

# **HORIBA Scientific**

## **Particle Analysis**

### **Jeffrey Bodycomb, Ph.D.**

# **Size and Shape of Particles from Dynamic Image Analysis**



**October 30, 2018**

# Intro

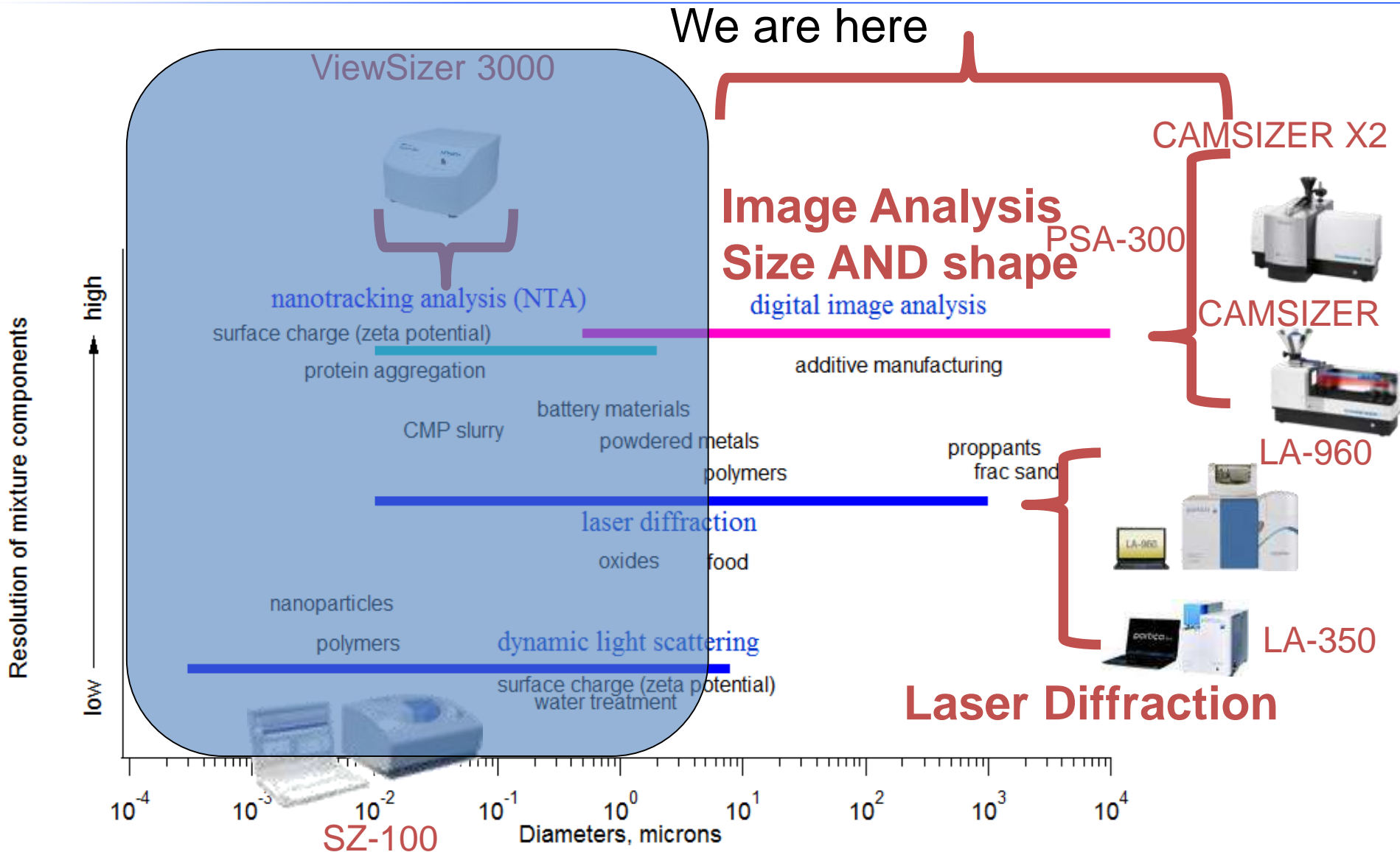
---

## **Orientation**

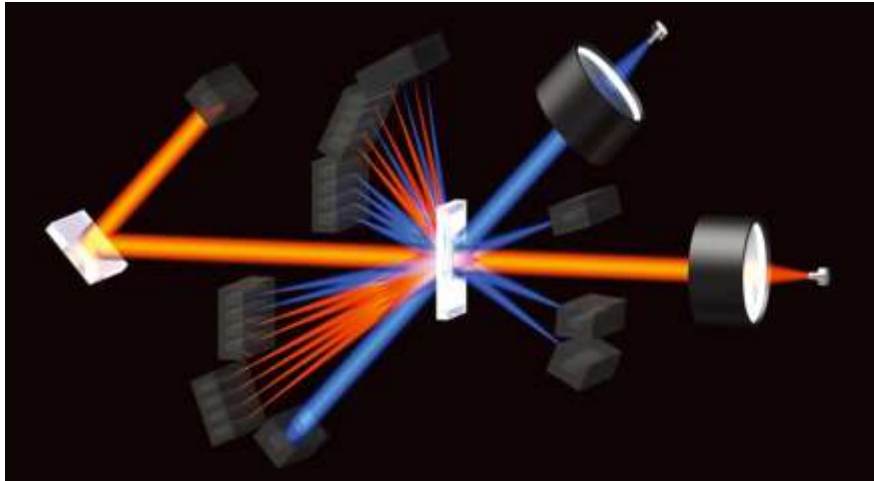
**What is image analysis**

**Why?**

# Analysis Techniques



# Laser Diffraction

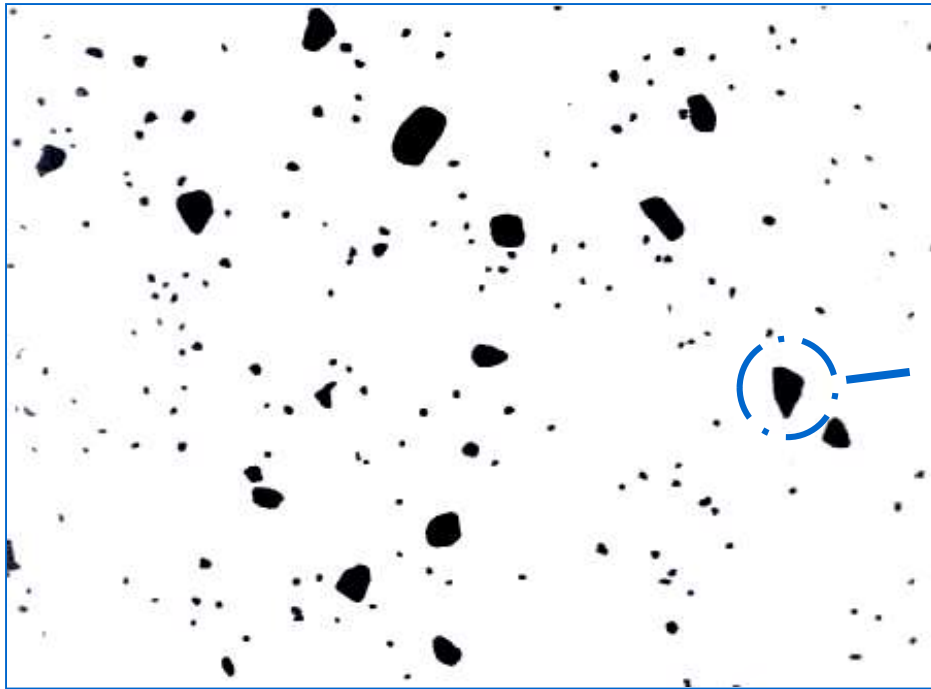


- Converts scattered light to particle size distribution
- Quick, repeatable
- Powders, suspensions
- Most common technique



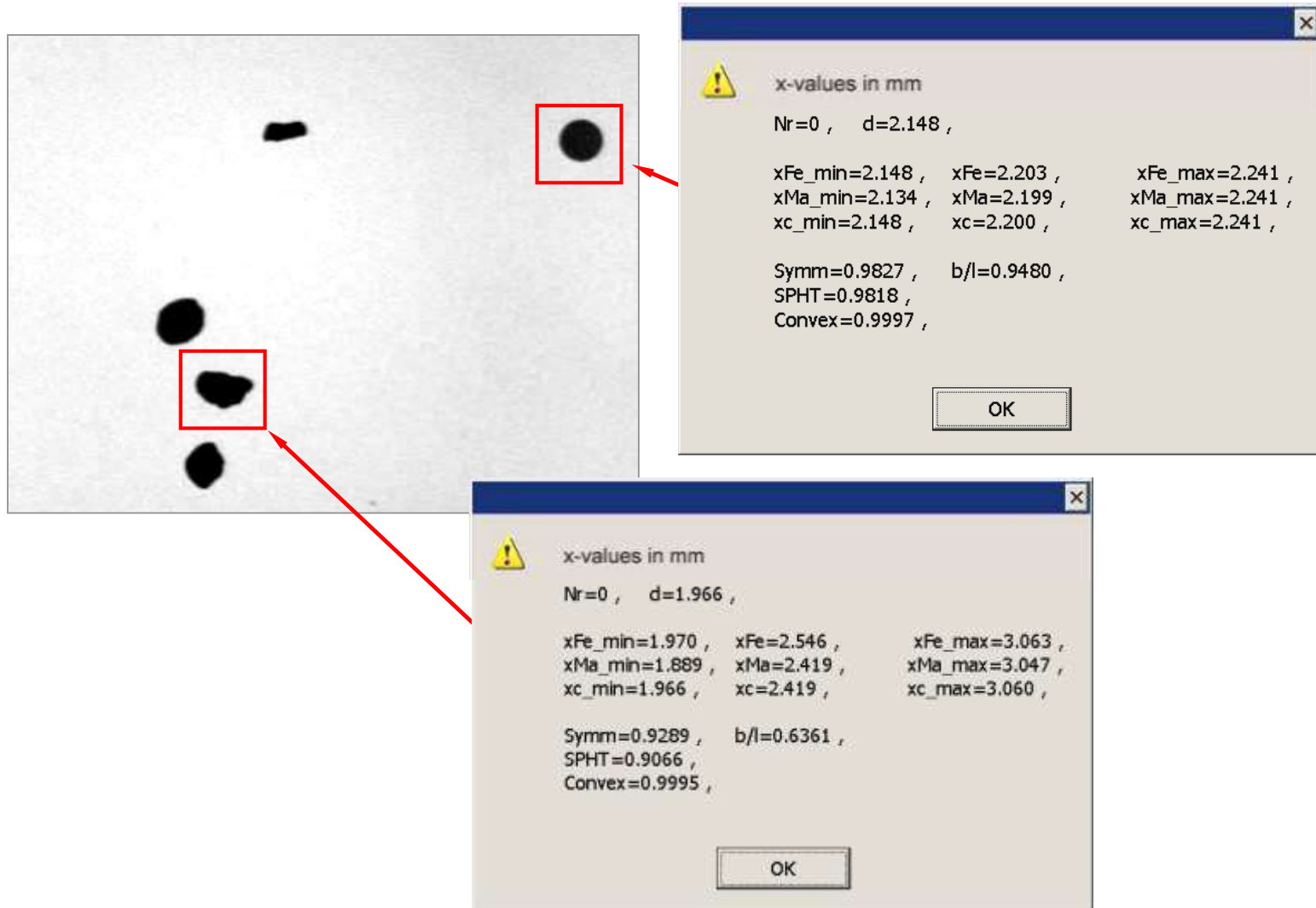
- Fast, gets to very small size, but no shape information

# What is image analysis?



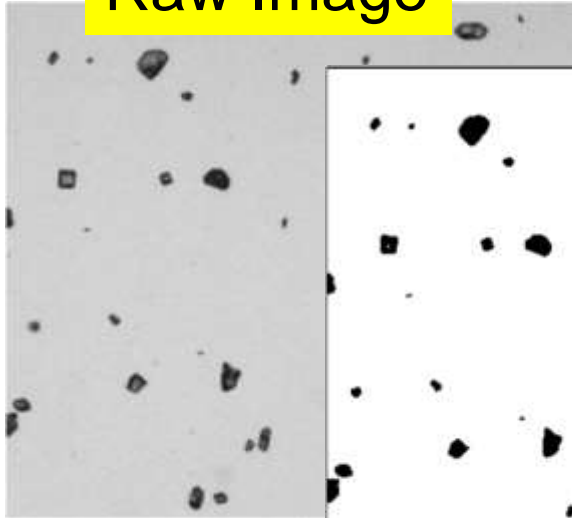
Take a picture,  
analyze for  
**size and shape**

# Size and shape from pictures

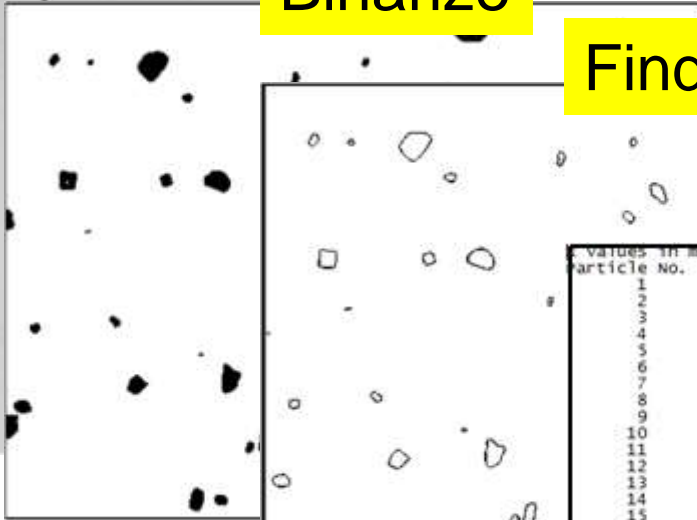


# Data Evaluation

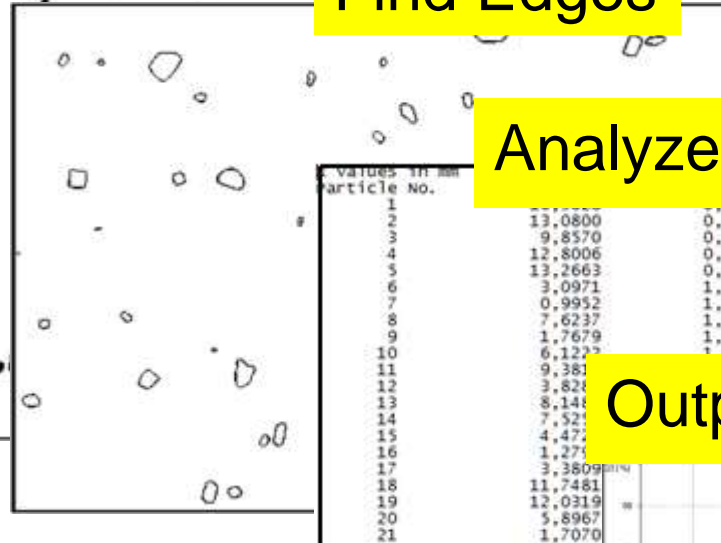
Raw Image



Binarize



Find Edges



Analyze Each Particle

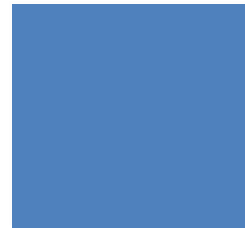
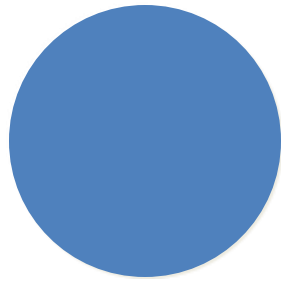
Particle No.	Area	Perim.	Cent. X	Cent. Y	Ext. X
1	13.0800	0.4677	0.2176	0.2234	0.2156
2	9.8570	0.6081	0.4872	0.5260	0.4746
3	12.8006	0.8691	0.3937	0.4154	0.3894
4	13.2663	0.7529	0.2767	0.3113	0.2720
5	3.0971	1.2902	0.5874	0.6104	0.5833
6	0.9952	1.1762	0.2226	0.2342	0.2194
7	7.6237	1.2215	0.1609	0.1668	0.1594
8	1.7679	1.2251	0.1242	0.1237	0.1238
9	6.1223	1.5243	0.3120	0.3363	0.3130
10	9.3871	0.3821	0.2176	0.2234	0.2156
11	3.8211	0.8691	0.3937	0.4154	0.3894
12	8.1411	0.7529	0.2767	0.3113	0.2720
13	7.5211	1.2902	0.5874	0.6104	0.5833
14	4.4711	1.1762	0.2226	0.2342	0.2194
15	1.2711	1.2215	0.1609	0.1668	0.1594
16	3.3809	1.2251	0.1242	0.1237	0.1238
17	11.7481	1.5243	0.3120	0.3363	0.3130
18	12.0319	0.3821	0.2176	0.2234	0.2156
19	5.8967	0.8691	0.3937	0.4154	0.3894
20	1.7070	0.7529	0.2767	0.3113	0.2720
21		1.2902	0.5874	0.6104	0.5833

Output Distribution



# Why image analysis?

- Replace sieves (really!)
- Verify/supplement laser diffraction results (orthogonal technique).
- Need shape information, for example due to importance of powder flow



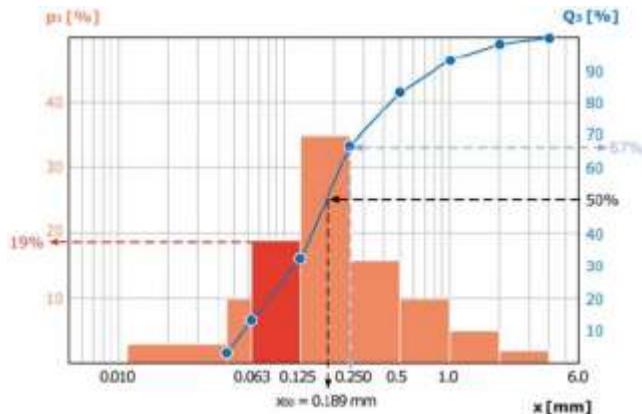
These may have the same size (cross section), but behave very differently.



