

### **HORIBA's Environmental Activities**

We provide a range of analytical measurement instruments and peripheral equipment required for environmental measurement. In order to fulfill social responsibilities, we also develop eco-design products with its life cycles in mind, which comply with environmental laws and regulations. At the same time, together with our suppliers, we make consistent efforts to conserve resources and energy during production. Our employees also have a strong interest in environmental issues. We participate in environmental volunteer work like cleaning and collecting trash in areas alongside river and around company offices. We deliver environmental classes in elementary and junior high schools, and participate in environmental events organized by government organizations.

## **Environmental Initiatives in 2019**

In 2019, the HORIBA Group companies in Japan adopted the following objective for environmental conservation under the Integrated (Quality, Environment and Occupational Health and Safety) Management System\* policy. We promote efforts to build safe and efficient clean factories and contribute to the protection of the global environment.

Objective:

- 1. 1% increase in energy use efficiency from 2018
- 2. Expand activities to reduce waste materials

Please find details of Integrated Management System



# **Energy Conservation and Initiatives to Reduce CO<sub>2</sub> Emission**

Total  $CO_2$  emissions generated by HORIBA Group companies in Japan in 2019 was 15,805 t- $CO_2$ . This is 1.0% increase compared to the previous year. Total  $CO_2$  emissions per unit sales increased 8.7% from 2018. Also,  $CO_2$  emissions for HORIBA, Ltd. alone increased by 11% to 8,756 t- $CO_2$  compared to 2018. Emissions per unit sales resulted in an increase of 10.2% from 2018. The increase in  $CO_2$  emissions is attributed to increased operation at semiconductor-related facilities in line with increased sales. We will continue to make use of our energy monitoring system to use our energy efficiently.

# Total CO<sub>2</sub> Emissions

Total CO<sub>2</sub> emissions (t-CO<sub>2</sub>/year) ★ Total CO<sub>2</sub> emissions for HORIBA, Ltd. on an unconsolidated basis (t-CO<sub>2</sub>/year) Emissions per unit of sales (t-CO<sub>2</sub>/¥100 M) + Emissions per unit of sales for HORIBA, Ltd. on an unconsolidated basis (t-CO<sub>2</sub>/¥100 M) (t-CO<sub>2</sub>/¥100 M)  $(t-CO_2)$ 17,000 г Total CO<sub>2</sub> emissions for HORIBA, Ltd. on an unconsolidated basis Emissions per unit of sales Emissions per unit of sales for HORIBA, Ltd. on an unconsolidated basis Total CO<sub>2</sub> emissions 15,805 15,744 17.0 15,000 16.0 13,904 13,625 12,803 15.0 13,000 13.67 14.0 14.53 12.63 11,000 12.4 12.41 13.0 12.2 11.7 12.0 9,000 11.2 7,941 8,756 11.0 8,586 11.13 7,904 7,000 10.0 10.1 6,656 9.0 5,000 8.0 3,000 7.0 6.0 1,000 2015 2016 2017 2018 2019 (Year)

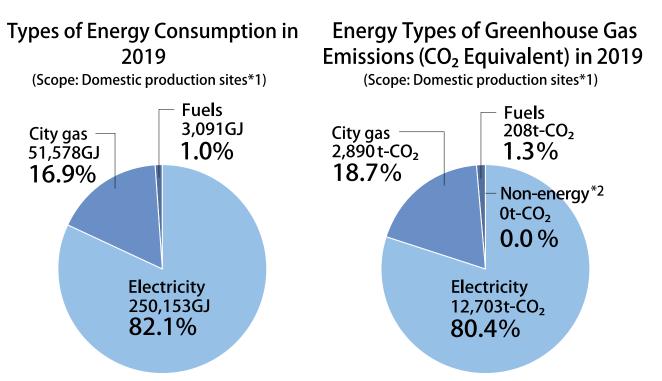
### \*1 CO<sub>2</sub> emission factor:

 (1) Electricity: The official values of the Kansai Electric Power Company were adopted for the Kyoto-Shiga region. For other regions, substitute values officially published by the Ministry of the Environment were adopted.
(2) City gas: The official values of Osaka Gas Co., Ltd. were used in calculations.

### \*2 City gas consumption:

Values are converted to those in standard conditions (0° C, 1 atmospheric pressure).



