

HORIBA Group
Guidance on Reporting
substances
contained in products

The 1.3 edition

January 15, 2021
HORIBA Ltd.

Revision History

Revised on	Revised content
1 July 2017	The 1 st Edition
16 October 2017 1.1 edition	The content in the section 5, which is the same as that in the section 6, and the section 7 are deleted.
1 March 2018 1.2 edition	The section name of issuing this document, written in the last page, is changed.
15 January 2021 1.3 edition	The content of 4.is modified.

Submission of data on controlled substances

1. Report on substances subject to Authorisation (SVHC)¹

Be sure to recognize whether a chemical substance is Contained/NOT Contained in a product and to take corresponding action according to the following.

- (1) When the concentration exceeds 0.1wt%, this shall be reported without fail.
- (2) When the concentration is less than 0.1wt%, this shall be reported as far as your knowledge allows
- (3) Where a SVHC is involved, whether the added substance is Contained/NOT Contained shall be ascertained and reported immediately according to (1) or (2) above.

2. Reporting substances other than SVHC

- (1)The concentration shall be reported as far as your knowledge allows.
- (2)When receiving new information on contained substances, it shall be reported immediately.

3. When no controlled substance is contained

When no controlled substance is contained or there is no relevant information, it should also be reported.

4. Format to report information on controlled substances

- HORIBA Group requests submission by chemSHERPA-CI format (the latest version) with regard to substances and mixtures (preparation), and by chemSHERPA-AI format (the latest version) with regard to articles.

- HORIBA Group suggests reference on Data entry support tool provided by chemSHERPA for entry.

- HORIBA Group suggests reference on manuals and rules provided by chemSHERPA for detail searching URL <https://chemsherpa.net/chemSHERPA/tool/>.

¹ These are SVHC (Substances of Very High Concern) stipulated in Article 59 of the REACH regulations, and are substances that have as defined in Article 57. According to Article 33, the information necessary to use such products safely shall be provided to the users in a case where a product that contains these substances at a concentration of more than 0.1 wt% of the article is to be placed on the market.

5. Subjects to be reported as controlled substances

We email you if we request the submission of data on controlled substances. The methods differ from your condition and how to submit is as follows.

- SHAI or SHCI format shall be used for chemSHERPA.

Note: We do not receive your data in Excel and PDF format. Please check the format of your file before your submission.

Note: Please conduct error check to your file before your submission. We will ask you to revise and submit the data again if we find an error which happens to your file.

Suppliers who use the web system

If we asked you to use ProChemist/AS² and you have accepted it, you shall log in ProChemist/AS with your ID and its password and upload the file of your data.

We email your person who is registered in the system when we ask you for the data on controlled substances. You shall upload the file according to the procedure when you receive the email.

Suppliers who send the data to us by email

If we did not ask you to use ProChemist/AS, we correspond with you on this matter by email as we have done. We ask you to reply us by email with the document attached.

We email your person in charge when we ask you for the data on controlled substances. When you received the email, you shall create the document for the substances whose data is requested, and submit it by email.

6. The products which shall be reported as control substances

The products for which the HORIBA Group requests the data

If we find more than 0.1wt% of unreported SVHCs in a product, we will request to investigate the root cause.

² ProChemist/AS is a solution system which manages chemical substances in product with complying with REACH, which is provided by NEC, enabling to communicate by cloud computing.



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