# Why image analysis?

## Replace Sieves

- Sieves tend to wear over time. It is difficult to tell when sieve results are “drifting” due to wear
- Results depend on nature of shaking and loading leading to operator to operator variations in results.
- Small number of size classes



Size class [mm]	$p_3$ [%]	$Q_3$ [%]
< 0.045	3.0	3.0
0.045 - 0.063	10.0	13.0
<b>0.063 - 0.125</b>	<b>19.0</b>	<b>32.0</b>
0.125 - 0.250	35.0	67.0
0.250 - 0.500	16.0	83.0
0.500 - 1.000	10.0	93.0
1.000 - 2.000	5.0	98.0
2.000 - 4.000	2.0	100.0
> 4.000	0.0	100.0

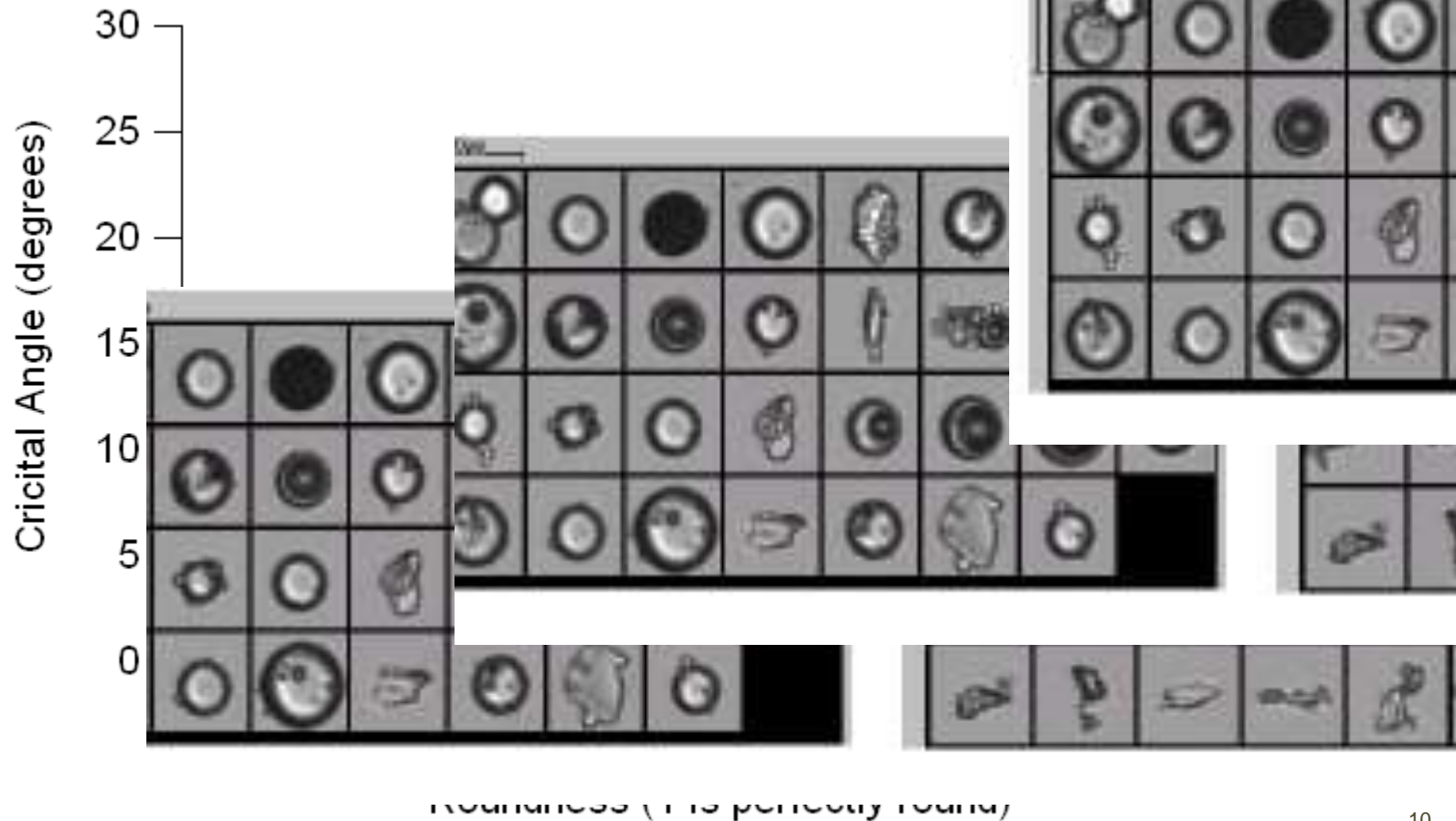
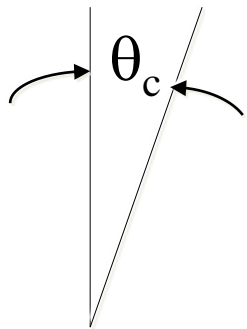
$x_{50} = 0.189 \text{ mm}$



More information available through [www.retsch.com](http://www.retsch.com)

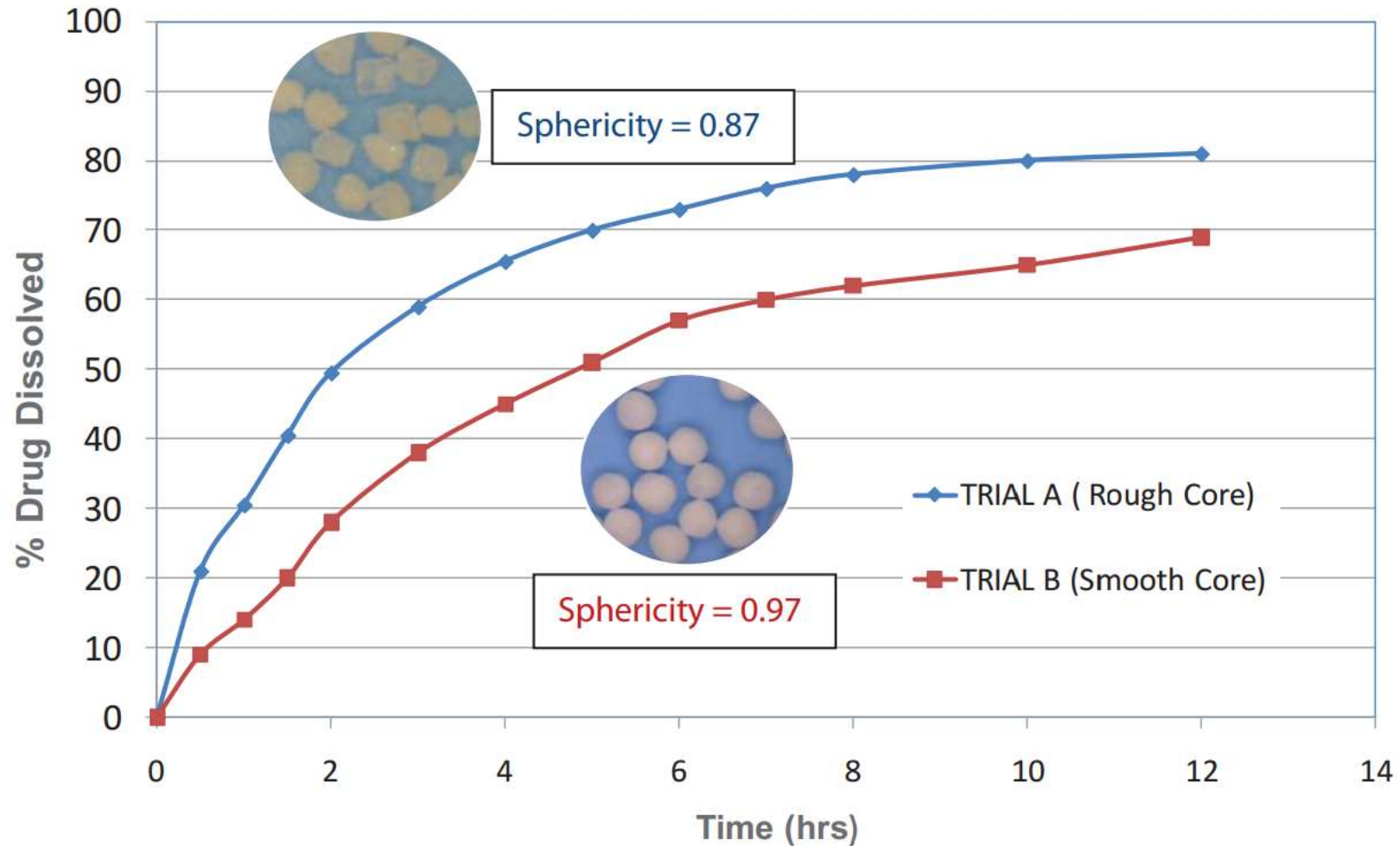
# Why: effect of shape on flow

- **Yes, I assumed density doesn't matter.**
- **Roundness is a measure based on particle perimeter.**

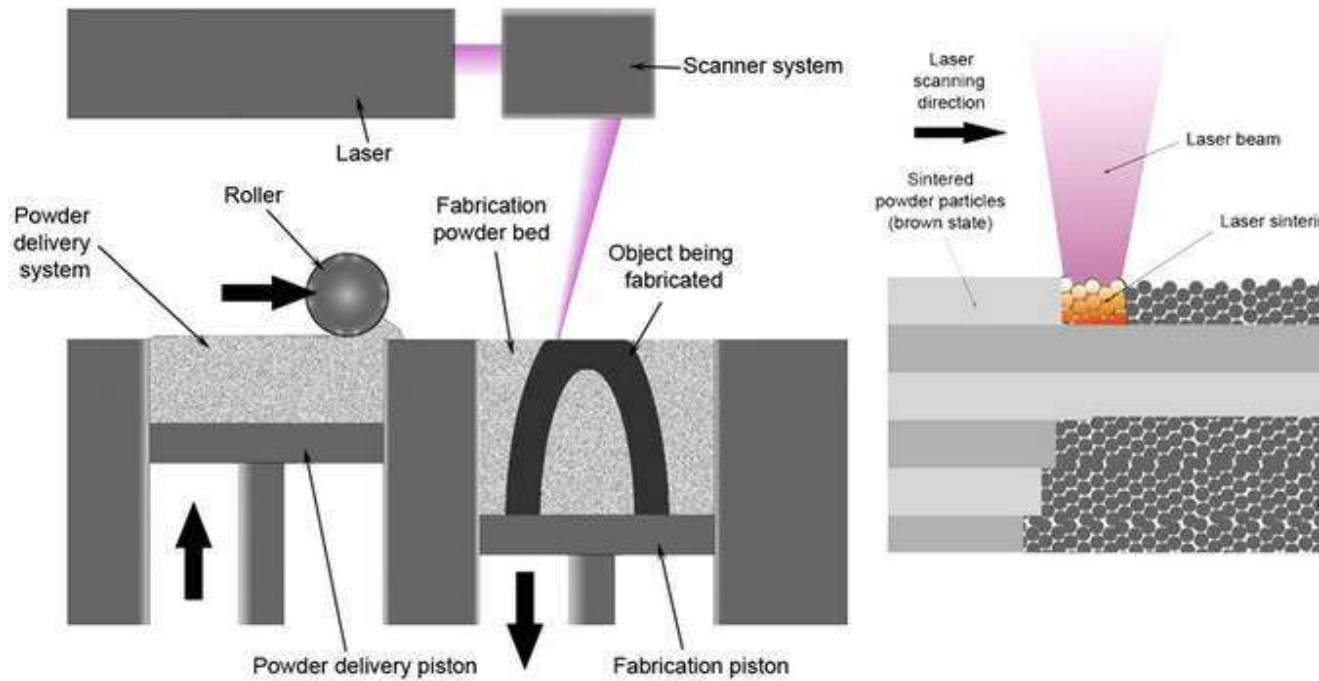


# Why: multiparticulate drugs

## Shape affects drug release profile

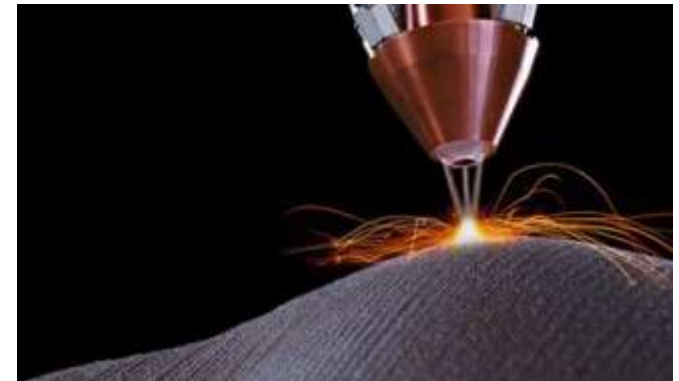


# Why: 3D printing



**3D printing with metal /  
additive manufacturing**

**Size affects quality, shape  
affects flow.**



# The image

---

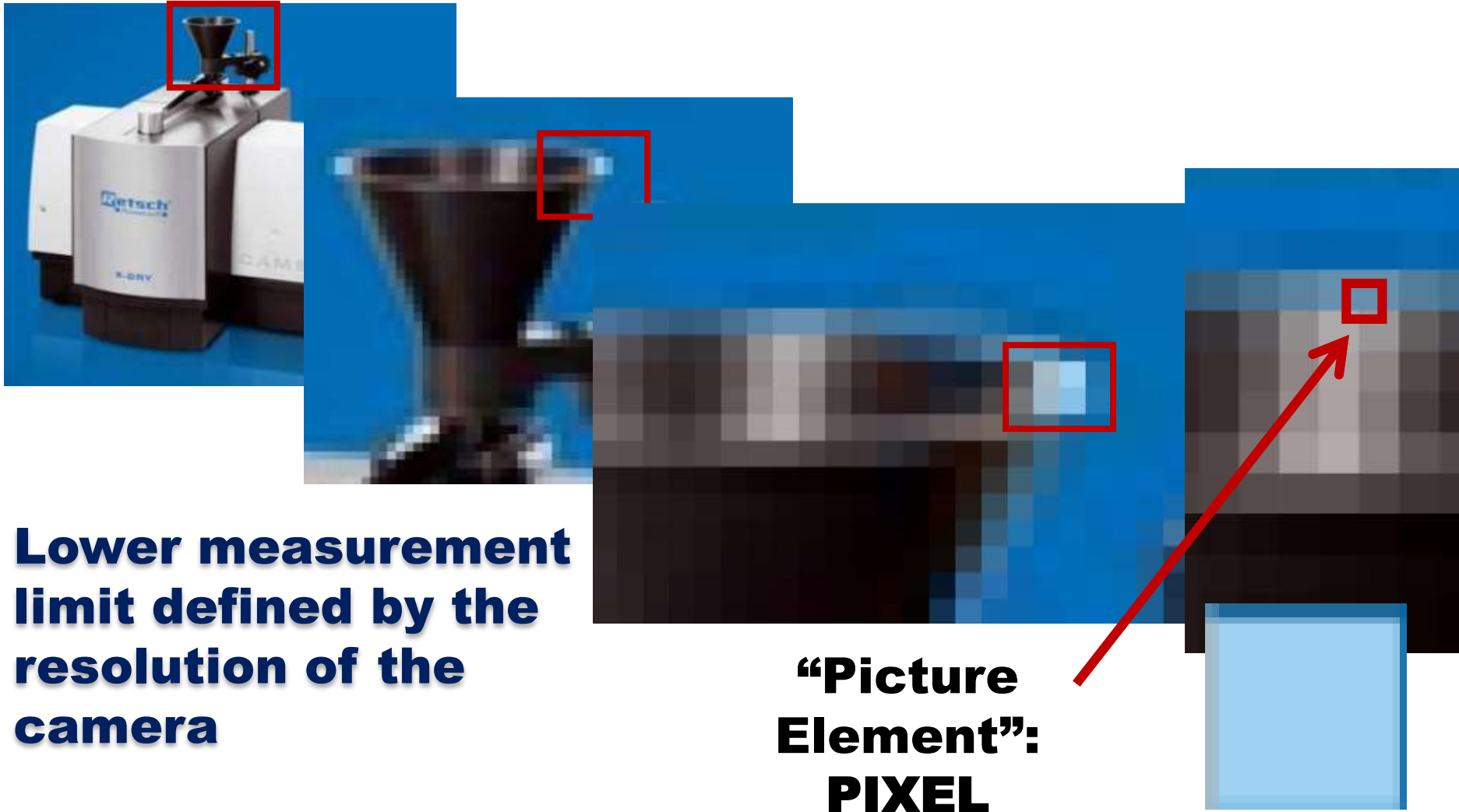
**Resolution**

**Max size/field of view**

**Two cameras**

# The image: resolution

## Pictures of digital cameras:



**Lower measurement  
limit defined by the  
resolution of the  
camera**

**“Picture  
Element”:  
PIXEL**

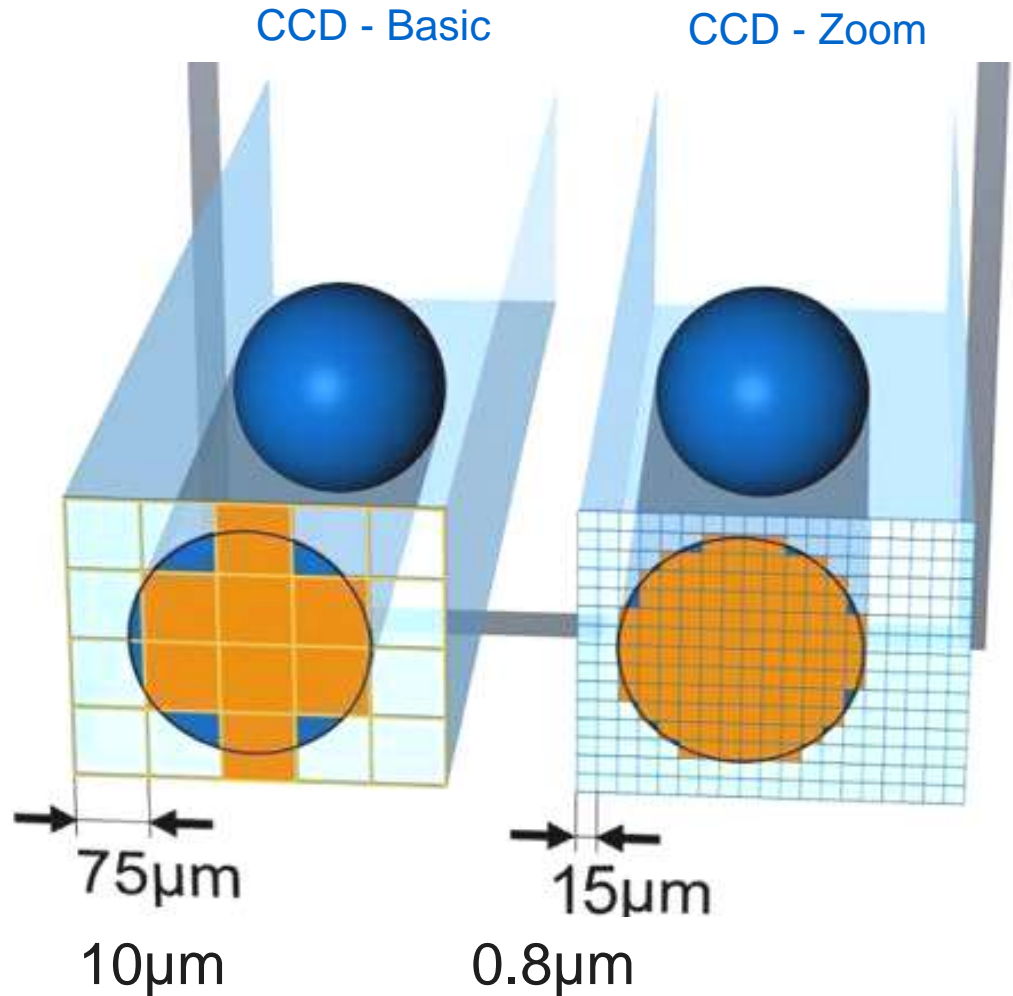


# The image: resolution

## Detection of particles

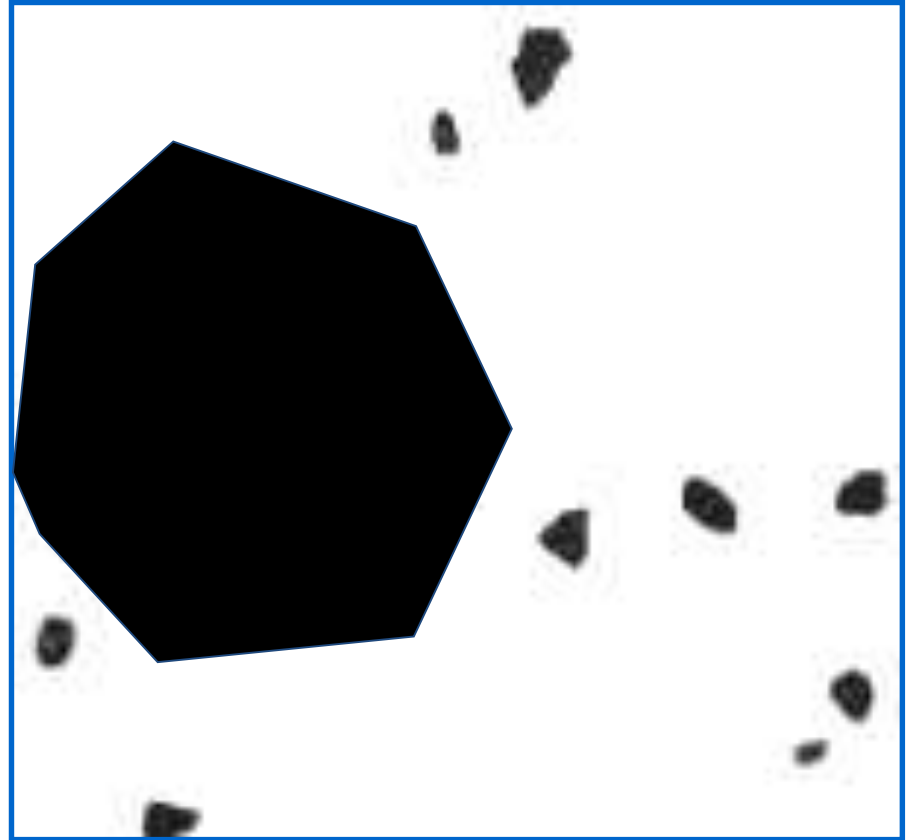
One pixel is element of a projection when **at least half of the pixel is covered**.