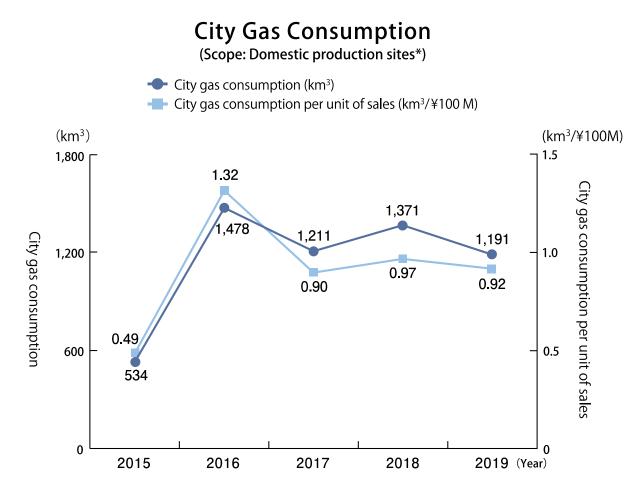


\*1 Domestic production sites include the HORIBA, Ltd. head office/factory and Biwako Factory, HORIBA STEC Co., Ltd. head office/factory and Aso Factory, and HORIBA Advanced Techno Co., Ltd. head office/factory.

\*2 Non-energy: Sulfur hexafluoride, perfluoromethane, etc.



## **Energy Consumption**

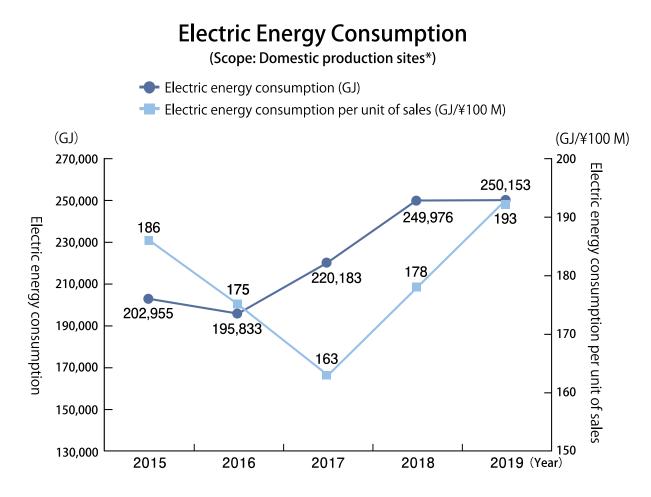


\*Domestic production sites include the HORIBA, Ltd. head office/ factory and Biwako Factory, HORIBA STEC Co., Ltd. head office/factory and Aso Factory, and HORIBA Advanced Techno Co., Ltd. head office/factory.

#### [Factor in the increase of city gas consumption]

The increase in 2016 is attributed to full-scale operation of gas cogeneration systems installed at HORIBA BIWAKO E-HARBOR. We reviewed the operation of this system in 2017. As a result, gas usage increased slightly in 2018, but the usage was the lowest since the introduction of the gas cogeneration system in 2019.





\*Domestic production sites include the HORIBA, Ltd. head office/ factory and Biwako Factory, HORIBA STEC Co., Ltd. head office/factory and Aso Factory, and HORIBA Advanced Techno Co., Ltd. head office/factory.

#### [Factor in the increase and decrease of electrical energy consumption]

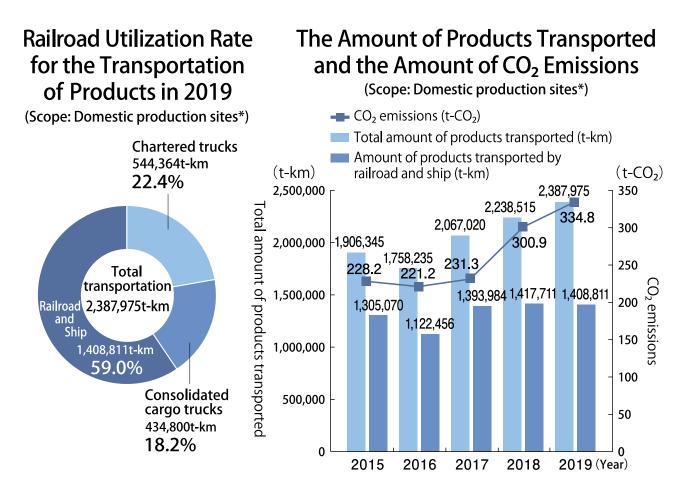
For 2016, redevelopment in the Kyoto factory attributed to the reduced operations of facilities and equipment; however, business growth in 2017 and 2018 led to significant increase.

