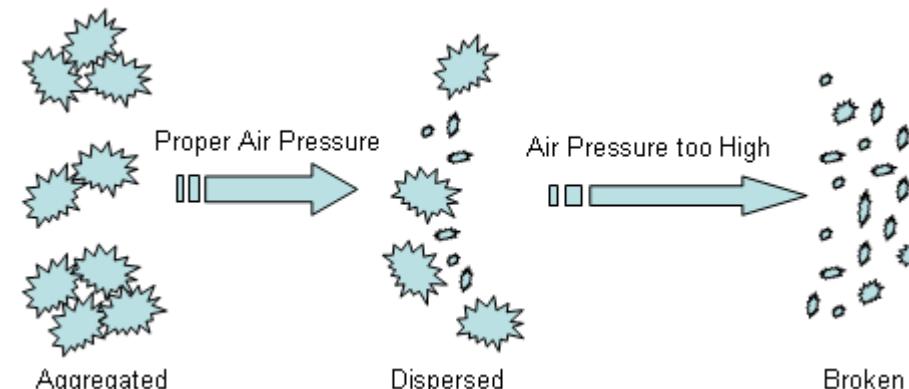
Dispersion vs Breakage

- Dispersion and milling can be parallel rather than sequential processes**

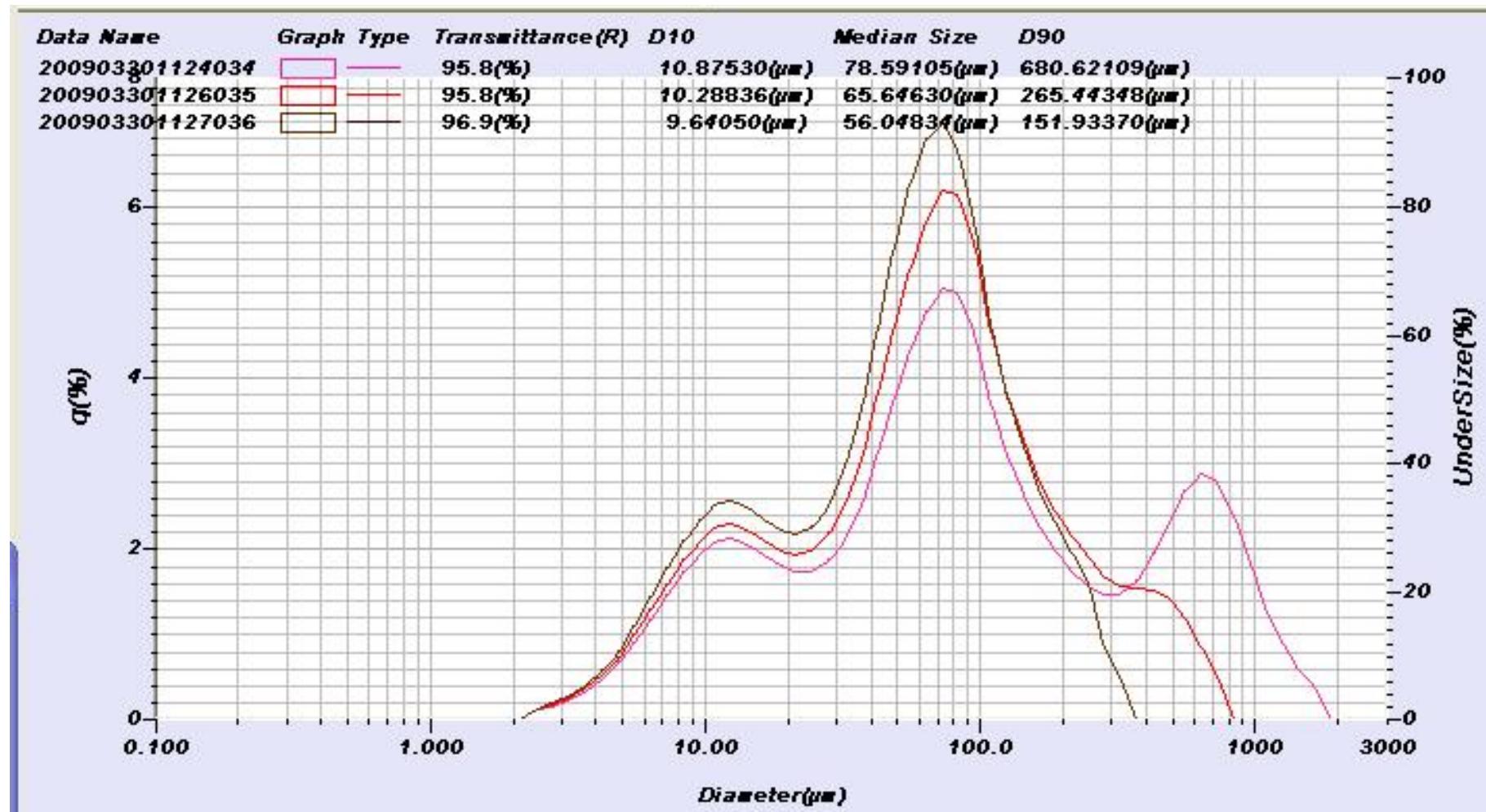
Theoretical



Actual