CAMSIZER  
CAMSIZER XT



# The image: maximum size

**Large particles cannot be measured properly even if they fit in the frame.**



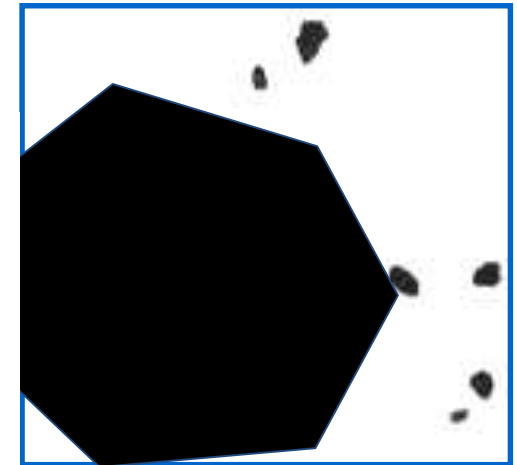
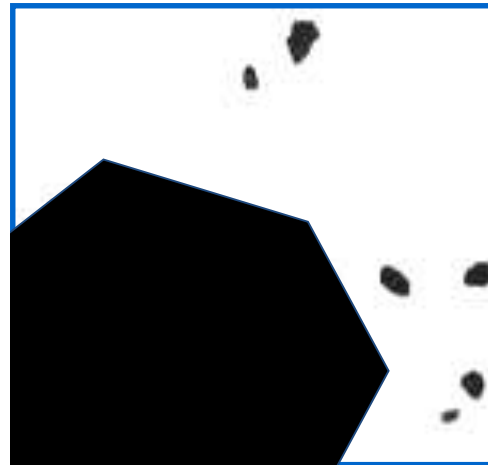
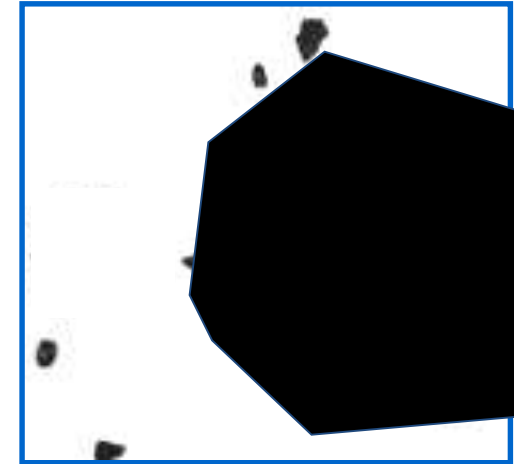
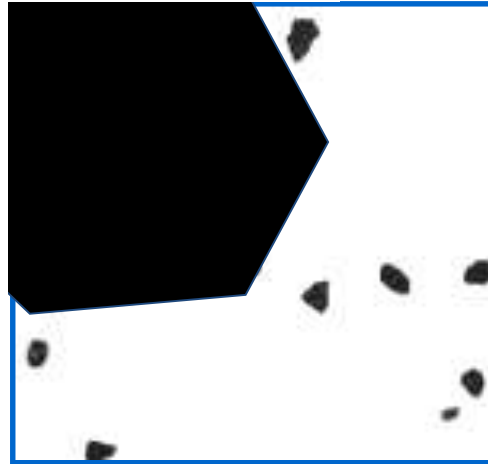


# The image: maximum size

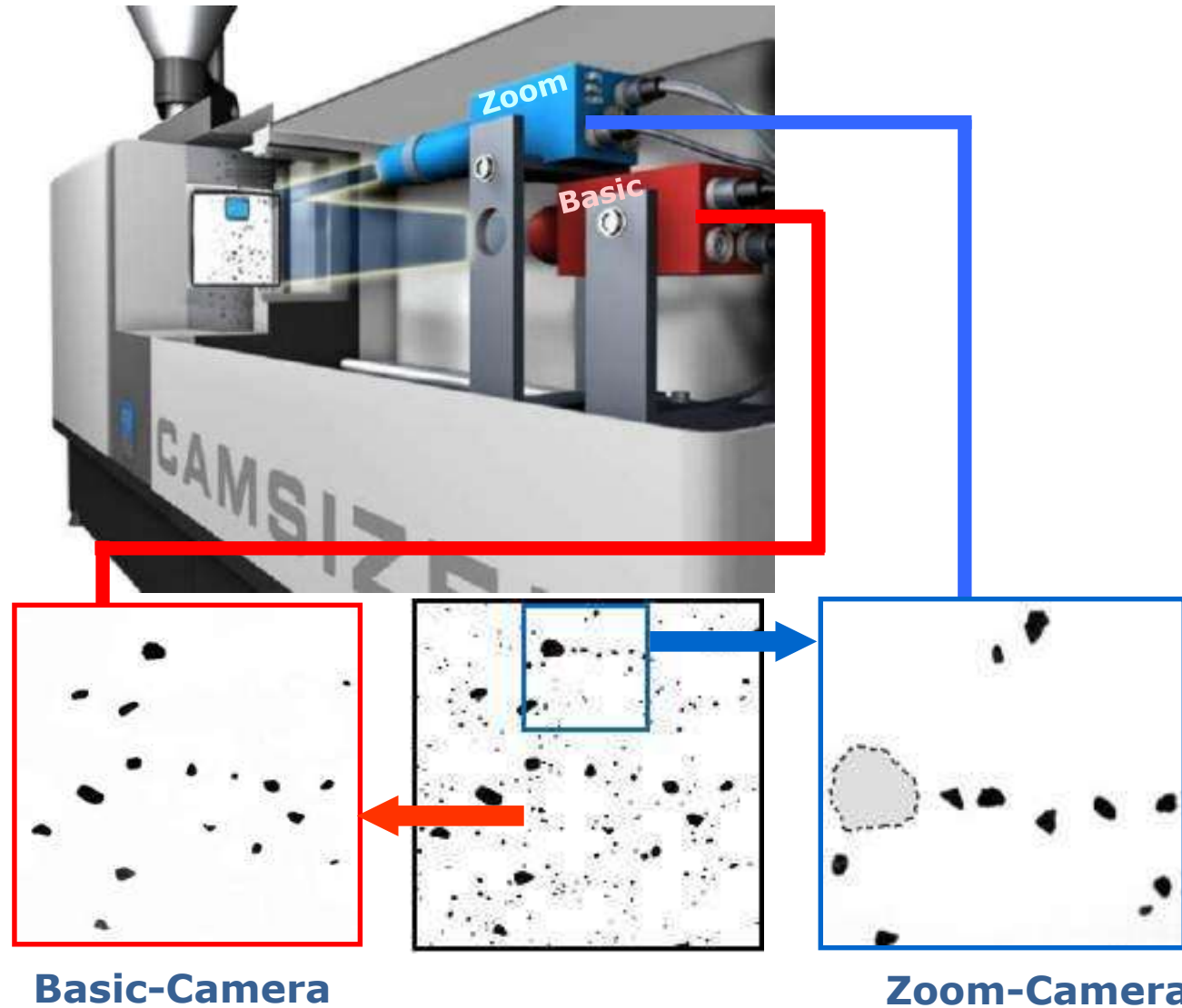
**The probability of large particles touching the edge of the frame is higher than for smaller particles.**

**=> Large particles cannot be measured sufficiently**

**→ Upper limit of measurement range**



# The image: two camera system

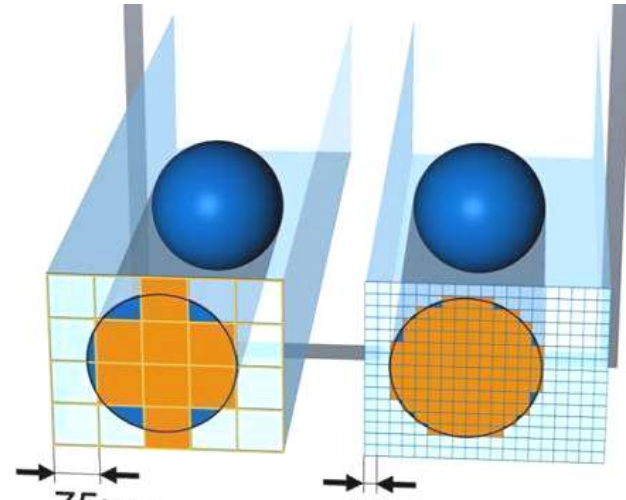


# The image: two camera system

**CCD - Basic**

**CCD - Zoom**

Pixel Size



CAMSIZER 66  $\mu\text{m}$

10  $\mu\text{m}$

Size Range

130  $\mu\text{m}$  to 30 mm

20  $\mu\text{m}$  to 1.25 mm



**Upper limit**

# The sample

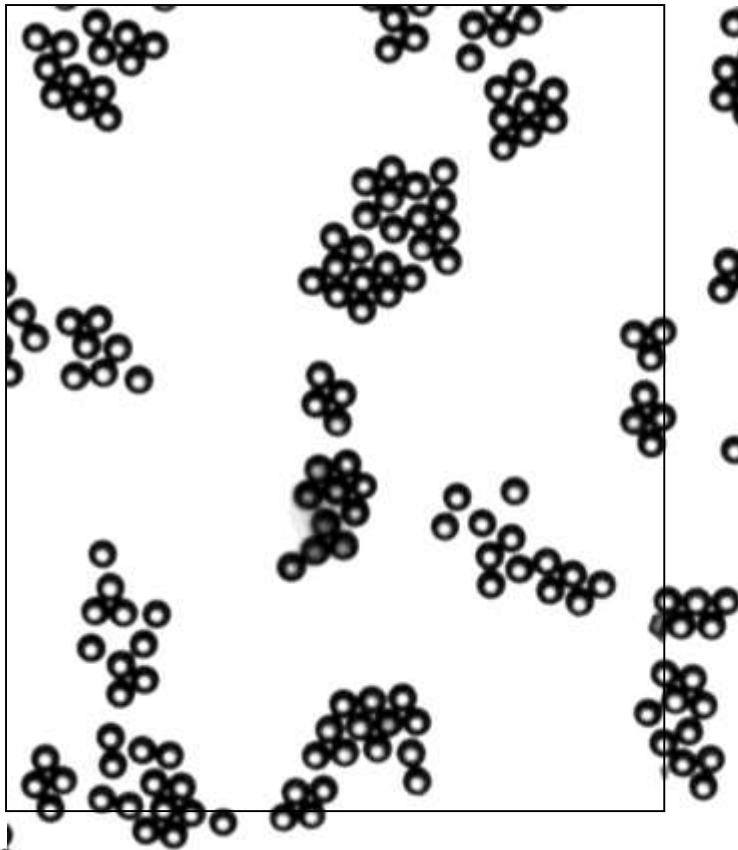
---

**Dispersing**  
**Static vs. dynamic**  
**Dispersing options**

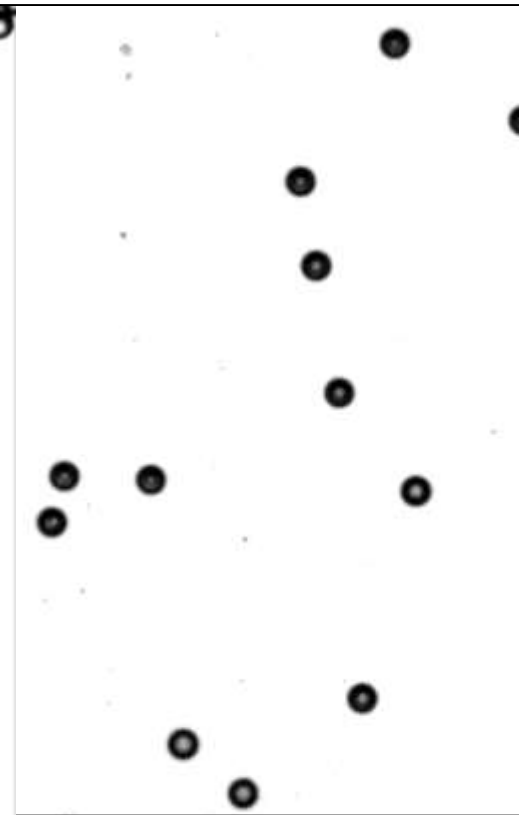
# Dispersing a sample

**For static image analysis, want to spread particles out so that they don't touch.**

**No**

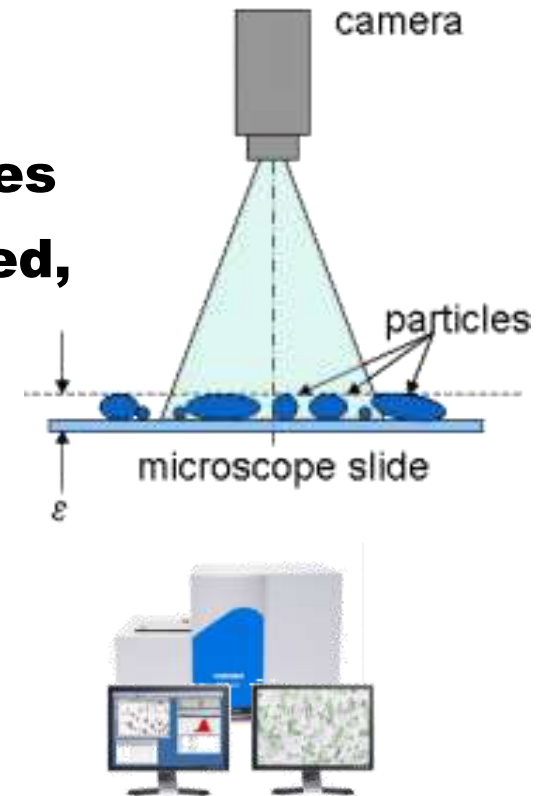


**Yes**



# Static image analysis

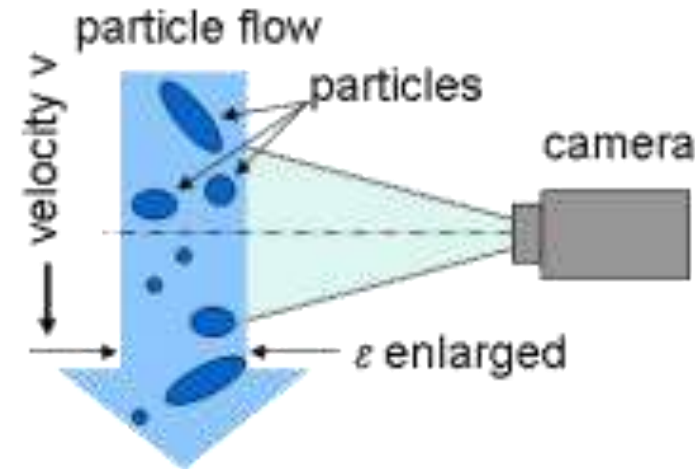
- **Particles are dispersed (isolated) on a surface**
- **Picture are taken from stationary particles**
- **Camera or surface with particles is shifted, multiple images are taken from different positions, images are processed and evaluated**
- **High resolution images is possible**
- **Number of images/particles is limited (because of time limitations)**
- **Preferred orientation of the particles on the surface (largest 2D)**



**HORIBA PSA300**

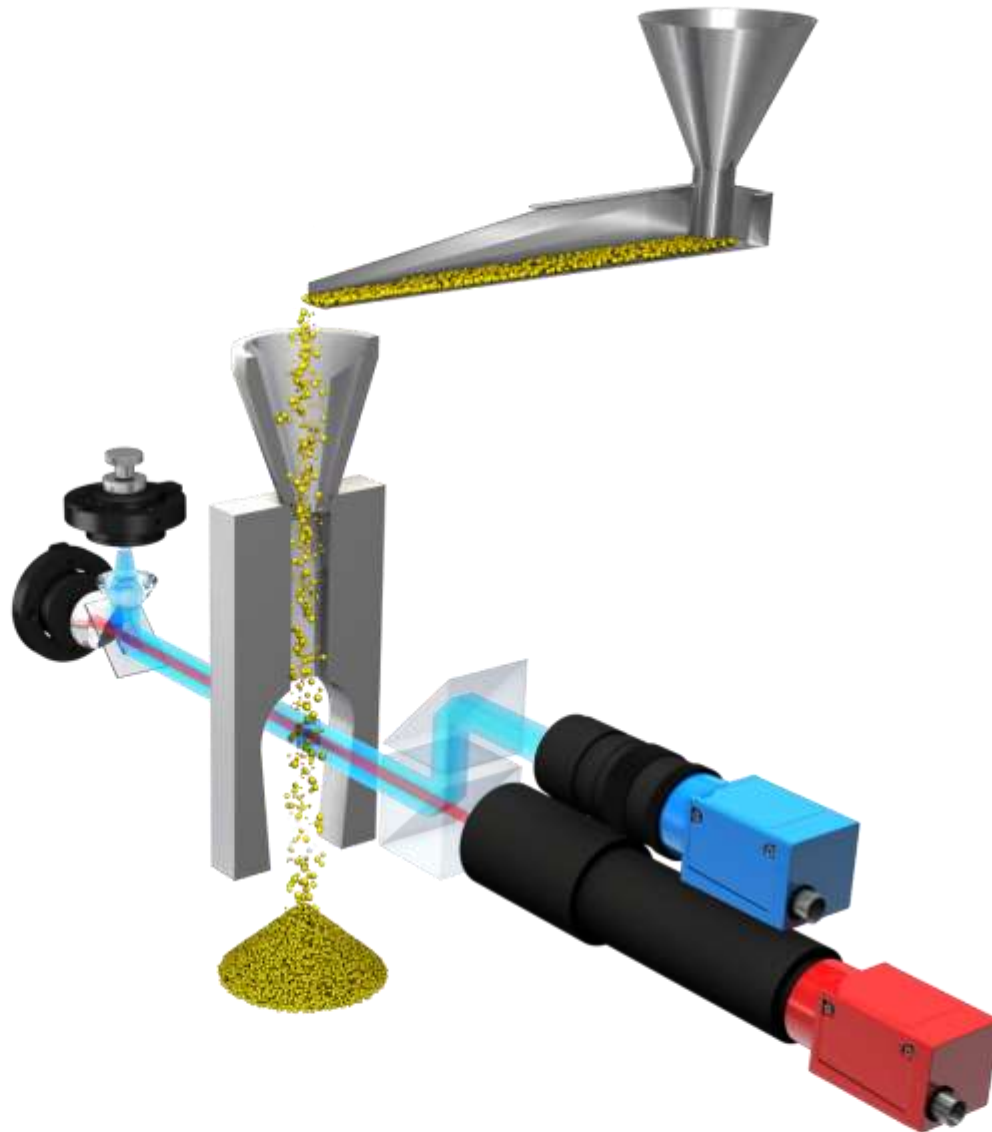
# Dynamic image analysis

- **Particles flow through the measurement volume of the instrument and the field of view of the camera**
- **Particles are captured during movement, no other moving parts necessary**
- **Capturing of many particle images in a short time interval**
- **Limitations because of image rate of the camera(s)**
- **Image quality is (a bit) worse**
- **Particles are projected in random orientation (3D)**