Electricity consumption in 2019 was about the same as last year due to activities for energy conservation. We will continue our energy conservation activities and strive to further reduce energy consumption.



# Initiatives for Reducing CO<sub>2</sub> Emissions in Logistics

The HORIBA Group in Japan is committed to reducing  $CO_2$  emissions for product transportation. Our recent initiatives include rail and marine transport. We started using rail transport between Kyoto and Tokyo in 2004. Rail transport between Kumamoto and Tokyo starting in 2006, which was switched to marine transport in September 2013. The use of rail and marine transport cover over 60% of the total transport which led to a reduction of  $CO_2$  emissions by 300 to 400 tons every year. We remain committed to improve transport efficiency by, for example, joint transport with other companies.



\*Calculation method

Truck (Charter) · · · · · · · · · Improved ton-kilometer method

Truck (Mixed loading) · · · · · · Conventional ton-kilometer method

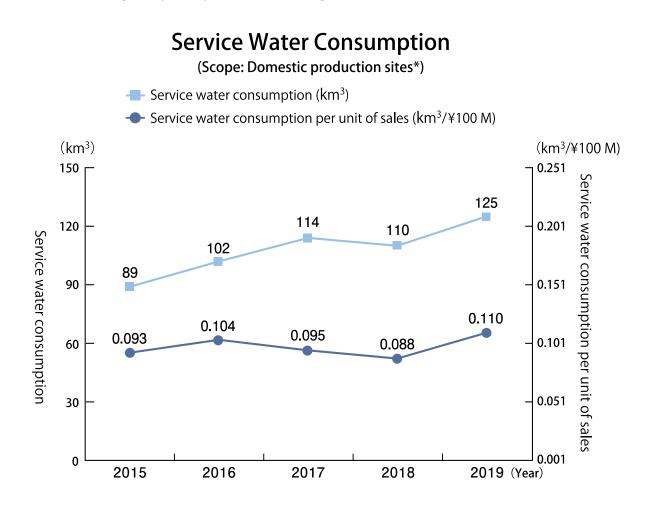
Train • • • • • • • • • • • • • Cargo weight act by transport section

\*Domestic production sites include the HORIBA, Ltd. head office/factory and Biwako Factory, HORIBA STEC Co., Ltd. head office/factory and Aso Factory and HORIBA Advanced Techno Co., Ltd. head office/factory.



## **Use of Service Water and Monitoring of Wastewater**

In spite of the efficient use of water, usage of HORIBA Group in Japan increased approximately 13.6% from 2018 due to improvement in business performance and active production. Also, it was 22.2% increase in the total water consumption per unit sales compared to last year. In 2020, we will continue to use service water more efficiently by monitoring the use of service water. Meanwhile, HORIBA, Ltd. uses a round the-clock factory wastewater monitoring system. In 2019, there was no discharge of wastewater exceeding the Kyoto City wastewater discharge standard.



\*Domestic production sites include the HORIBA, Ltd. head office/ factory and Biwako Factory, HORIBA STEC Co., Ltd. head office/factory and Aso Factory, and HORIBA Advanced Techno Co., Ltd. head office/factory.



# Wastewater Measurement Categories and Trends in Measured Values

Scope: HORIBA, Ltd. head office/factory (Units: mg/L) excluding pH  $\ast$  not detectable under detection limit