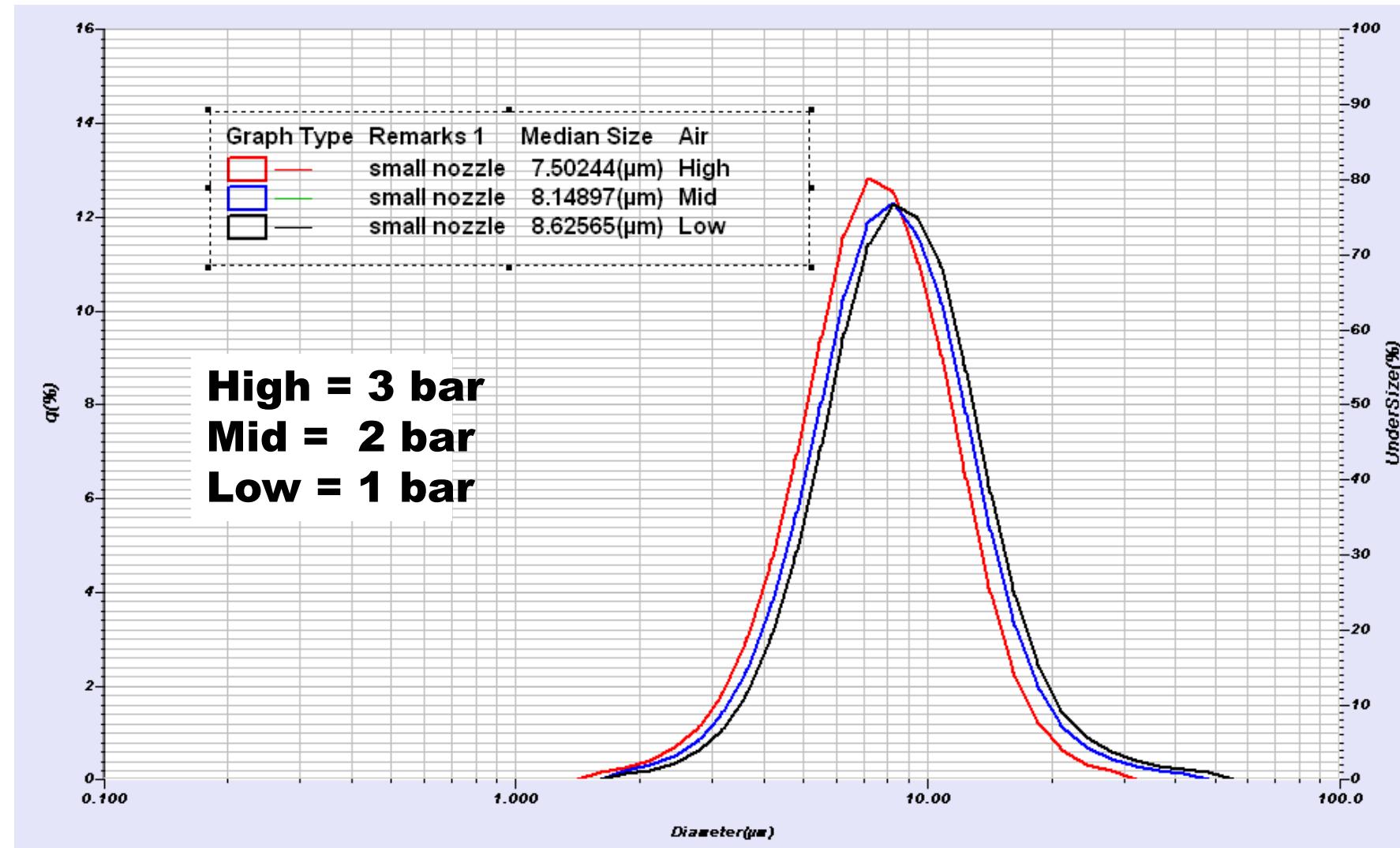
Pressure Titration



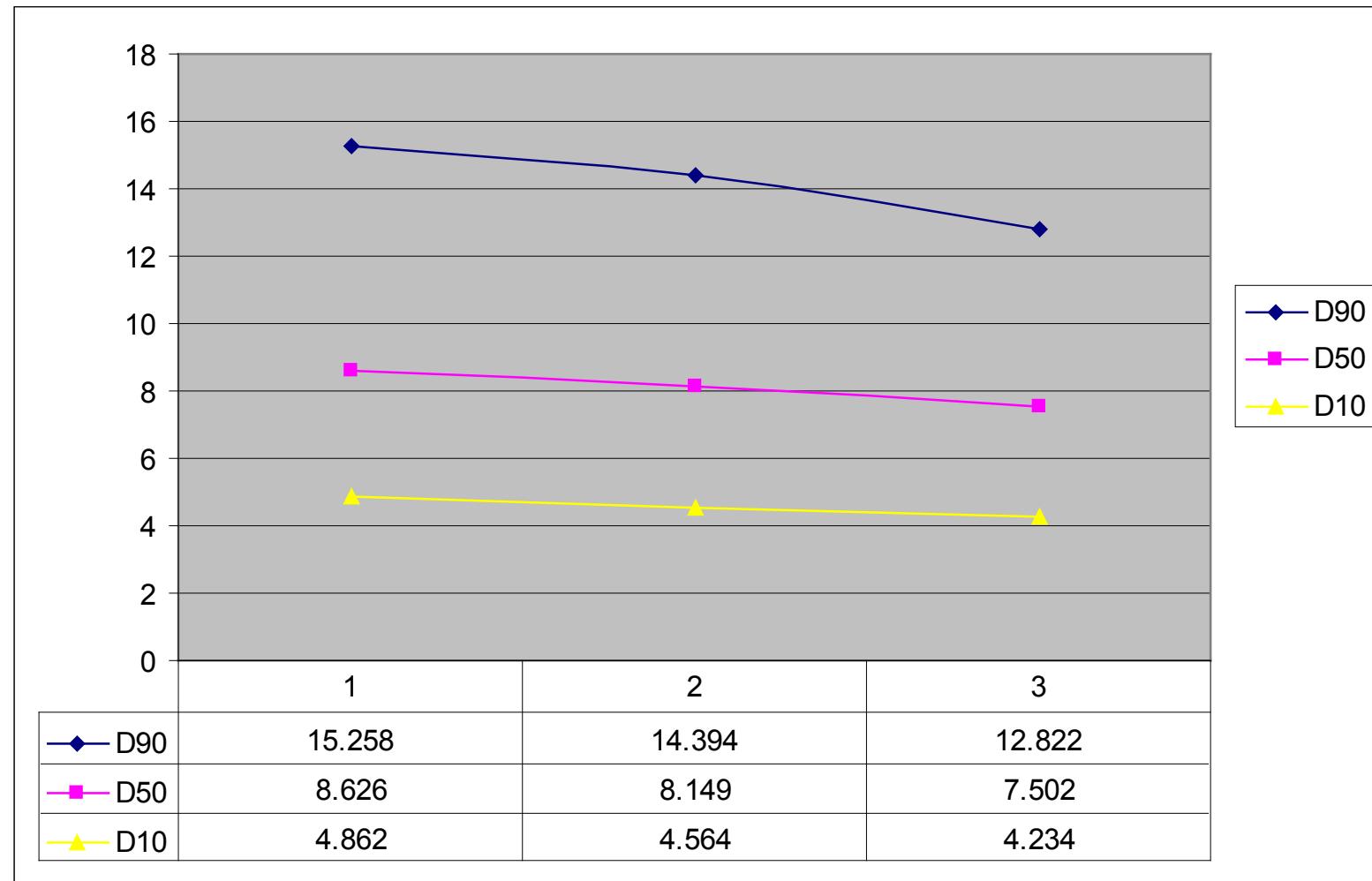
Examples



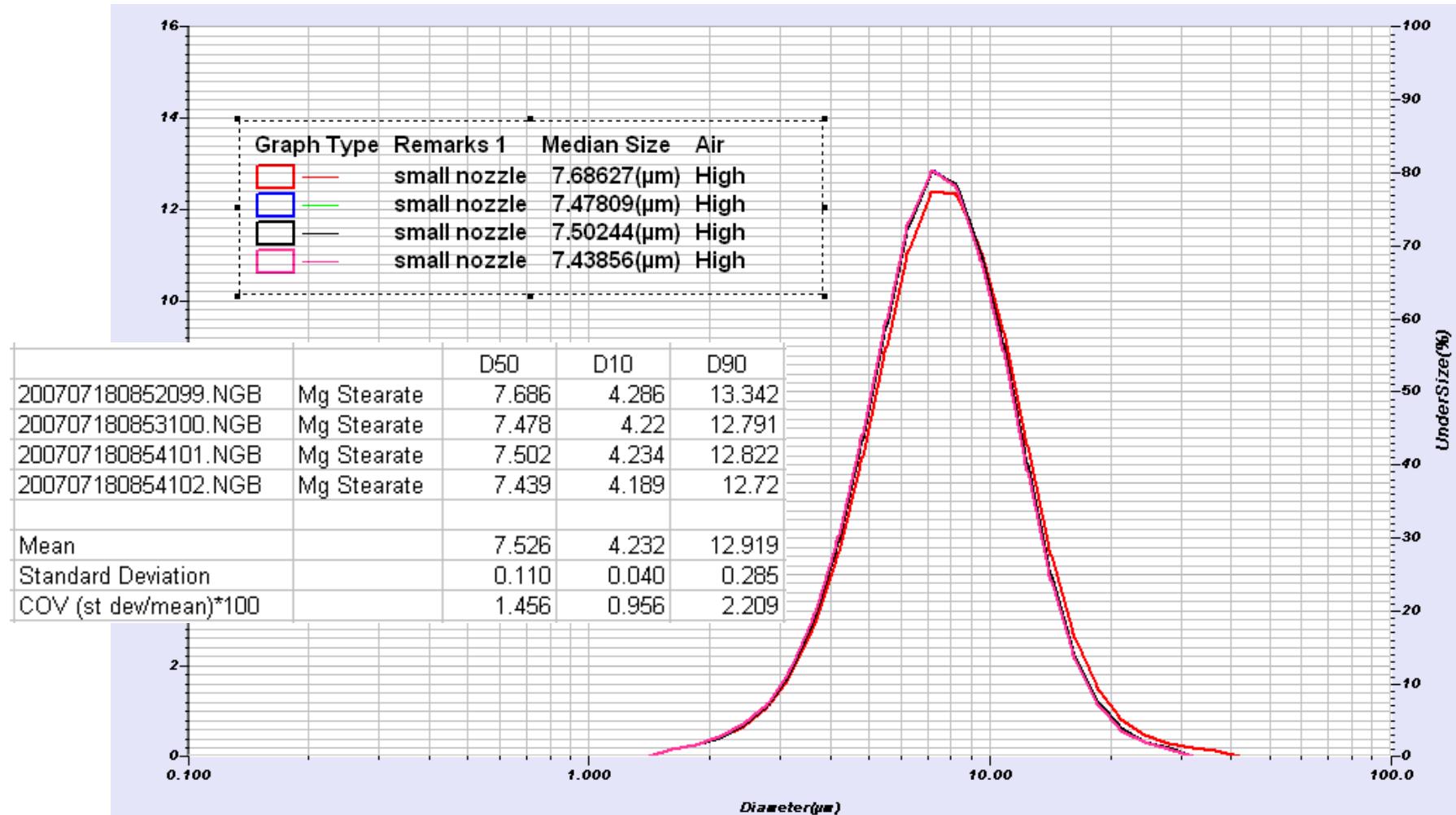
Mg Stearate



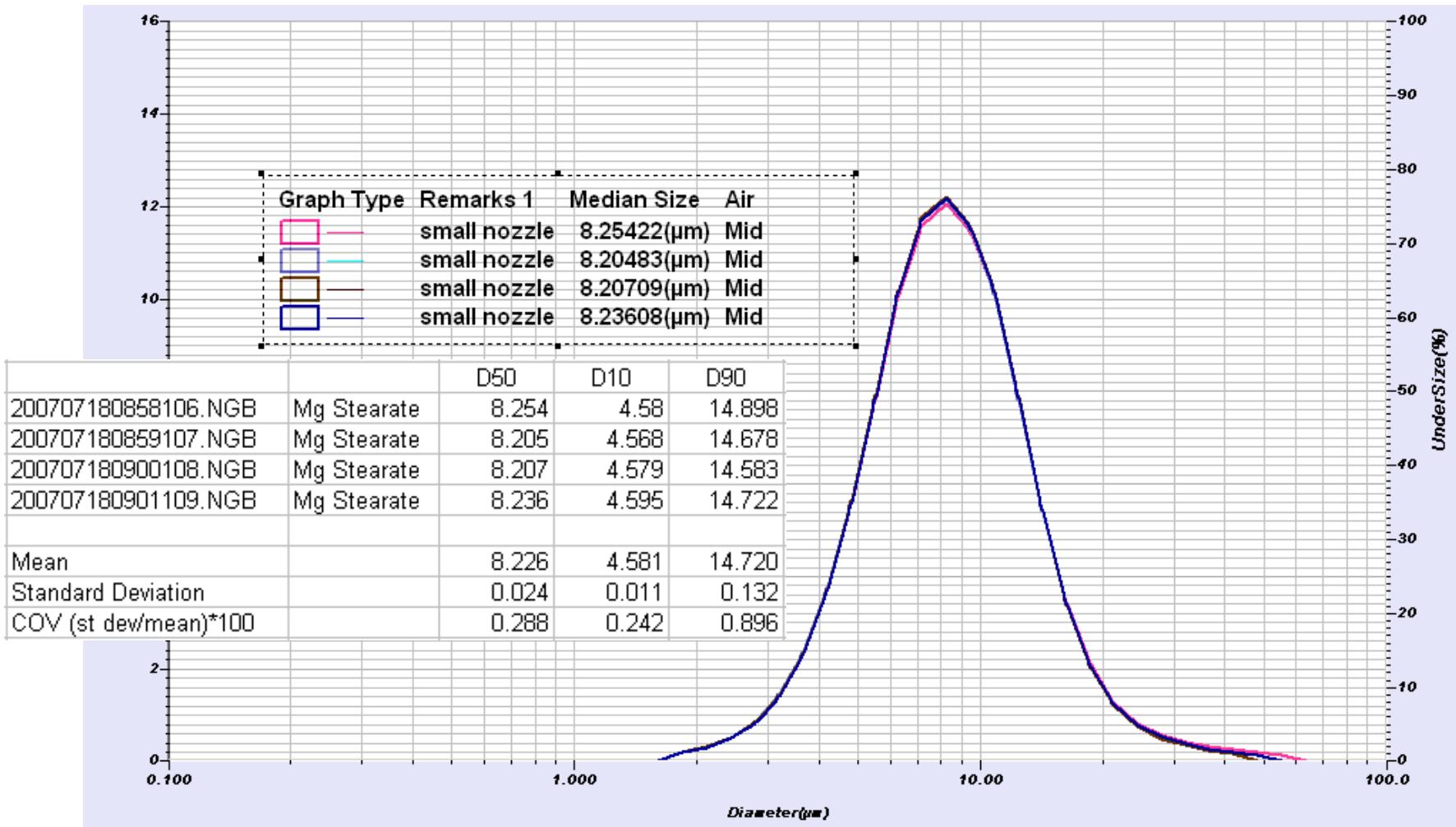