## CAMSIZER

# Free flowing powder



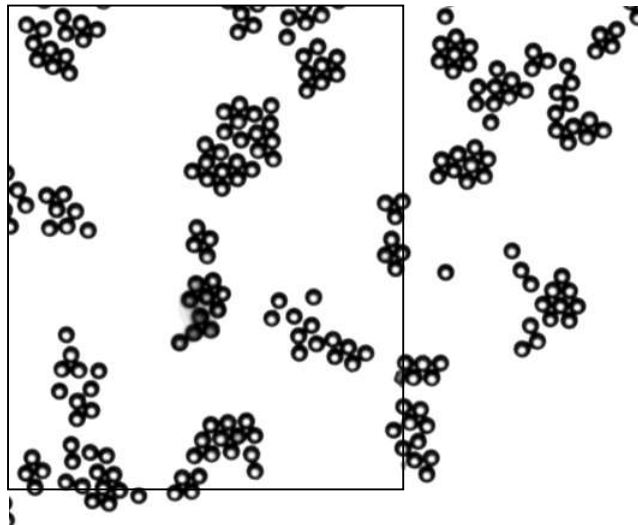


# Control feed rate

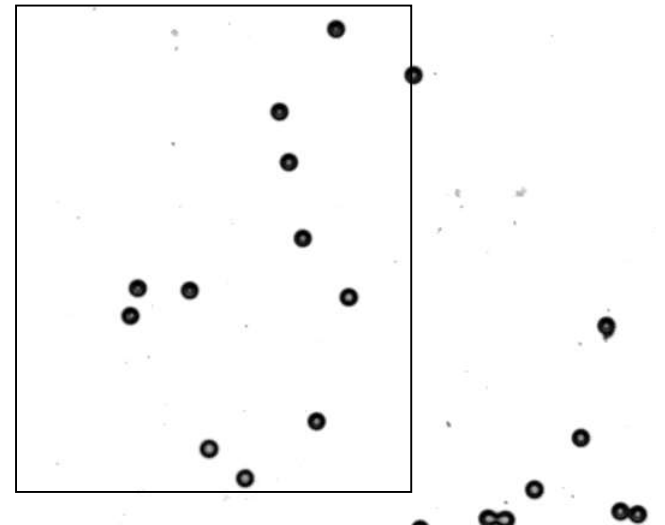
**Want to spread particles out so that they don't touch.**

**Use % of field of view that is covered in order to automatically control feed rate.**

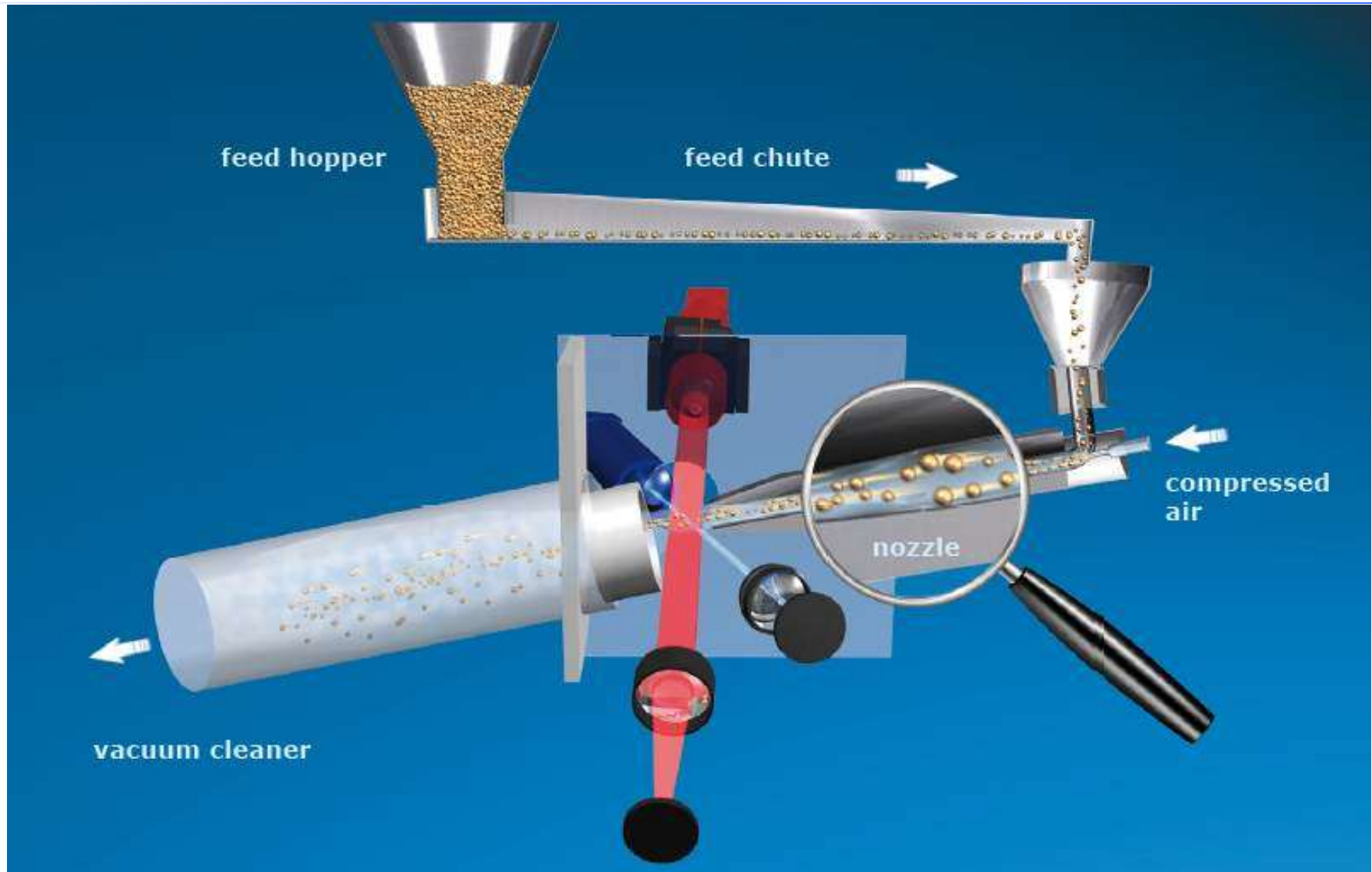
**Feeding too fast**



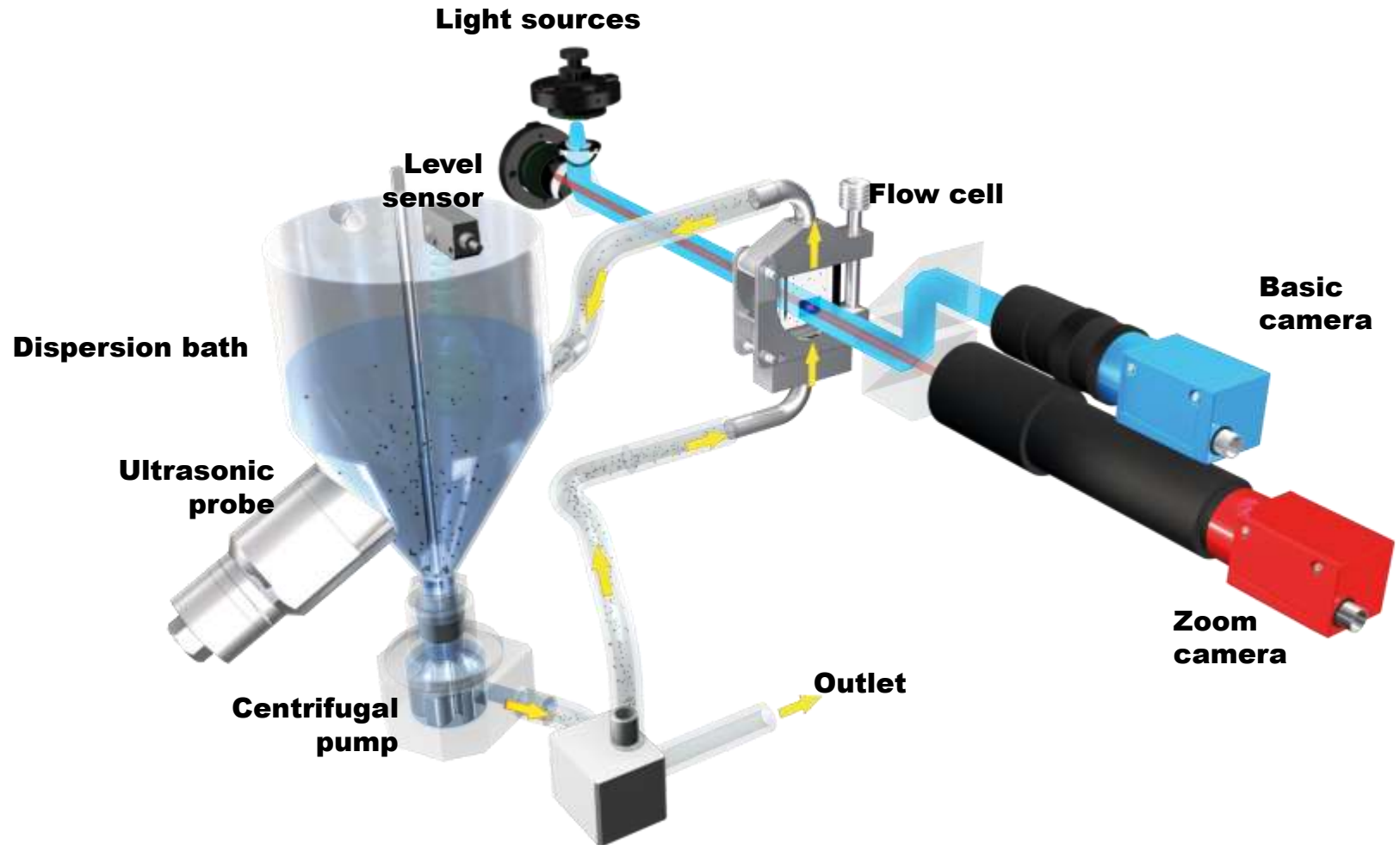
**Good**



# Air dispersion with X-Jet



# Or examine wet dispersion...



# The data

---

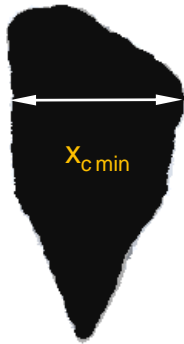
**Size measures**

**Matching sieves**

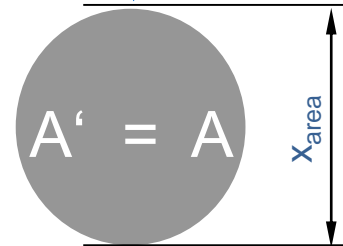
**Shape**

# Many size measures

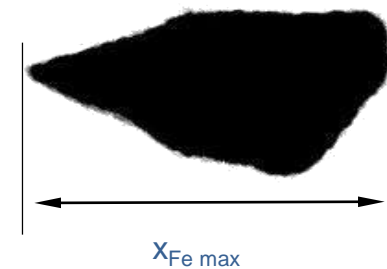
$X_{cmin}$   
“width”



$X_{area}$   
“diameter over  
projection surface”



$X_{Fe max}$   
“length”

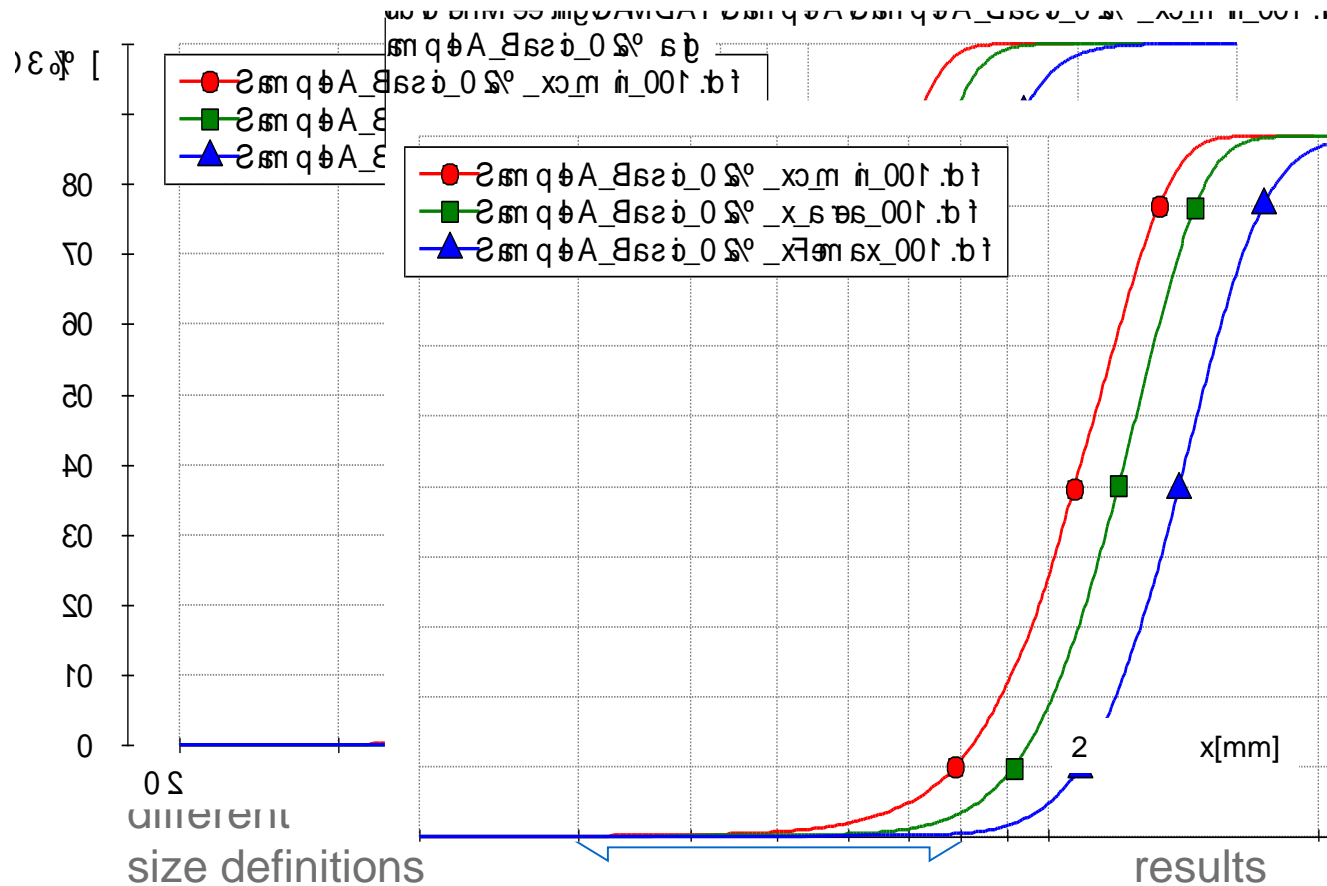


**Width is best  
suited for  
comparison  
with sieves !**

**Shape  
parameters  
can be  
calculated!**

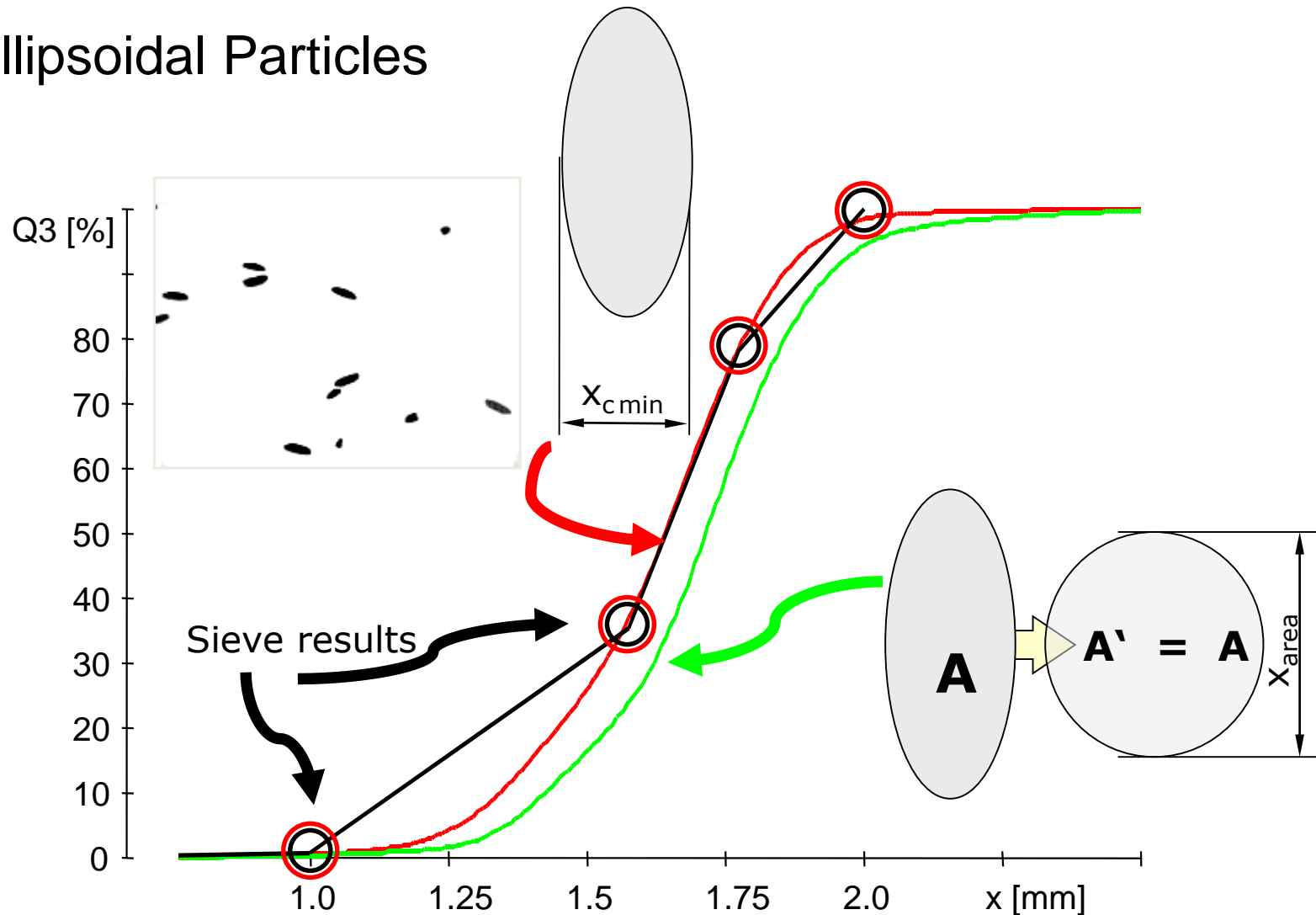
# Comparison of size definitions

$X_{cmin} \rightleftharpoons X_{Area} \rightleftharpoons X_{Femax}$



# Sieving vs. image analysis

## Ellipsoidal Particles

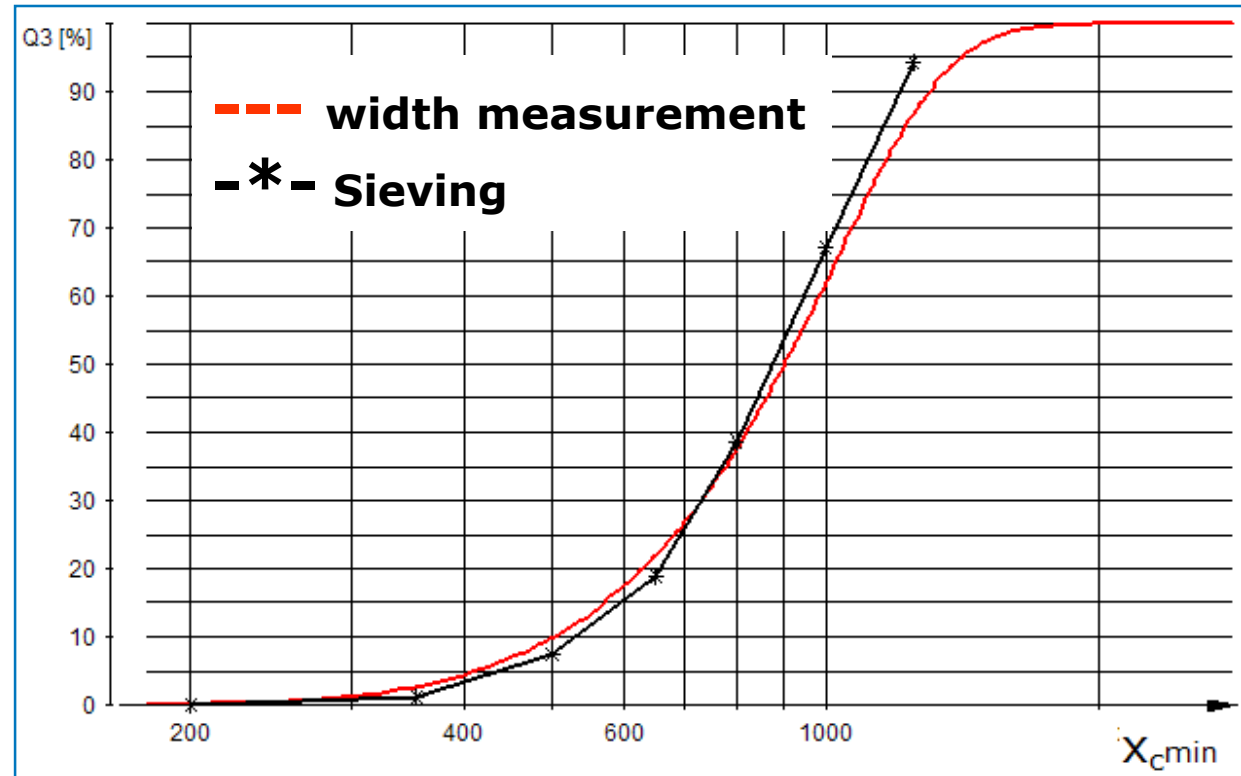
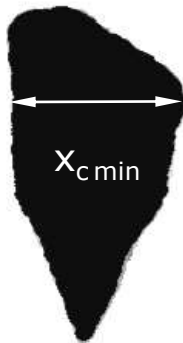