	Regulation Category	Kyoto City Regulations	HORIBA Standards	Measure	aximum)	Detection Limit Value	
				2017	2018	2019	
En	рН	5~9	_	6.2~7.6	6.5~7.9	6.3~8.0	_
Environmental	n-Hexane extract	30	21	6.2	5.2	4.6	0.5
Imei	Phenol	1	0.3	0.06	0.02	*	0.01
ntal	Copper	3	0.9	0.200	0.210	0.040	0.002
cate	Zinc	2	1.0	0.630	0.340	0.150	0.002
categories	Iron (soluble)	10	3.0	0.08	0.13	0.05	0.01
es	Manganese (soluble)	10	3.0	0.03	0.01	0.01	0.01
	Nickel	2	0.6	0.08	0.04	0.08	0.01
To	Boron and its compounds	10	3.0	0.2	2.1	*	0.1
Toxic substances	Fluorine and its compounds	8	4.5	0.2	0.2	0.1	0.1
ubst	Cadmium and its compounds	0.03	0.03	0.005	0.011	0.002	0.001
ance	Cyanogen compounds	1	0.3	*	*	*	0.1
S	Lead and its compounds	0.1	0.07	*	0.010	*	0.01
	Hexavalent chromium	0.5	0.15	*	*	*	0.02
	Arsenic and its compounds	0.1	0.03	0.009	0.028	*	0.005
	Mercury and its compounds	0.005	0.0015	*	0.0006	*	0.0005
	Trichloroethylene	0.1	0.09	*	*	*	0.01
	Dichloromethane	0.2	0.14	*	*	*	0.02
	Carbon tetrachloride	0.02	0.014	*	*	*	0.002
	1,1,1-trichloroethane	3	0.9	*	*	*	0.3

Note: Regulation figures are from Kyoto City sewage and drainage standards.

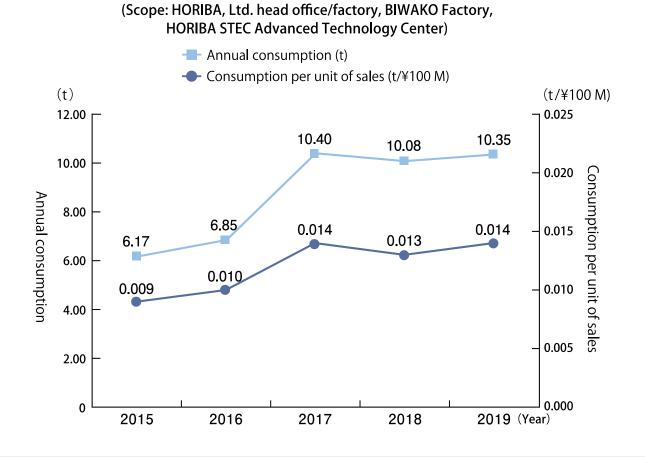


# **Use of Chemical Substance**

In 2019, HORIBA, Ltd. used 10.35 tons of substances consuming chemical substances (volume converted to total weight), generally continued to be flat from 2018. We have been keeping track of the use of PRTR\* controlled substances to ensure compliance with the revised PRTR Law. Although this law requires entities to report the use of one or more tons of a specific chemical per year (0.5 or more tons for Class 1 substances), we did not use any of the relevant chemicals to the level warranting a report in 2019. We will continue to reduce risks through our management system.

\*PRTR (Pollutant Release and Transfer Register) Law : Law Concerning Reporting, etc. of Releases to the Environment of Specific Chemical Substances and Promoting Improvements in Their Management

# Trend in Quantity of Chemical Substances Consumed





Unit:kg

# **Major Chemical Substances Handled**

			nnual Amount Amount Amount Recycled Handled Transferred								
CAS No.	Substance(IUPAC)	2017	2018	2019	2017	2018	2019	2017	2018	2019	Main Application
7664-39-3	Hydrofluoric acid	15	22	6	14	21	6	0	0	0	Semiconductors and others
64-17-5	Ethanol	500	1,184	936	157	189	199	0	0	0	Clean components
67-64-1	Acetone (dimethyl ketone)	262	444	409	222	401	340	0	0	0	Cleaning
507-55-1	HCFC-225 *1	28	42	43	6	23	26	0	6	6	Solvent/Product inspection
62-56-6	Thiourea	2	3	2	0	0	0	0	0	0	Regents production
7439-92-1	Lead solder	17	13	13	0	0	0	12	10	10	Printed circuit boards
7664-93-9	Piranha solution	108	112	100	108	112	100	0	0	0	Semiconductors
7722-84-1	Hydrogen peroxide	59	84	42	41	68	42	0	0	0	Liquid measurement and others
1330-20-7	Xylene	95	34	20	24	34	19	0	0	0	Semiconductors/ Clean components

Scope: HORIBA, Ltd. head office/factory, BIWAKO Factory, HORIBA STEC Technology Center

\*1: Dichloropentafluoropropane (Product: H- 997)