Mg Stearate



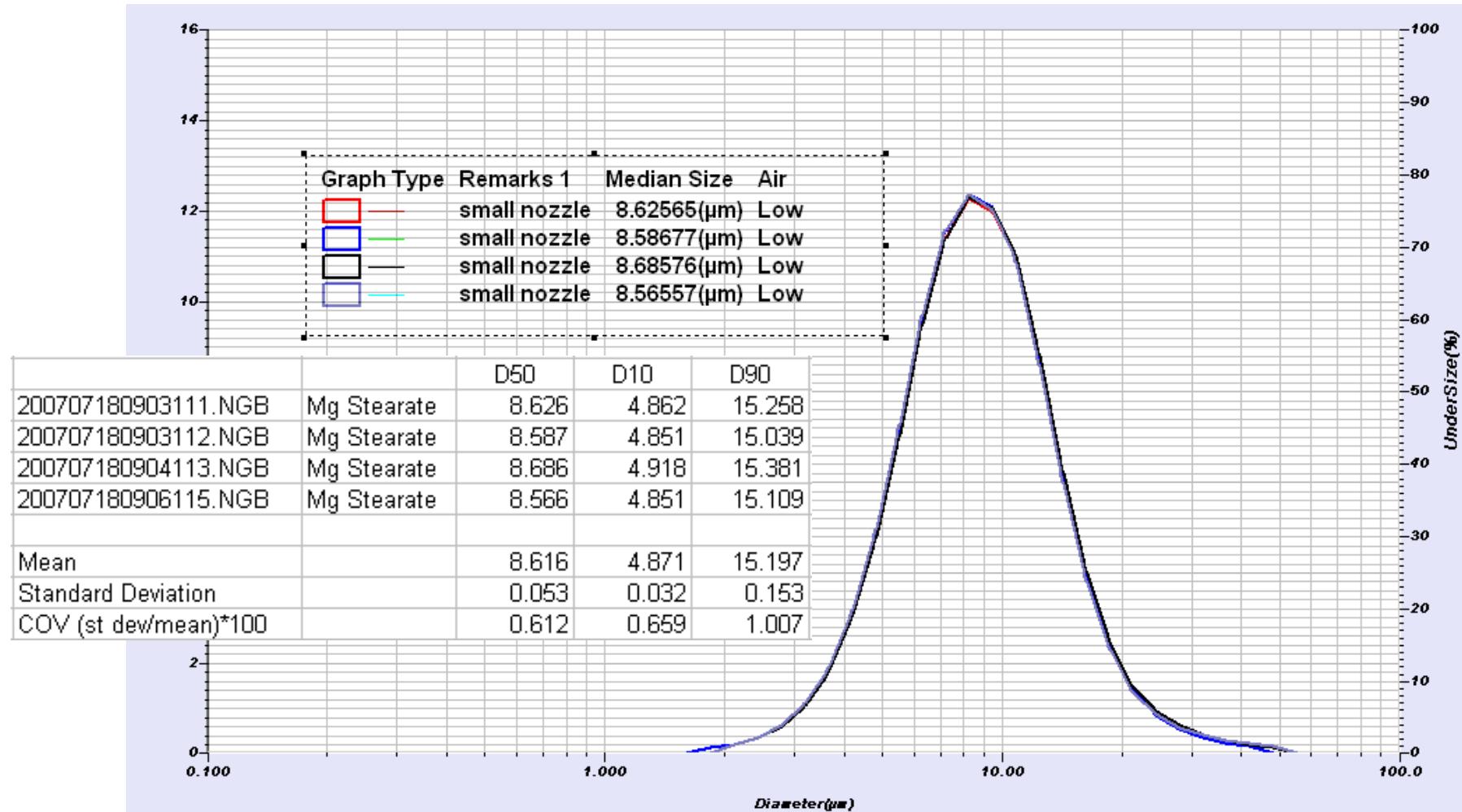
Reproducibility at 3 Bar



Reproducibility at 2 Bar



Reproducibility at 1 Bar



Summarize Reproducibility



Pressure	D10 COV	D50 COV	D90 COV
1 Bar	0.659	0.612	1.007
2 Bar	0.242	0.288	0.896
3 Bar	0.956	1.456	2.209

This is reproducibility; Sampling is important!

Must have representative sample

Summary

Must have representative sample

Powders: select air pressure

Suspensions: wet, disperse

Check accuracy w/microscope or DLS

Investigate system settings: concentration, agitation,
ultrasound

Design for maximum precision

Follow guidelines in standards

Thank you

Thank you

Omoshiro-okashiku
Joy and Fun



감사합니다

Cảm ơn

ありがとうございました

Dziękuję

ধন্যবাদ

Grazie

Merci

ធម្មរោគ

நன்றா

谢谢

Obrigado

Σας ευχαριστούμε

Tack ska ni ha

شُكْرًا

Большое спасибо

Danke

Gracias