# Digital image processing

Width Measurement  $\Leftrightarrow$  Sieving

Competing Measuring Methods

$x_{cmin}$   
"width"

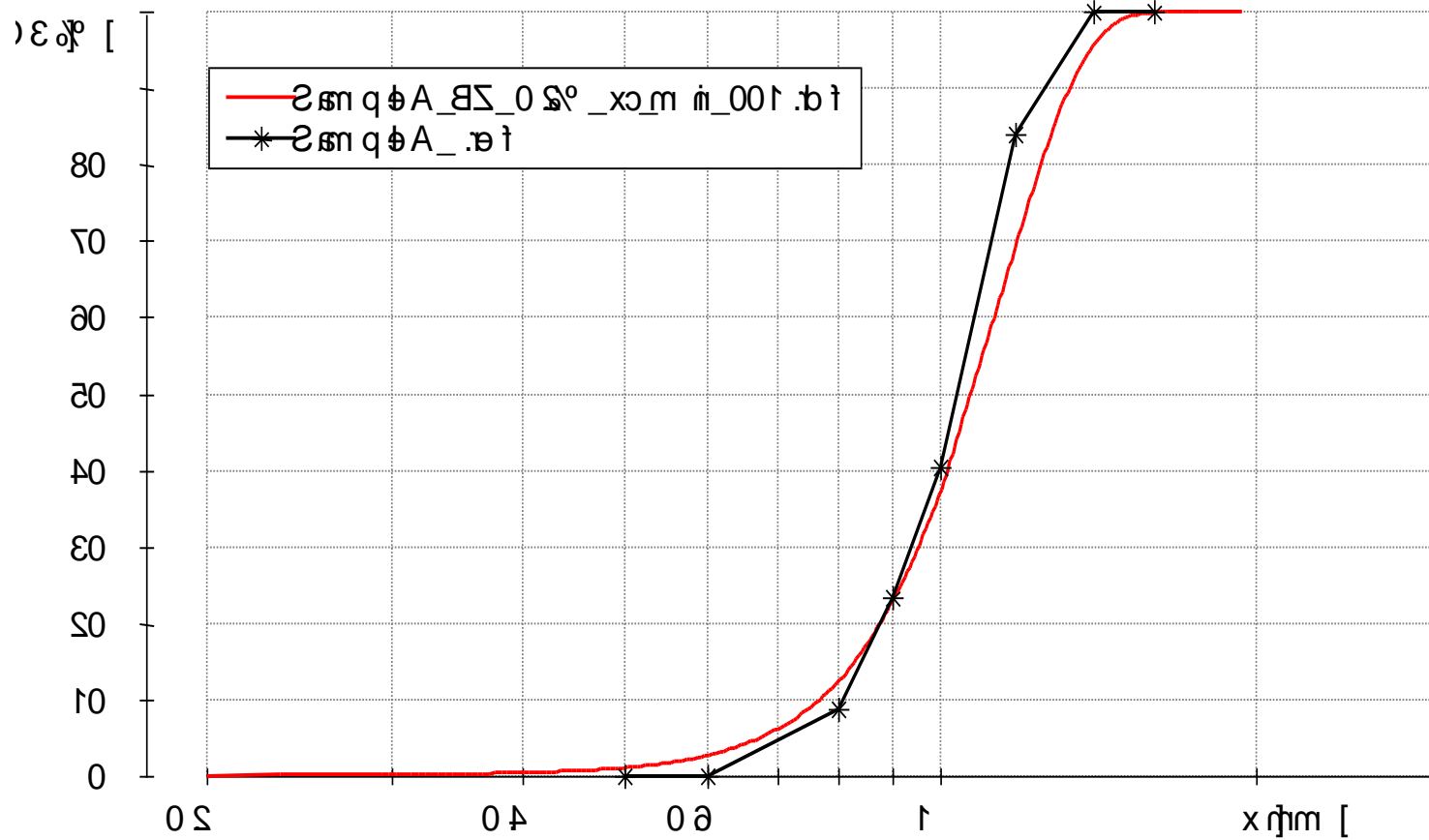


**comparison**

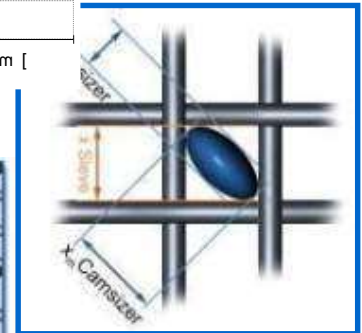
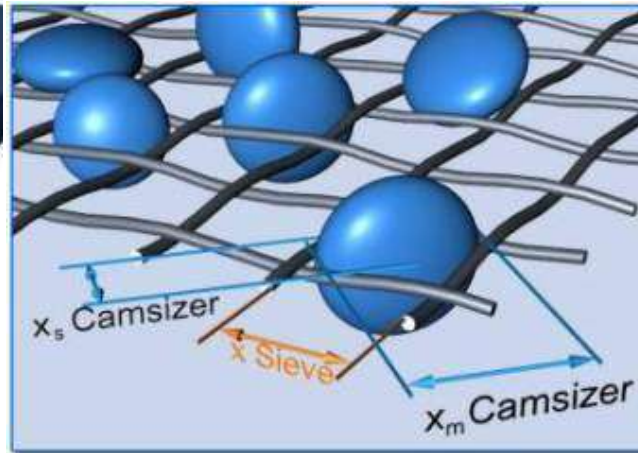
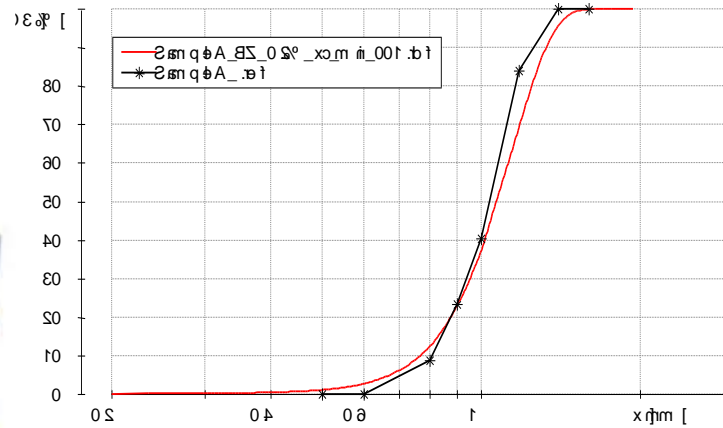
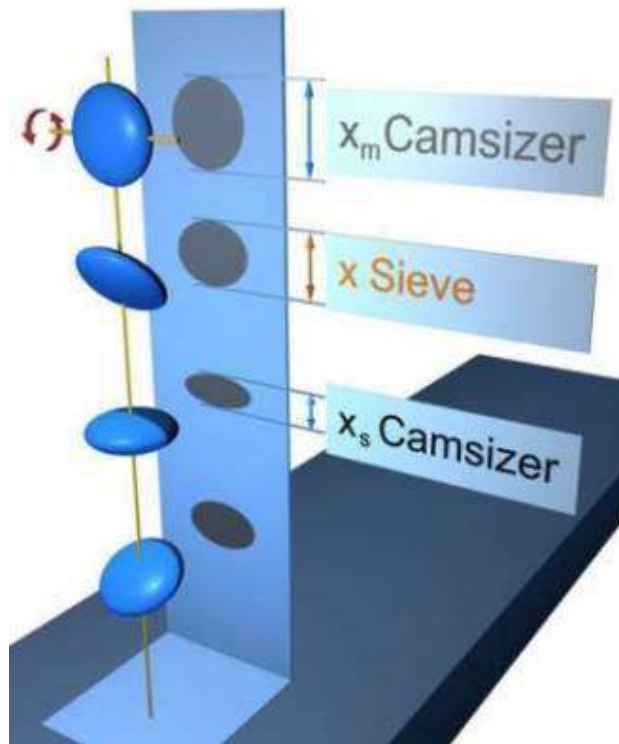
CAMSIZER-measurement  $x_{cmin}$  (red)  
and sieving \* (black)



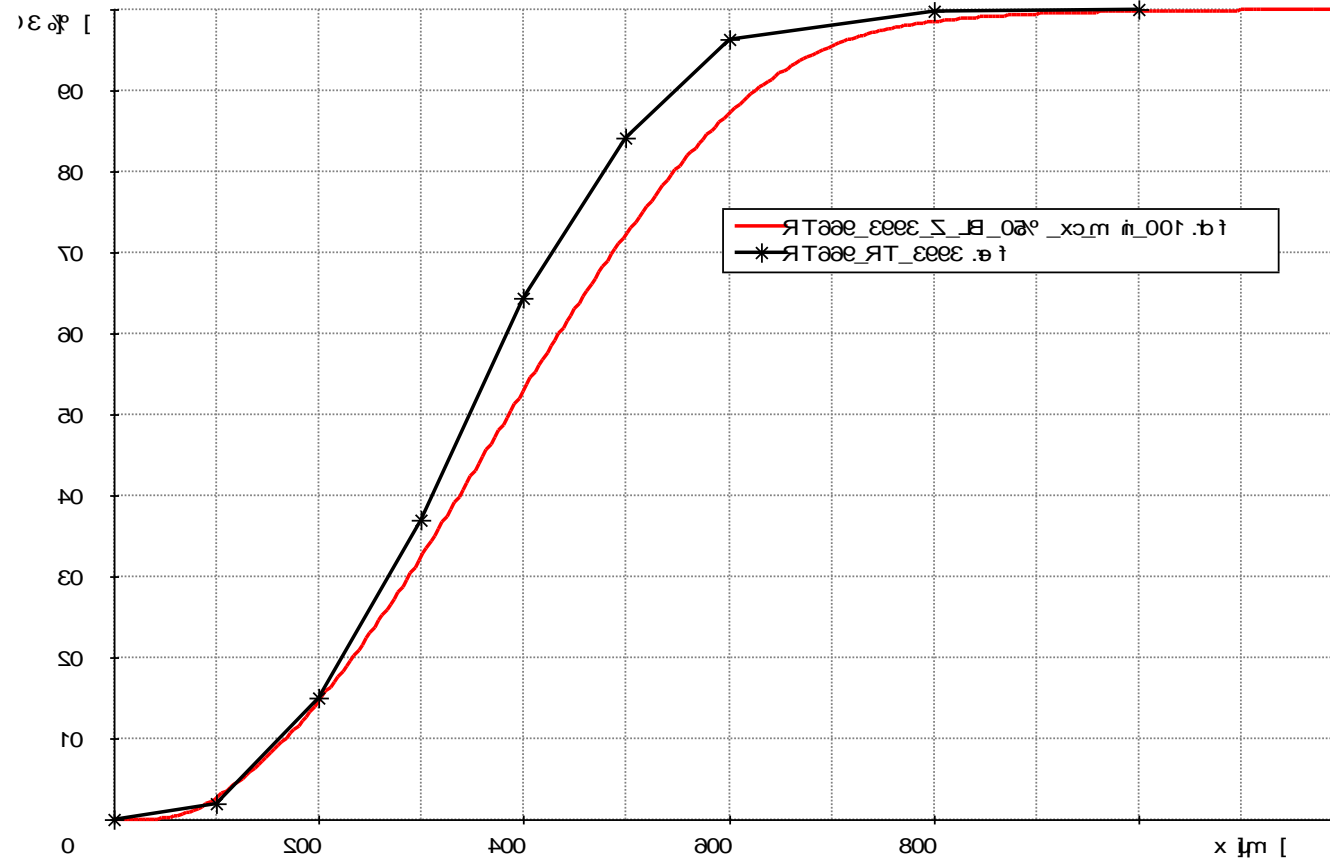
# Lenticular particles



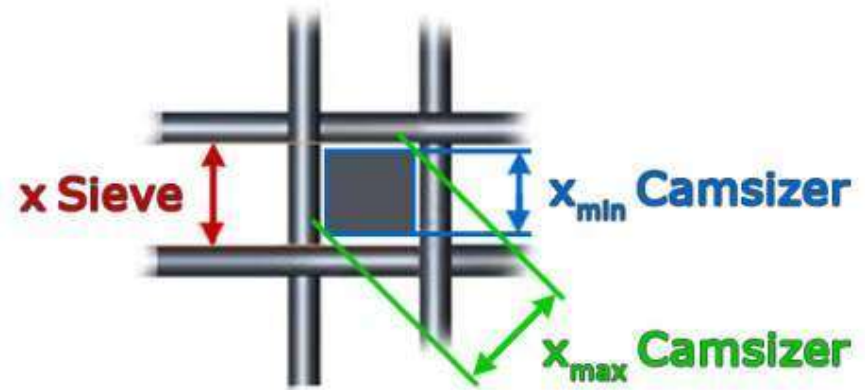
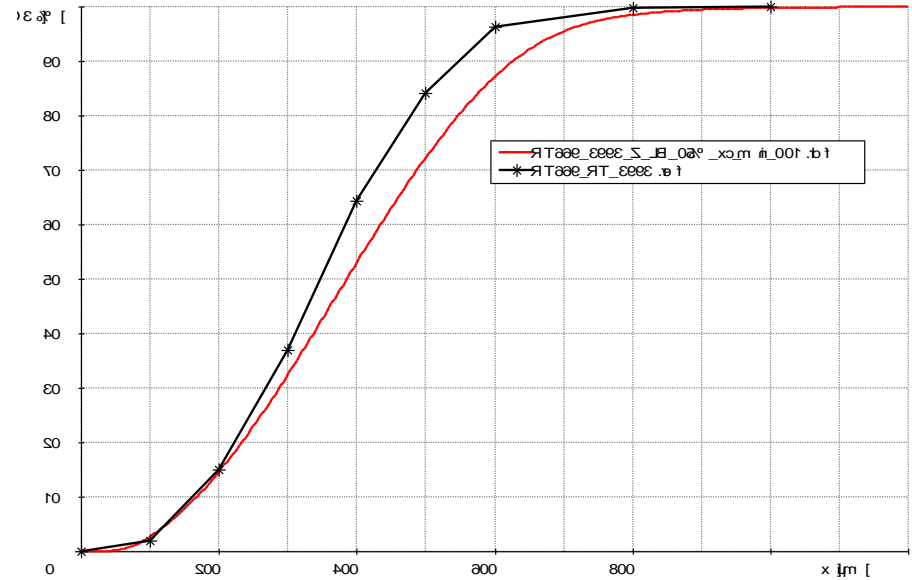
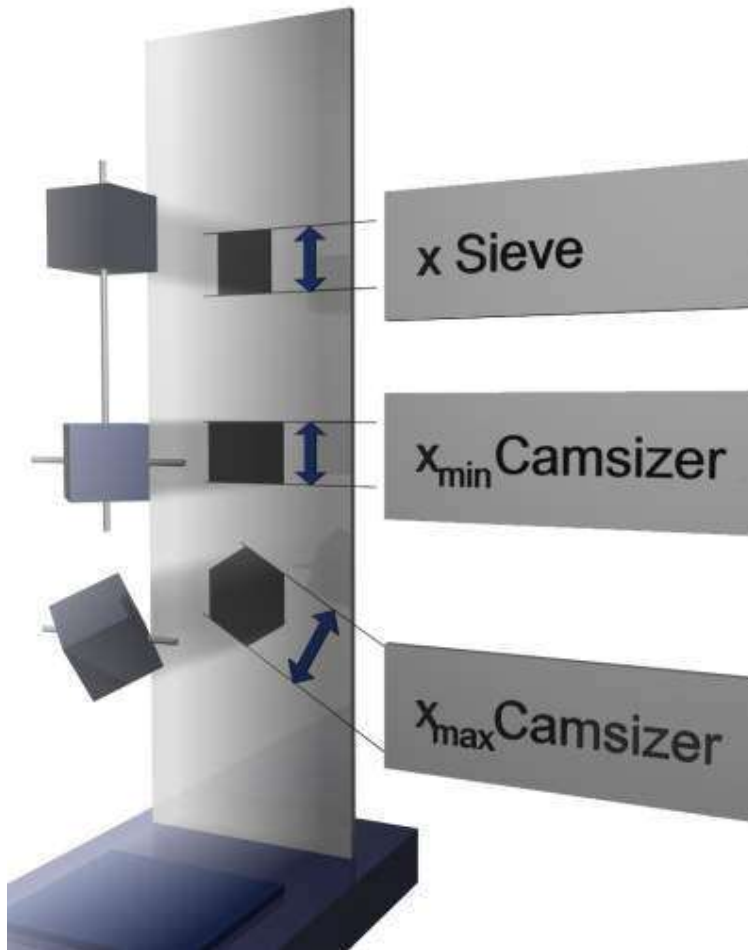
# Lenticular particles



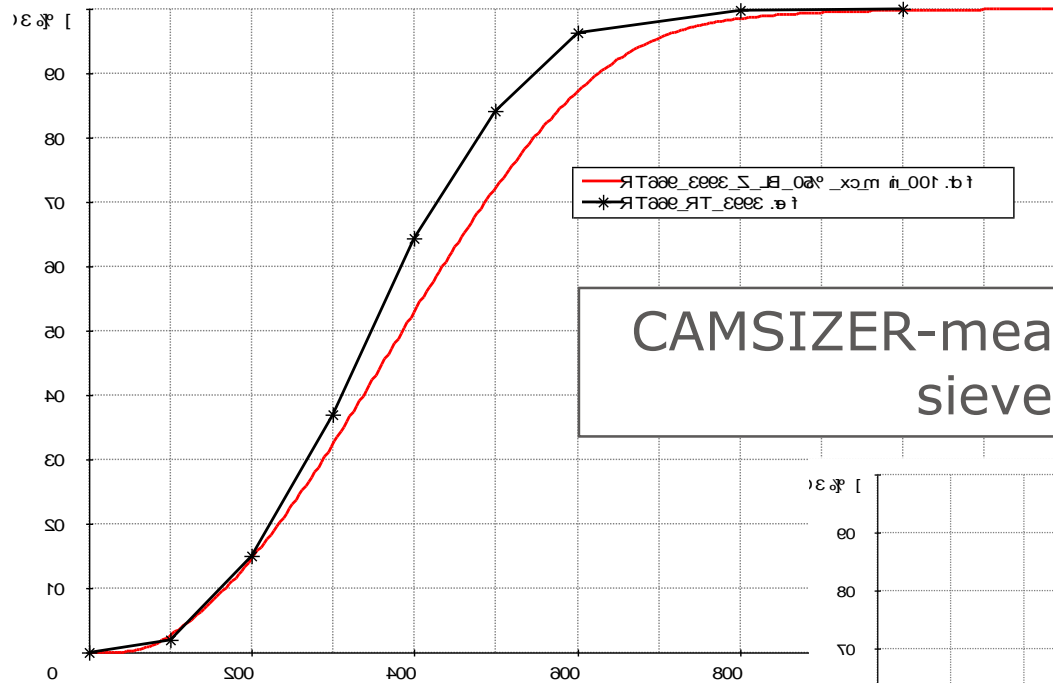
# Angular particles (coal, sugar)



# Cube/ angular particles

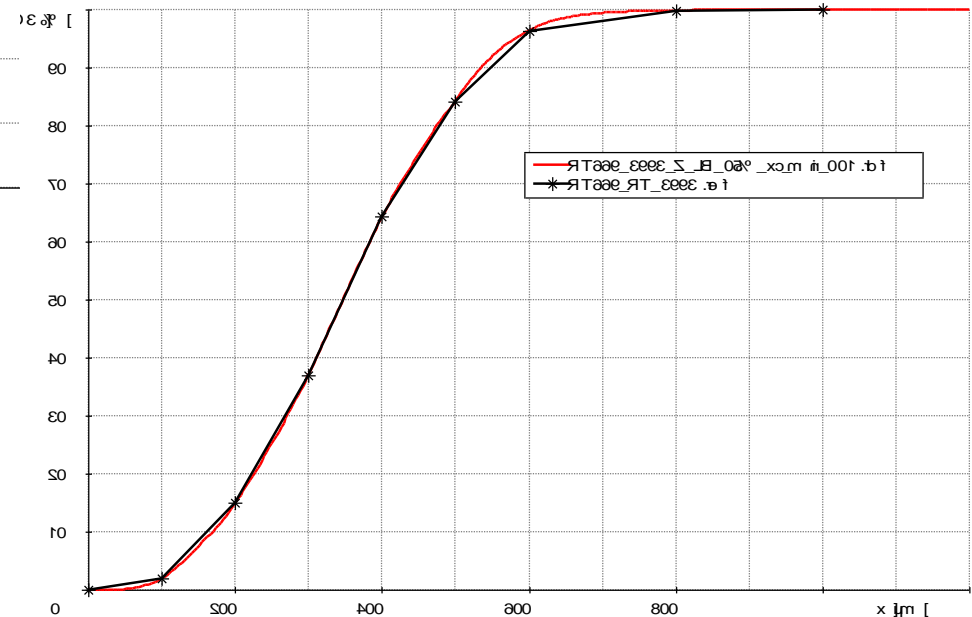


# Digital imaging <-> sieving



angular particles  
without fitting

CAMSIZER-measurement  $x_{c \min}$  (red)  
sieve analysis \* (black)



Need only set up one  
correlation for each  
process/product.