\*CAS No.: Numerical identification numbers for chemical substances managed by the Chemical Abstracts Service, a division of the American Chemical Society



# PRTR\*1 Substances Managed in 2019

Scope: HORIBA, Ltd. head office/factory, BIWAKO Factory, HORIBA STEC Advanced Technology Center Minimum target treatment quantity: 10 kg Unit:kg

ering printed circuit board

ering p	rinted circuit board		l.	I.	1			i i		I
Ordi-			Added to Product	Amount Remove		Amount Emitted		Amount Transferred		
nance No. *2	Substance (IUPAC)	Annual Amount Handled	Product Delivery	Compounds Neutralized/ Decomposed/ Synthesized	Air	Water	Soil	Industrial Waste	Transferred Outside	Main Application
300	Toluene	415.1	0.0	0.0	414.3	0.0	0.0	0.8	0.0	Product development
80	Xylene	19.7	0.0	0.0	0.0	0.0	0.0	19.7	0.0	Semiconductors,Clean components
185	HCFC-225 *3	43.0	6.4	0.0	6.2	0.0	0.0	24.0	6.4	Product manufacturing/Product inspection
20	2-aminoethanol	17.8	0.0	0.0	0.0	0.0	0.0	17.8	0.0	Semiconductors/Product manufacturing/Product inspection
333	Hydrazine	10.1	0.0	0.0	0.0	0.0	0.0	10.1	0.0	Semiconductors/Product manufacturing/Product inspection
30	Linear alkylbenzenesulfonate *4	26.0	0.0	0.0	0.0	0.0	0.0	26.0	0.0	Semiconductors/Product development/Product manufacturing/Product inspection
	Amount	531.7	6.4	0.0	420.5	0.0	0.0	98.4	6.4	

\*1 PRTR (Pollutant Release and Transfer Register) Law: Law Concerning Reporting, etc. of Releases to the Environment of Specific Chemical Substances and Promoting Improvements in Their Management

\*2 Ordinance No.: Numbers given in Table 1 of the Enforcement Ordinance for the Law Concerning Reporting, etc. of Releases to the Environment of Specific Chemical Substances and Promoting Improvements in Their Management

\*3 Dichloropentafluoropropane: product name H-997

\*4 Linear alkylbenzenesulfonic acid and its salts (alkyl C=10-14)

# Explore the future Atmospheric Measurement Categories and Trends in Measured Values (at vents and site perimeters)

#### Scope : HORIBA, Ltd. head office/factory

\*Under detection limit so omitted

HORIBA

	Measurement Category	Unit	Kyoto Prefecture Regulations	Meas	ured Resu	ılt (maxim	um)
				2016	2017	2018	2019
	Sulfuric acid	mg/m <sup>3</sup>	3	—	<0.1	<0.1	0.70
At vents	Fluorine	mg/m <sup>3</sup> N	5	_	<0.5	<0.5	<0.5
	Hydrogen chloride	Vol ppm	20	_	<1	<1	<1
	Sulfuric acid	mg/m <sup>3</sup>	0.03	_	0.01	0.01	0.01
At site perimeters	Fluorine	mg/m <sup>3</sup>	0.05	< 0.01	<0.01	< 0.01	0.02
	Hydrogen chloride	Vol ppm	0.2	0.04	<0.02	0.06	0.02

Note: Regulation figures are based on ordinances to protect Kyoto Prefecture environment. Measurement at vents was not performed in 2016 due to the facility removal. In 2017, measurement category was reviewed because of maintenance in head office. \*There have been no cases where the amount of hazardous substances to the air exceeded the amount decided by the law over the past three years.

#### Scope : HORIBA STEC, Co., Ltd. head office/factory

	Measurement Category	Unit	Kyoto Prefecture Regulations	Meas	ured Res	ult (maxi	mum)
				2016	2017	2018	2019
	Sulfuric acid	mg/m <sup>3</sup>	3	—	0.20	0.50	0.10
Atworte	Fluorine	mg/m <sup>3</sup> N	5	_	<0.5	<0.5	<0.5
At vents	Hydrogen chloride	Vol ppm	20	_	<1	<1	<1
	Nitrogen oxide	Vol ppm	100	_	<10	<10	<10
	Sulfuric acid	mg/m <sup>3</sup>	0.03	_	0.02	0.01	0.02
At site	Fluorine	mg/m <sup>3</sup>	0.05	_	< 0.01	< 0.01	< 0.01
perimeters	Hydrogen chloride	Vol ppm	0.2	_	<0.02	0.1	<0.02
	Nitrogen oxide	Vol ppm	1	_	0.058	0.039	0.039

Note: Regulation figures are based on ordinances to protect Kyoto Prefecture environment.