# Shape: aspect ratio

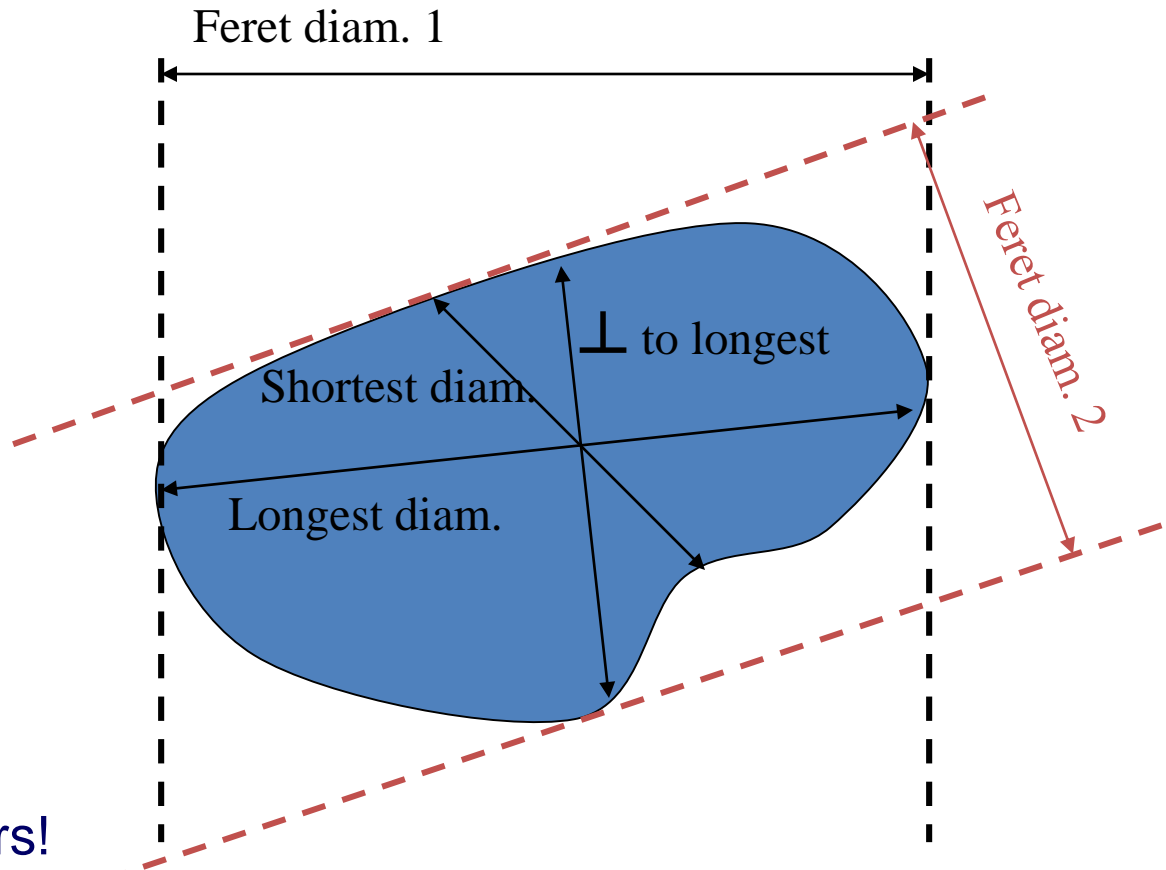
Aspect ratio

=  $\frac{\text{shortest diam}}{\text{longest diam}}$

=  $\frac{\perp \text{ to longest diam}}{\text{longest diam}}$

=  $\frac{\text{shortest Feret diam}}{\text{longest Feret diam}}$

= three different numbers!



# More shape descriptors

## Roundness

A shape measure that quantifies the "roundness" of an object's edges:

$$\frac{4 \times \text{Area}}{(\pi \times L \times L)}$$

## Roughness

A shape measure that quantifies the jaggedness of an object's edges:

$$\frac{\text{Convex perimeter}}{\text{Perimeter}}$$

## Aspect Ratio

Ratio of length over width.

$$\frac{\text{Length of longest feret}}{\text{Length of shortest feret}} = \frac{\text{Length}}{\text{Width}}$$

## Compactness

Ratio of area over convex perimeter:

$$\frac{4\pi A}{\text{Convex perimeter}^2}$$

## Fractal Dimension

Numerical characterization of irregular contours through fractal geometry.

$$P = P_e \delta^{1-D}$$

D is the Fractal Dimension, d is the unit length of the scale used for the measurement and P is the perimeter of the object ( $1 < D < 2$ ).

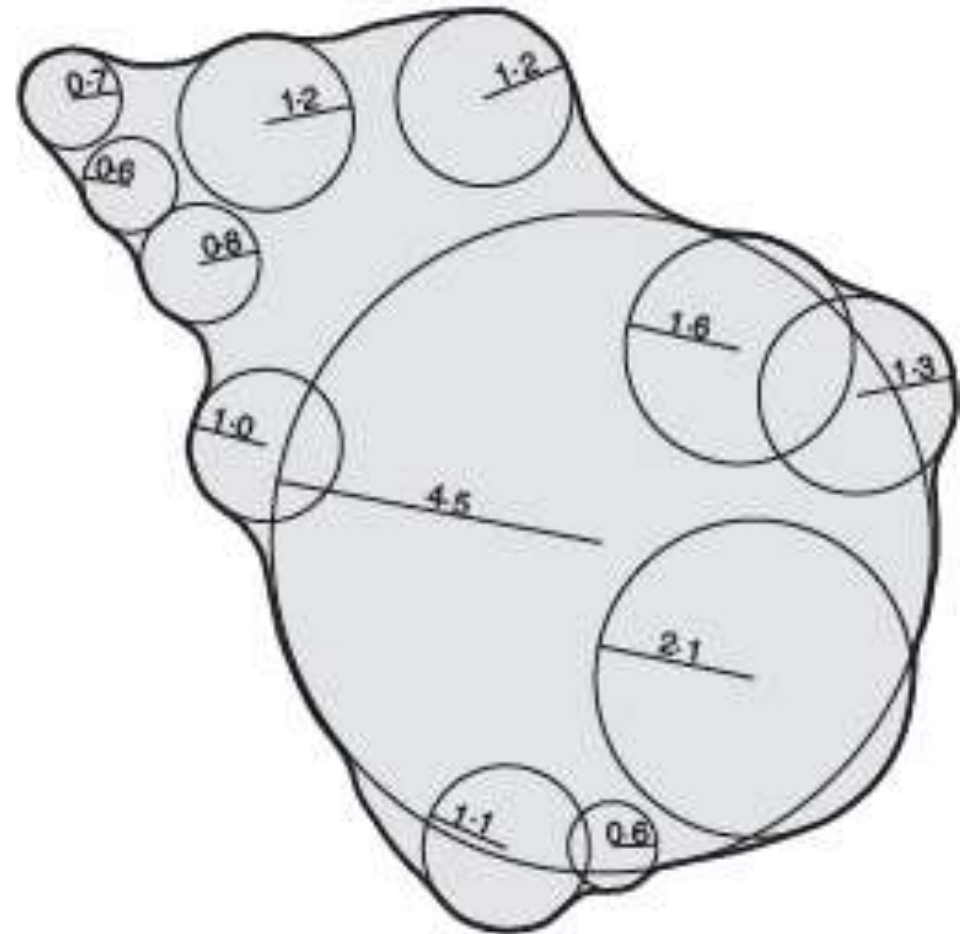
## Sphericity

Estimate of the sphericity of an object:

$$\frac{4\pi A}{p^2}$$

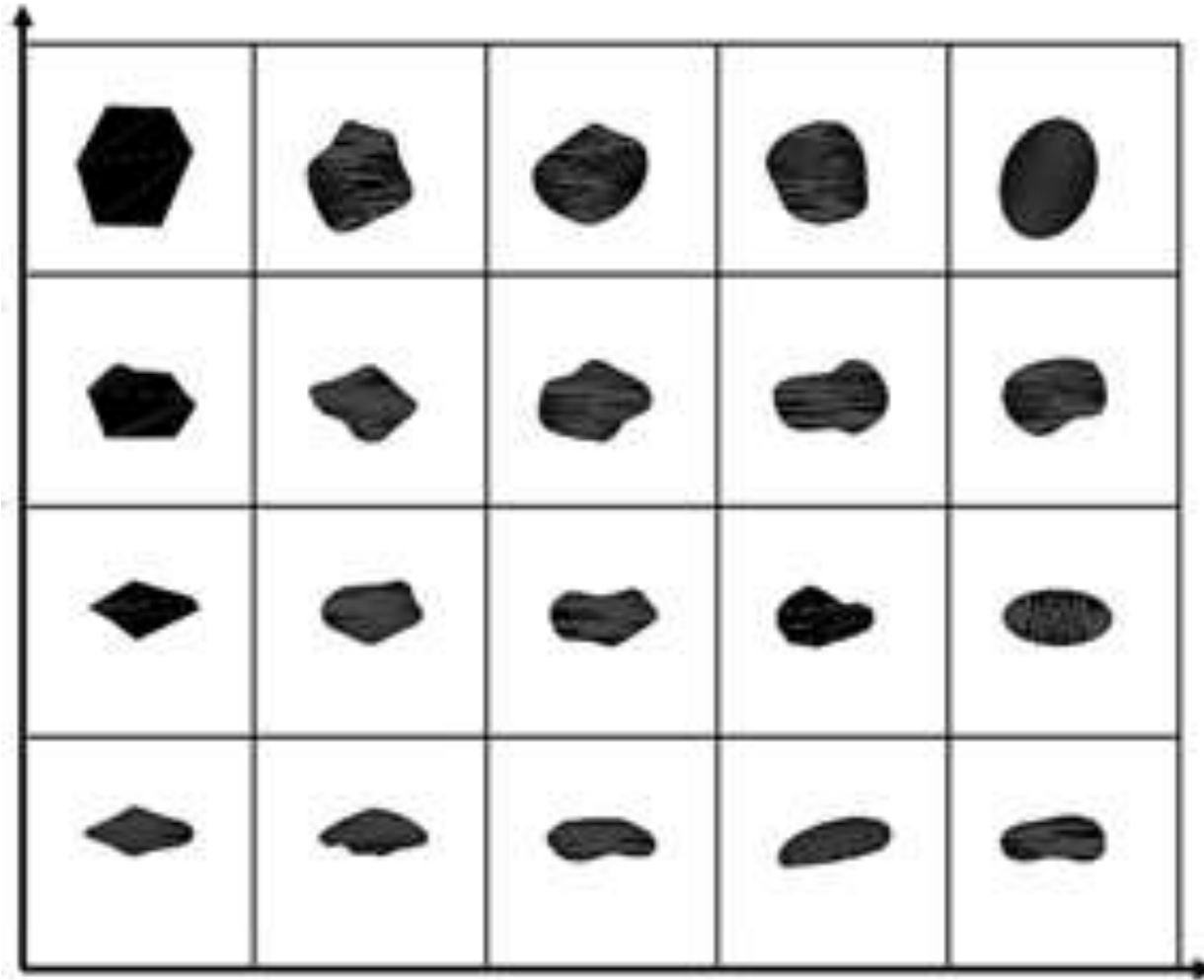
# Advanced Shape Descriptors

**A**



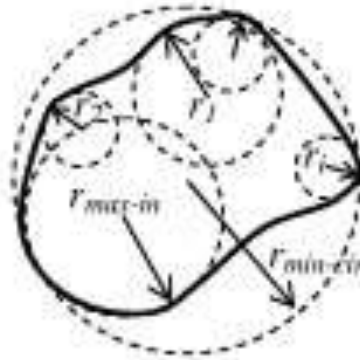


# Krumbein roundness



# Clumps are “round”

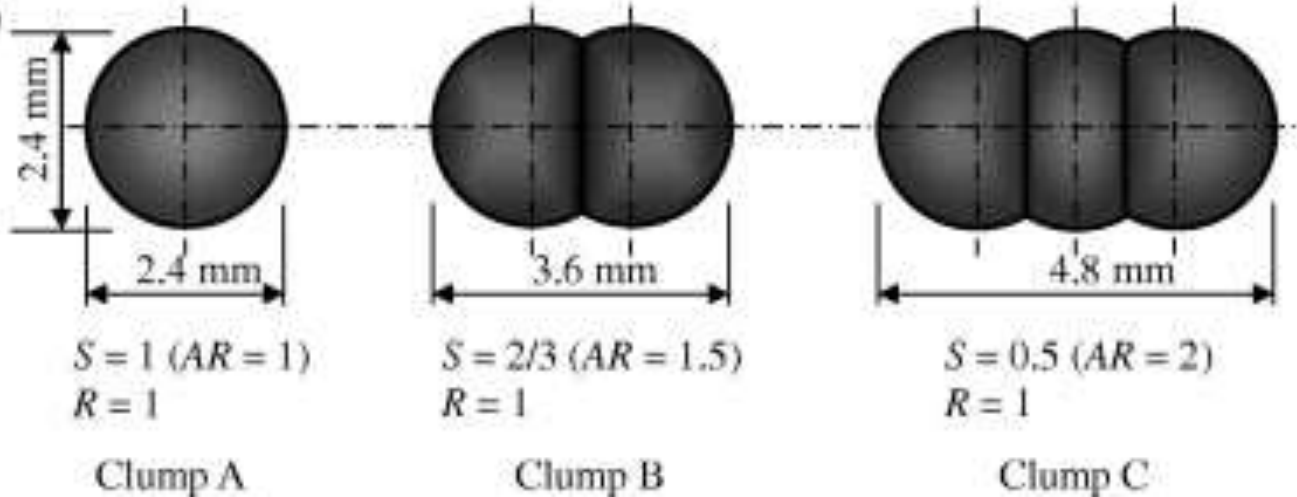
**a**



$$S = \frac{r_{max-in}}{r_{min-ext}}$$

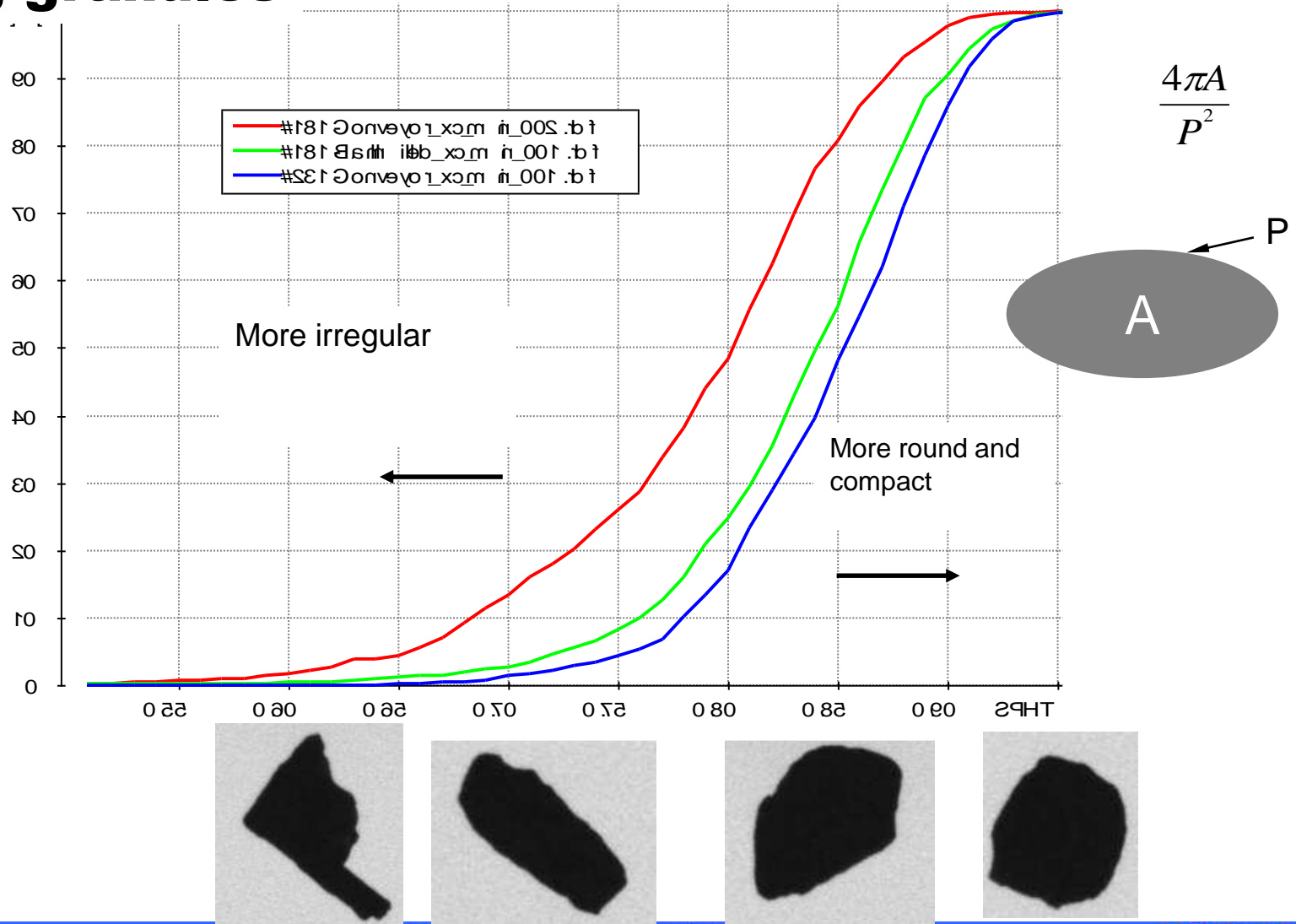
$$R = \frac{\sum r_i / N}{r_{max-in}}$$

**b**

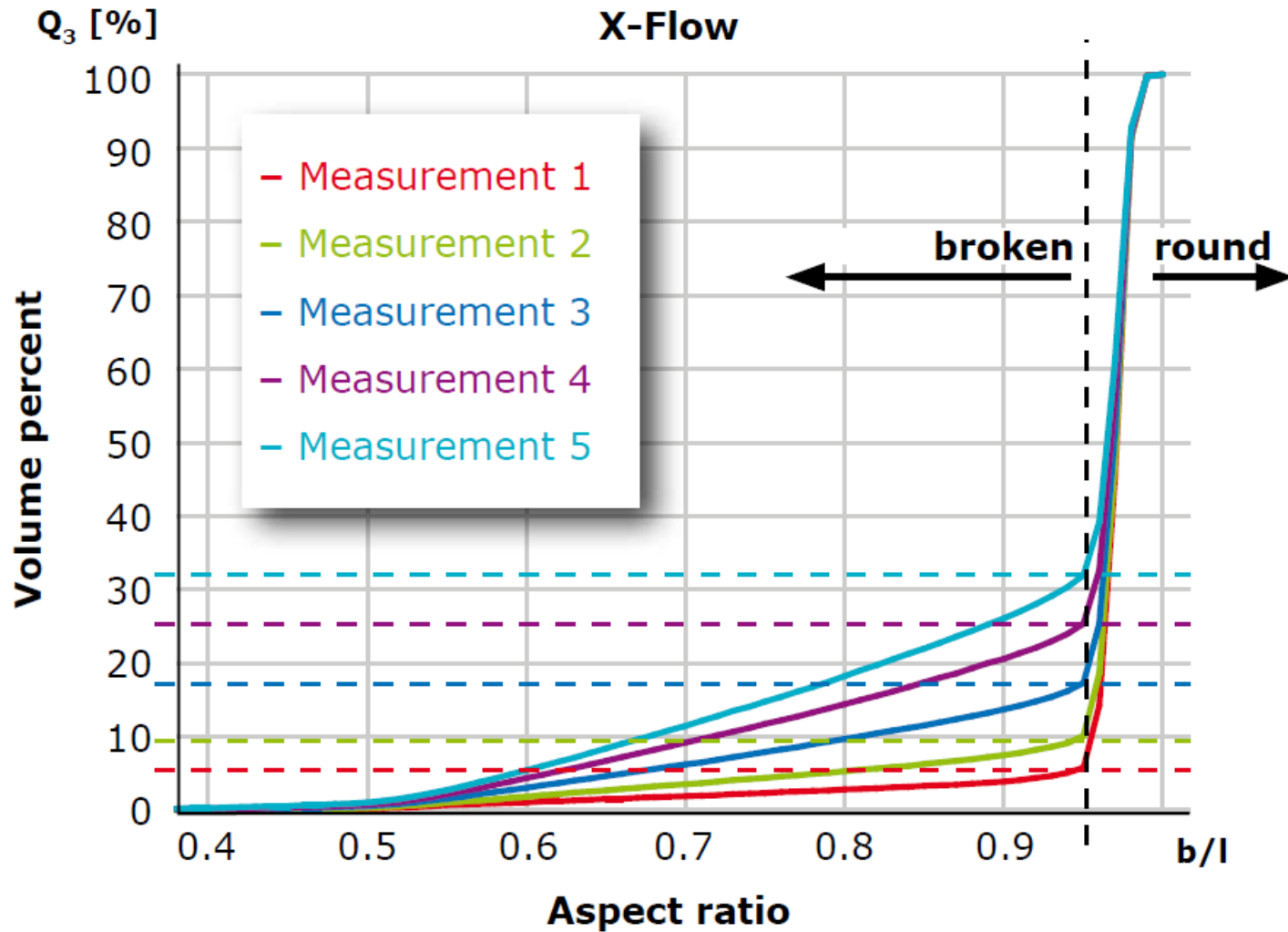


# Shape analysis

## Roofing granules



# Mixture of types by shape



# Data Quality

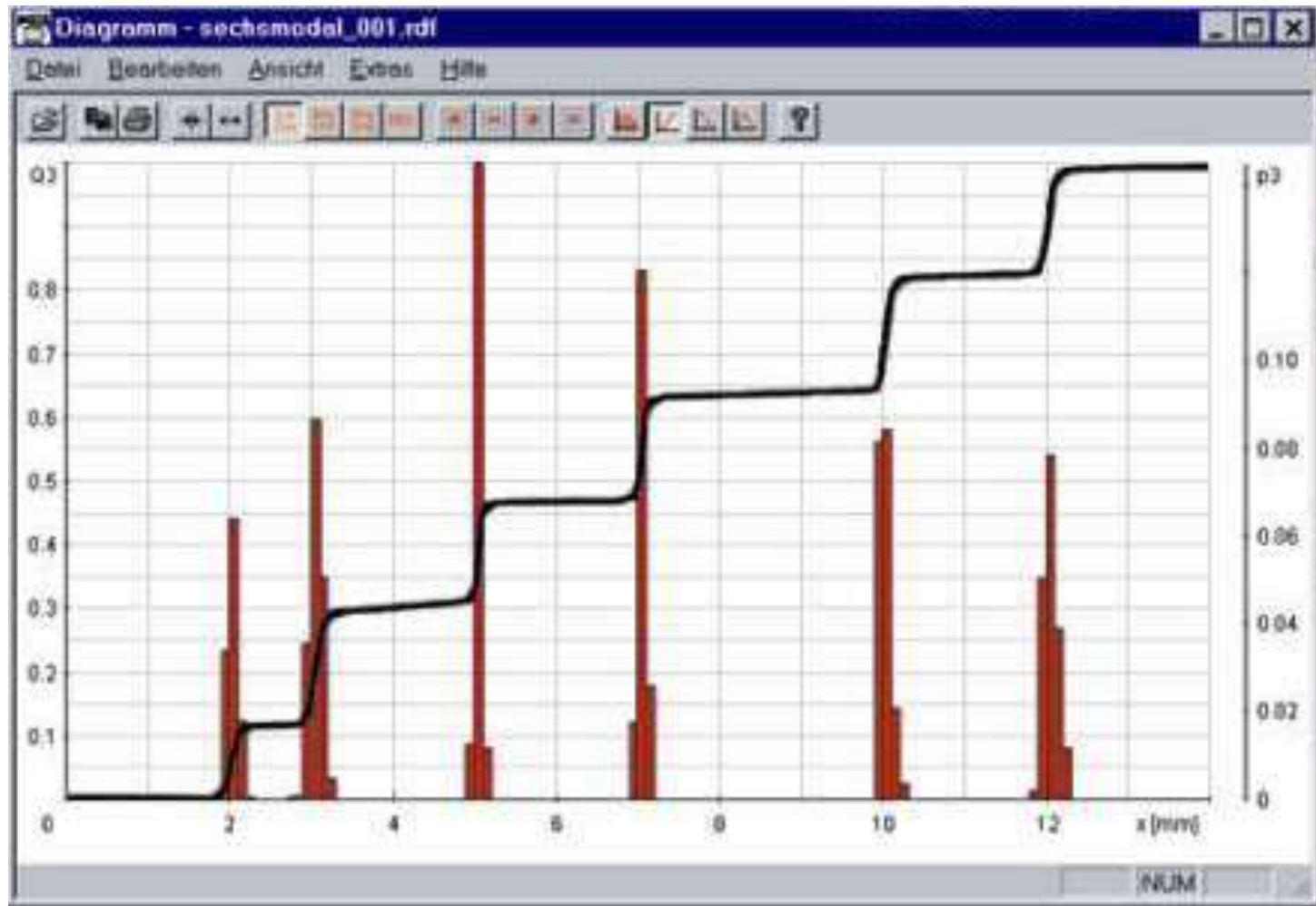
---

**Resolution**

**Accuracy**

**How many particles?**

# Resolution



**mixture of six sizes of grinding balls**

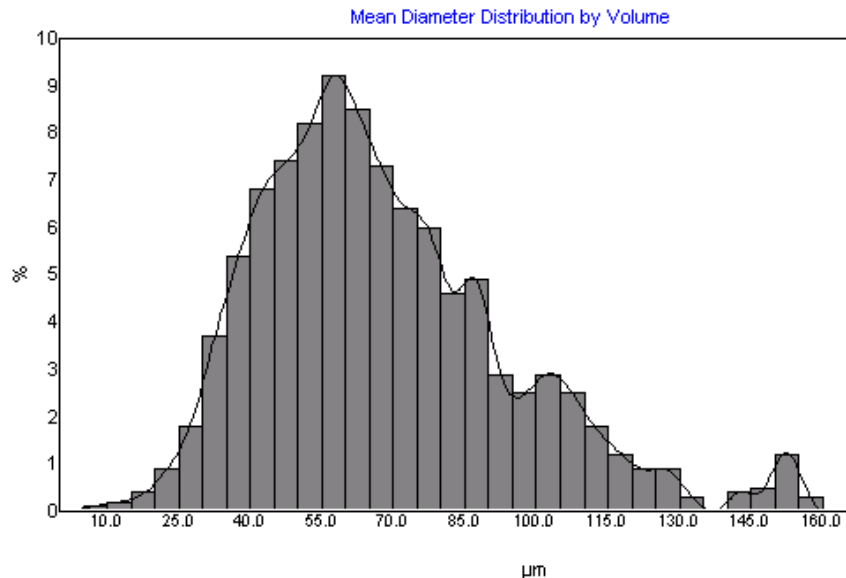
# Accuracy

- **Is that the “real size”?**
- **Image analysis uses actual pictures to extract size.**
- **Calibration with a reticle.**



# Effect of number of particles

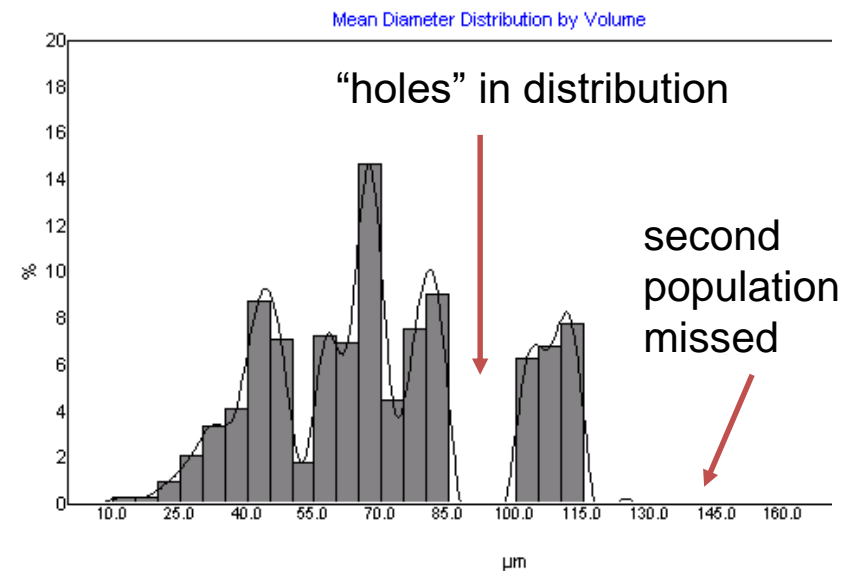
20,000 particles



-Statistics - Silica Catalyst

Mean:	27.477	D[4,3]:	68.088	Min, Ma
STD:	22.129	D[3,2]:	57.598	Confider
RSD:	80.539%	D[v,0.1]:	37.870	Confider
D[n,0.1]:	4.697	D[v,0.5]:	63.467	Optics:
Median:	23.824	D[v,0.9]:	104.351	Scanner
D[n,0.9]:	57.814			

200 particles



-Statistics - Silica Catalyst 200

Mean:	31.805	D[4,3]:	69.060	Min, Iv
STD:	21.927	D[3,2]:	58.947	Confid
RSD:	68.942%	D[v,0.1]:	39.418	Confid
D[n,0.1]:	7.021	D[v,0.5]:	68.941	Optics
Median:	29.488	D[v,0.9]:	105.901	Scanr
D[n,0.9]:	63.734			

But d10, d50 & d90 may appear similar



# <USP> 776: Mean

$$u = z \left( \frac{s}{\sqrt{n}} \right) \qquad n = \left( \frac{sz}{u} \right)^2$$

u=uncertainty

z=confidence coefficient (often ~2, see a statistics book)

s=standard deviation of distribution (width)

n=number of particles measured

Example: for uncertainty of  $\pm 5 \mu\text{m}$  with st dev=  $20 \mu\text{m}$

Must measure 61 particles

$$n = \left( \frac{sz}{u} \right)^2 = \left( \frac{(20)(1.96)}{5} \right)^2 \approx 62$$

Implies normal particle size distribution, greater than 30 particles, and known standard deviation.

# <USP> 776: Standard Deviation

$$\sqrt{s^2 \left( \frac{n}{\chi_a^2} \right)} < \sigma < \sqrt{s^2 \left( \frac{n}{\chi_b^2} \right)}$$

$\chi$ =Moment of chi squared distribution (see a statistics book)

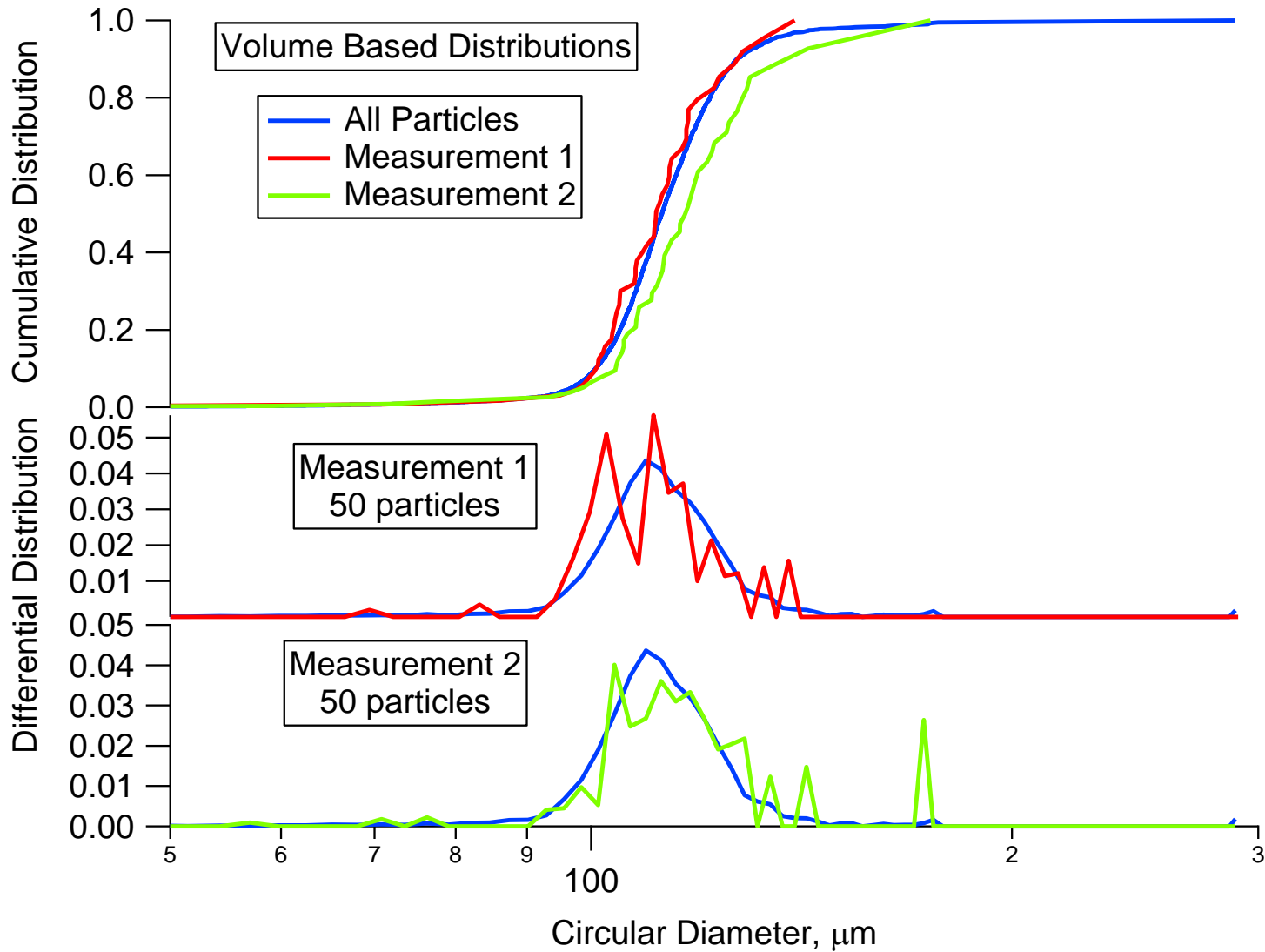
s=estimated standard deviation of distribution (width)

n=number of particles measured

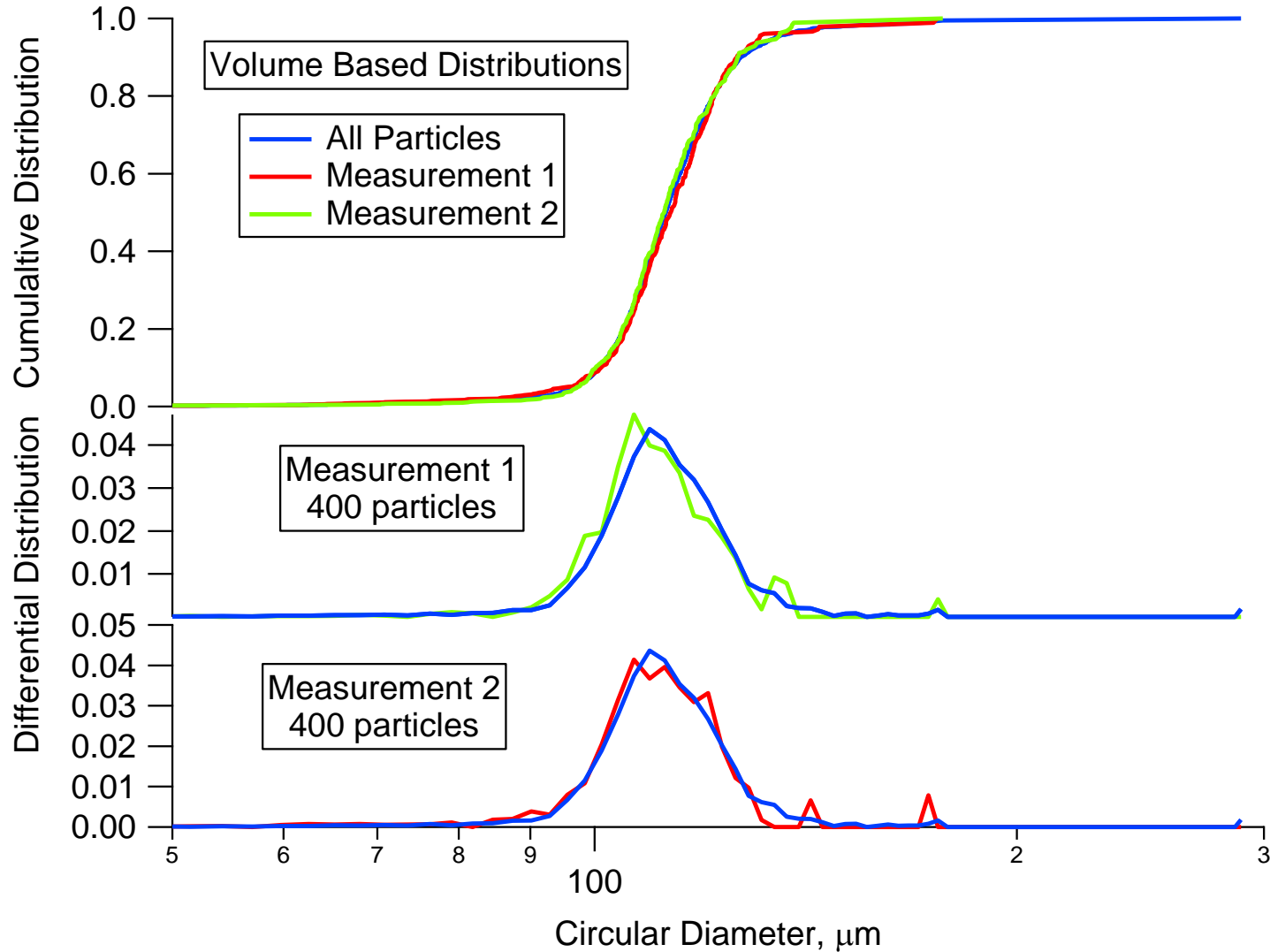
These limits are asymmetric around the standard deviation.

Implies normal particle size distribution, greater than 30 particles, and known standard deviation.