#### Scope : BIWAKO Factory (outlet)

Facilities	Measurement Category	Unit	Kyoto Prefecture Regulations	Measured Result (maximum)				
				2016	2017	2018	2019	
Cogonaration generator	Nitrogen oxide	Vol ppm	600	73	133	50	52	
Cogeneration generator	Dust	Vol ppm	0.05	<0.01	_	_	-	
Hot-and-chilled-water	Nitrogen oxide	Vol ppm	150	16	19	16	18	
generator	Dust	Vol ppm	0.01	<0.01	_	_	_	
Hot-water boiler	Nitrogen oxide	Vol ppm	150	33	38	29	37	
not-water boller	Dust	Vol ppm	0.10	<0.01	_	_	_	

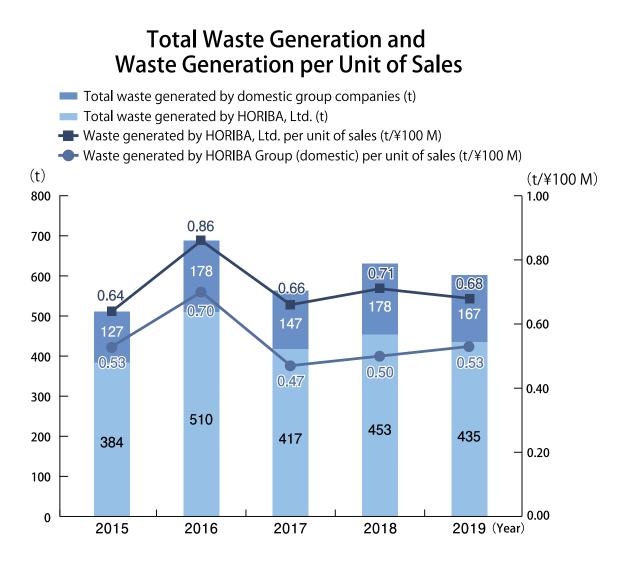
Note1: Regulation figures are based on the Air Pollution Control Law. Note2: Measuring period of dust is every five years.

\* There have been no cases where the amount of hazardous substances to the air exceeded the amount decided by the law over the past three years.

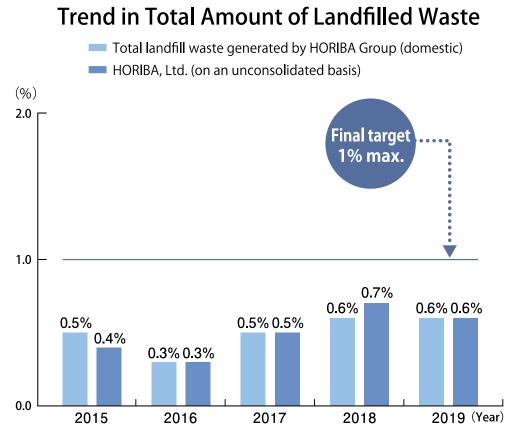


# **Initiatives to Reduce Waste**

HORIBA, Ltd. achieved zero emissions in the second term of 2006, and we been achieving zero emission for the past five years. Since 2013, the scope expanded not only the company itself but all domestic production bases. In 2019, even in the increase in production with improved business performance, the amount of waste products decreased by approximately 5% due to waste separation and reduction activities. We will drive further efforts to maintain zero emissions and suppress the amount of waste products.







## Scope:

## **Domestic production sites:**

HORIBA, Ltd. head office/factory and Biwako Factory, HORIBA STEC, Co., Ltd. head office/factory and Aso Factory and HORIBA Advanced Techno Co., Ltd. head office/factory

### **Domestic group companies:**

HORIBA STEC, Co., Ltd. and HORIBA Advanced Techno Co., Ltd.

### HORIBA Group (domestic):

HORIBA, Ltd., HORIBA STEC, Co., Ltd. and HORIBA Advanced Techno Co., Ltd.



# **Overview of the Environmental Impacts: Balance in Materials**

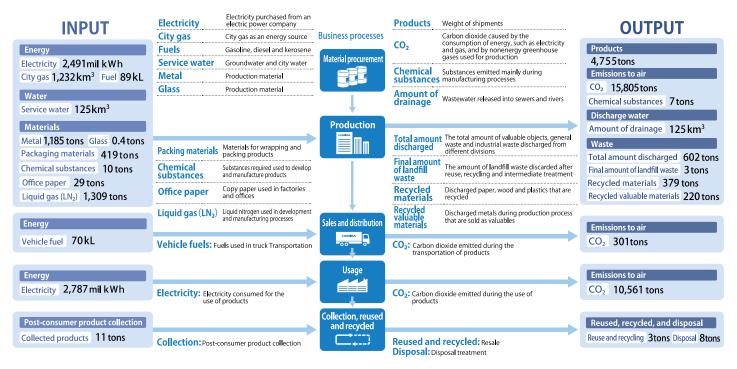
We work hard to obtain an overview of the environmental impact caused by the domestic HORIBA Group as a whole during each stage of our business activities. As for the environmental impact for 2019, both  $CO_2$  emissions and  $CO_2$  emissions per unit sales stayed about the same as last year in spite active production and improvement of business performance due to energy saving and  $CO_2$  reduction activities. HORIBA Group will continue to proactively work on reducing environmental impact going forward.

#### (1) Balancing Environmental Impacts

# Material Flow Chart for 2019 to Determine Environmental Impacts

Scope: Domestic production sites

HORIBA, Ltd. head office/factory and Biwako Factory, HORIBA STEC, Co., Ltd. head office/factory and Aso Factory, and HORIBA Advanced Techno Co., Ltd. head office/factory





### (2) Environmental Impacts in Production Sites

### Group Companies (Production Sites)

Company Name	Abbreviation	Location
HORIBA Instruments Incorporated Irvine Facility	HII(Irvine)	U.S.A. (California)
HORIBA Instruments Incorporated, Ann Arbor Office	HII(AnnArbor)	U.S.A. (Michigan)
HORIBA Instruments Incorporated, Troy Office	HII(Troy)	U.S.A. (Michigan)
HORIBA Instruments Incorporated, Piscataway Office Former HORIBA Instruments Incorporated, Edison Office	HII (Piscataway) Former HII Edison	U.S.A.(New Jersey)
HORIBA Instruments Incorporated Austin Office HORIBA Instruments Incorporated Santa Clara Office Former HORIBA STEC	HII (Austin/Santa Clara) Former SHI	U.S.A. (Texas) U.S.A. (California)
HORIBA Europe GmbH	HE	Germany (Oberursel, Darmstadt)
HORIBA UK Limited	НИК	U.K.
HORIBA ABX SAS	HMFR	France (Montpellier)
HORIBA Jobin Yvon SAS	JYFR	France (Longjumeau)
HORIBA (Austria) GmbH	НА	Austria (Tulln)
HORIBA, Ltd	HOR	Japan (Kyoto)
HORIBA STEC, Co., Ltd.	STEC	Japan (Kyoto)
HORIBA Advanced Techno Co., Ltd.	HAT	Japan (Kyoto)
HORIBA KOREA LTD.	HKL	South Korea (Kyunggido)
HORIBA INSTRUMENTS (SHANGHAI) CO., LTD.	HSC	China (Shanghai)

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#### **Environmental Impact of Group Production Sites in 2019**

Number of employees (Person)