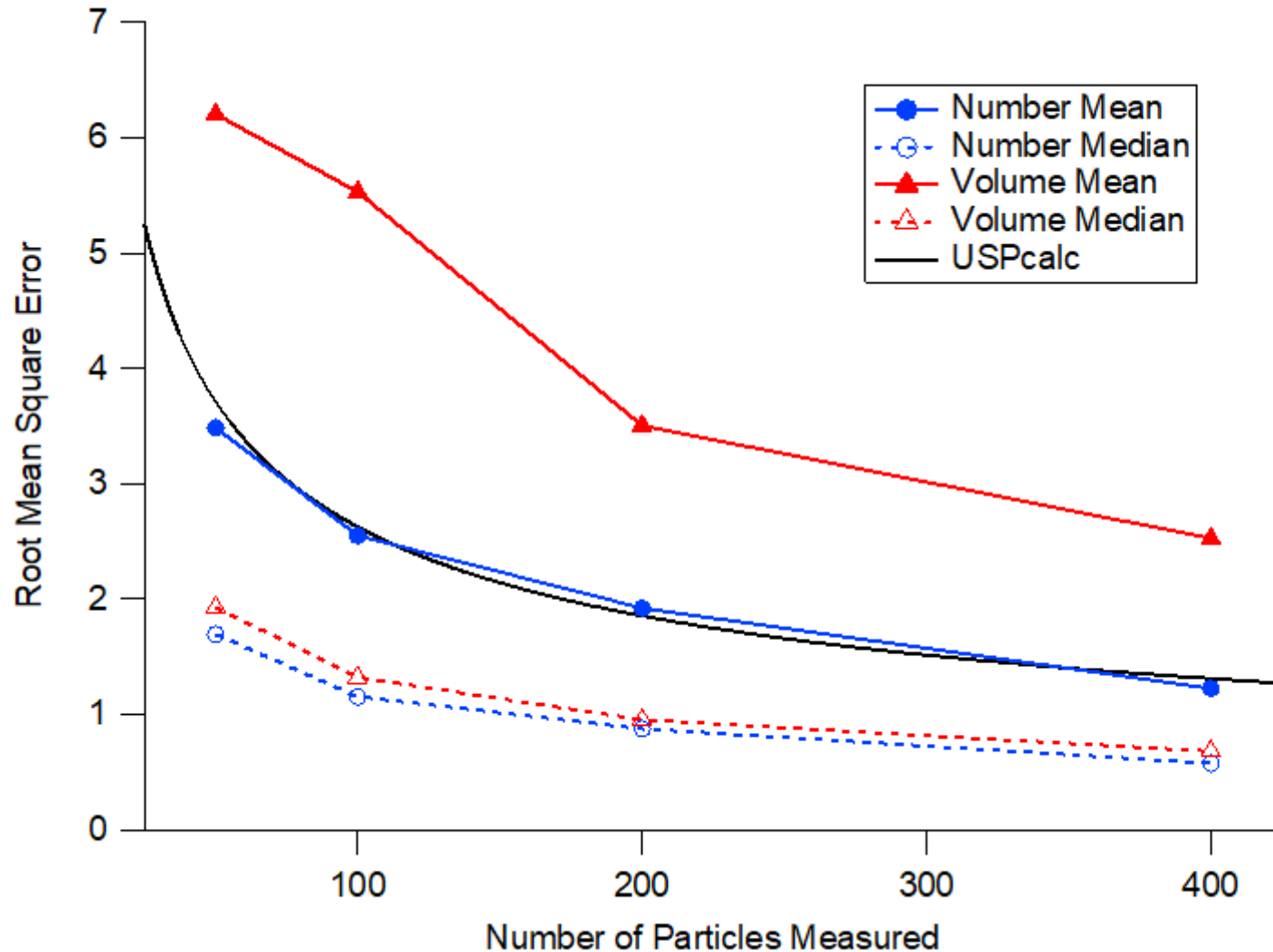
# 50 particles



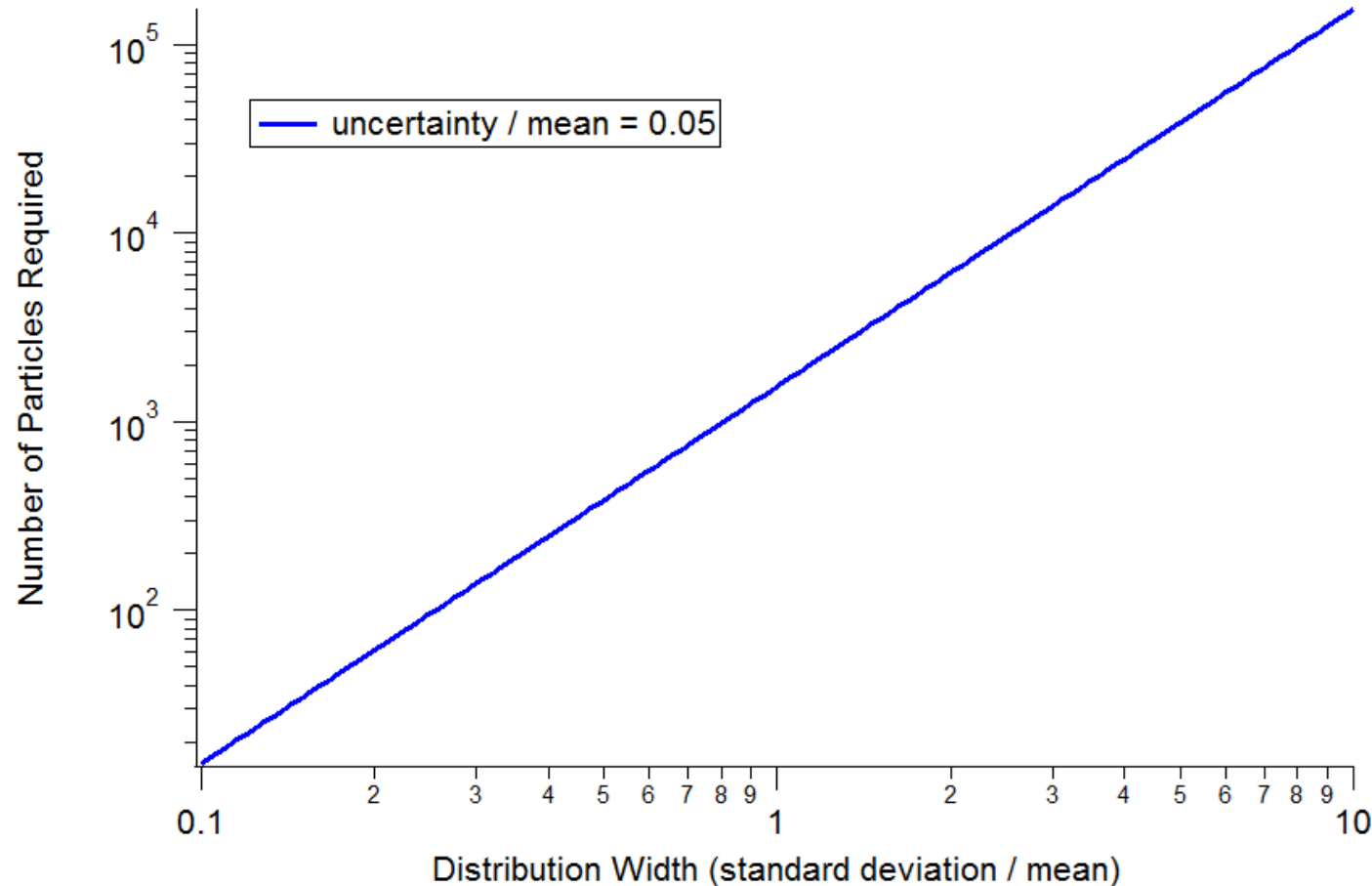
# 400 particles



# Compare With USP



# How Many Particles?



**Some materials have a distribution such that SD/Mean ~ 1. To obtain reliable mean values, measure ~1500 particles. To obtain more details about the distribution, (10x?) more particles need to be measured.**

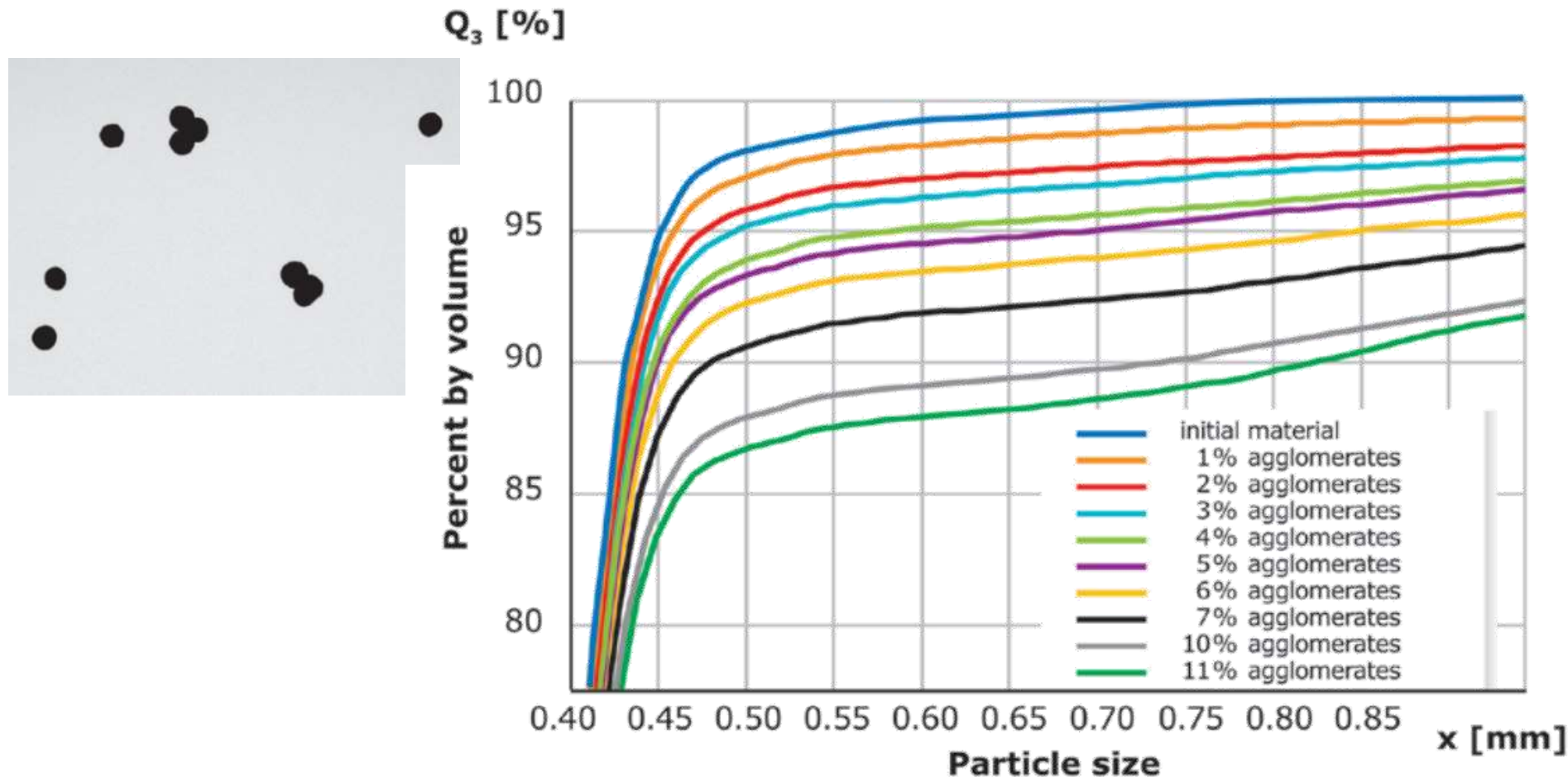
# Examples

---

**Cool things not mentioned  
elsewhere**

# Agglomerates in powders

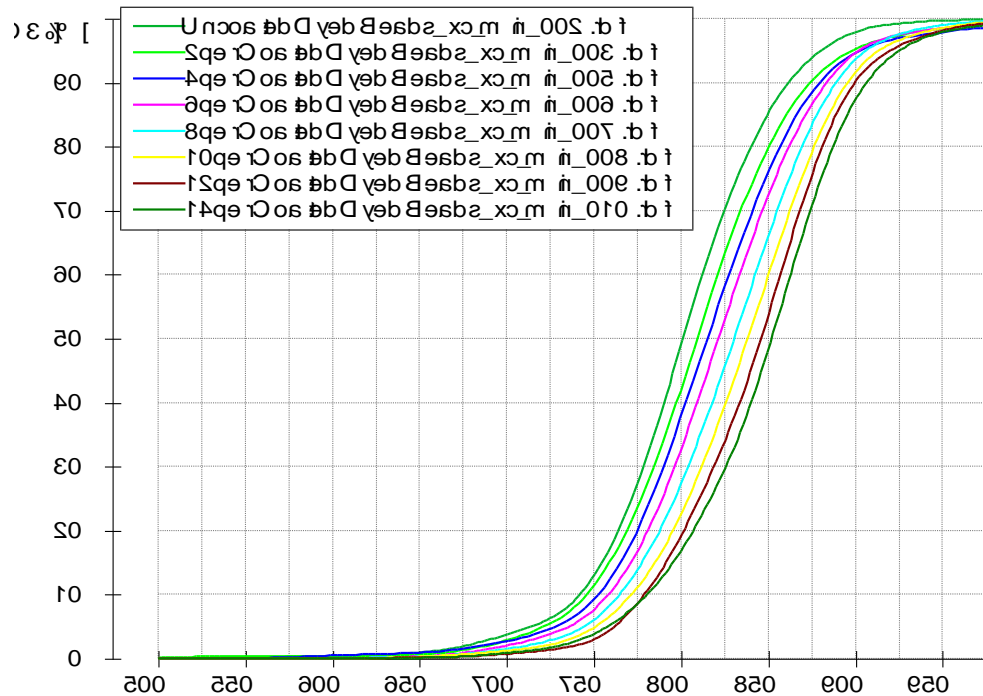
By measuring a lot of particles, can detect a small fraction of aggregates





# Coating process

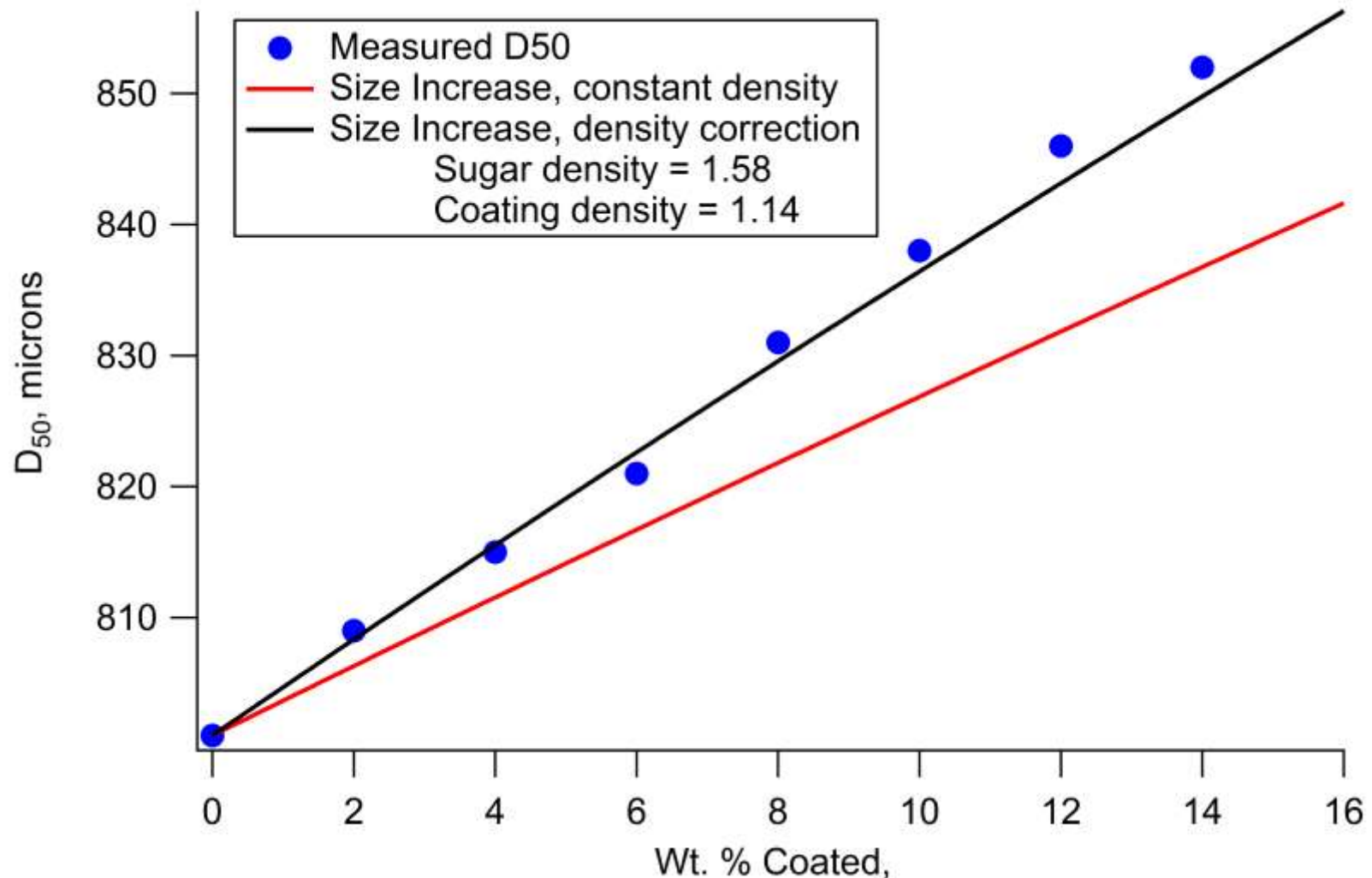
Can resolve 2% increase in coating weight:  
about 10 microns



Sample	D50, microns	Diff, microns
Uncoated, dyed beads	801	
2%	809	8
4%	815	6
6%	821	6
8%	831	10
10%	838	7
12%	846	8
14%	852	6

# Monitoring Coating

**Precision requires measuring enough particles.**



# Wrapping up

---

## Closing comments

# Why dynamic image analysis

- **Robust measurement....the interaction between the instrument and the particle is optical, so there is no wear and change in calibration.**
- **High resolution size distribution results**
- **Fast**

**These are all reasons to use Dynamic Image Analysis instead of sieves.**

# The HORIBA PSA300

- **Turnkey System**
  - More time getting results and less time engineering
- **Automated**
  - Faster
  - Less operator labor
  - Less operator bias
- **Powerful Software Features**
  - Image Enhancement
  - Particle separation
- **Separate Disperser Option**
  - More flexible sample preparation



# The CAMSIZER

Measurement Results

- **Measurement of very broad particle distributions**
- **Direct particle definition**
  - by width (analogue to sieving)
  - by length
  - or projection surface
- **Two camera system for more accuracy/wider range**
- **Easy operation**
- **Fail-safe, robust**
- **Ideal for particle shape analyses**
- **Measurement of density, counting of particles**



# Static or Dynamic Image Analysis?

- **Dynamic**

- **Broad size distributions (since it is easier to obtain data from a lot of particles)**
- **Powders, pellets, granules**



- **Static**

- **Samples that are more difficult to disperse (there are more methods for dispersing the samples)**
- **Samples that are more delicate**
- **Pastes, sticky particles, suspensions**



# Conclusions

- **Image Analysis is good for**
  - **Replacing Sieves**
  - **Size**
  - **Shape**
  - **Supplementing other techniques**
- **Watch out for**
  - **Sample preparation**
  - **Image quality**
  - **Measure enough particles**



# Questions?

- **[www.horiba.com/us/particle](http://www.horiba.com/us/particle)**
- **Keep reading our newsletter:**  
**<https://www.horiba.com/us/en/scientific/products/particle-characterization/request-information/>**
- **Jeffrey Bodycomb, Ph.D.**
- **[jeff.bodycomb@horiba.com](mailto:jeff.bodycomb@horiba.com)**
- **800-446-7422**

Thank you very much for your attention.

**HORIBA**  
Explore the future