	Item/Region	U.S.A.								
	Group Company Name (Abbreviation)	HII (Irvine)	HII (AnnArbor)	HII (Troy)	HII (Piscataway)	HII (Aust Santa C	in/			
INPUT	Electricity consumption (MW · h)	682	759	2,500	1,048	889	)			
	City gas consumption (km <sup>3</sup> )	_	47	83	213	6				
	Water consumption (km <sup>3</sup> )	4.9	6	3	15	_				
	Consumption of fuel oil & fuel for vehicles (kL)	_	87	93	_	17				
	Quantity of chemicals consumed (t)	_	_	—	_	_				
	Office paper (t)	2.7	10.0	19.0	0.0	1.5				
	Packing materials (t)	—	—	—	3	_				
OUTPUT	CO <sub>2</sub> emissions (t-CO <sub>2</sub> )	408	358	531	567	101				
	Wastewater discharge (km <sup>3</sup> )	4.9	1	3	15.0	_				
	Waste emissions (t)	27	39	40	98	-				
	Number of employees (Person)	180	130	85	186	79				
	Item/Region				Europe					
	Group Company Name (Abbreviation)		HE (M	HUK Northam	oton) HMFR	JYFR	HA			
INPUT	Electricity consumption (MW $\cdot$ h)		1,724	407	2,971	4,922	38			
	City gas consumption (km <sup>3</sup> )		76	13	_	285	6			
	Water consumption (km <sup>3</sup> )		3	1	25	17	0			
	Consumption of fuel oil & fuel for vehicles (kL)		265	—	_	134	18			
	Quantity of chemicals consumed (t)		3	_	6	-	_			
	Office paper (t)		6.5	1.6	7.9	2.6	1.0			
	Packing materials (t)		6	_	311	—	_			
ουτρυτ	CO <sub>2</sub> emissions (t-CO <sub>2</sub> )		1,643	98	153	1,251	60			
	Wastewater discharge (km <sup>3</sup> )		2.7	0.5	_	16.9	0.1			
	Waste emissions (t)		99	27	621	148	6			

642

185

599

348

29

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	Item/Region			Asia		
	Group Company Name (Abbreviation)	HOR	STEC	HAT	HKL	HSC
INPUT	Electricity consumption (MW · h)	12,327	14,427	970	317	1,022
	City gas consumption (km <sup>3</sup> )	1,139	49	46	_	-
	Water consumption (km <sup>3</sup> )	59.4	65.6	0.5	1.0	2.5
	Consumption of fuel oil & fuel for vehicles (kL)	48	20	20	70	10
	Quantity of chemicals consumed (t)	10	—	—	—	-
	Office paper (t)	21.4	4.0	3.6	1.2	0.2
	Packing materials (t)	299	58	_	1.2	_
OUTPUT	CO <sub>2</sub> emissions (t-CO <sub>2</sub> )	8,756	6,318	732	146	384
	Wastewater discharge (km <sup>3</sup> )	59.4	65.6	0.5	0.9	1.7
	Waste emissions (t)	435	167	_	7.0	2
	Number of employees (Person)	1,868	581	327	141	108



#### (3)Environmental Impacts in Non-production Sites

	Number of locations and category	HORIBA, Ltd. sales offices (12 locations)			HORIBA Techno Service Co., Ltd. service stations (26 locations)			HORIBA, Ltd. training and recreation facilities (2 locations)		
	Item/Year	2017	2018	2019	2017	2018	2019	2017	2018	2019
INPUT	Electricity consumption (MWh)	606	656	609	385	403	392	281	258	253
	City gas consumption (km <sup>3</sup> )	1.3	1.3	1.2	3.0	2.2	1.5	0.3	0.3	0.4
	LP gas consumption (km <sup>3</sup> )	0	0	0	0	0	0	16	14	15
	Fuel consumption (kL)	101.5	99.2	104.4	173.7	167.2	172.4	_	_	_
	Office paper (t)	6.3	6.5	5.7	3.7	3.4	3.4	—	—	—
	Packing materials (t)	1.4	1.2	0.9	4.7	4.9	8.1	_	_	_
Ουτρυτ	CO <sub>2</sub> emissions (t- CO <sub>2</sub> )	549	569	550	607	599	599	236	197	212
	Waste emissions (t)	37.5	56.6	35.6	36.9	43.6	38.2	_	—	—
sales offi	ices (12 locations)	Sapporo	, Sendai	, Utsunoi	miya, Tokyo,	Yokohama,	Nagoya, Toy	ota, Haman	natsu, Osak	a,

sales offices (12 locations)

Takamatsu, Hiroshima, Fukuoka Sapporo, Sendai, Fukushima, Utsunomiya, Ichihara, Kashima, Tsukuba, Kawaguchi, Tokyo,

Kokubunji, Yokohama, Fuji, Hamamatsu, Toyota, Nagoya, Toyama, Yokkaichi, Osaka, Himeji,

Kurashiki, Hiroshima, Yamaguchi, Takamatsu, Fukuoka, Oita, Kumamoto

Service stations (26 locations)

Training and recreation facilities (2 locations)

Takashima (Shiga Prefecture) and Kyoto